

# Hard QCD and Structure Functions



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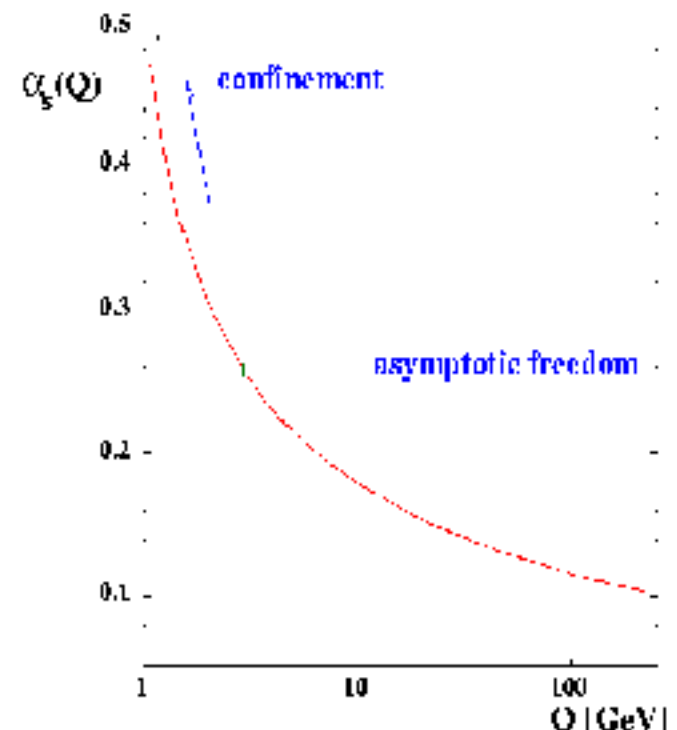
QCD is a simple and elegant theory... of quarks and gluons

But we live in a world of hadrons

Asymptotic freedom allows  
us to calculate **hard processes**,  
but **we always have hadrons**  
**in the end.**

$\alpha_s$  is still large: **HO are important**

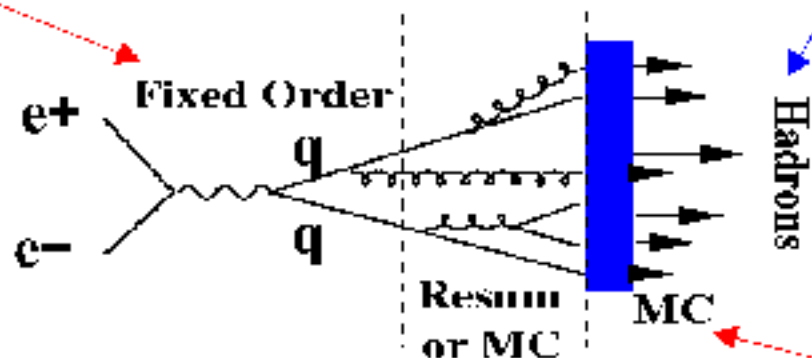
These considerations can make pQCD  
studies rather complicated.



# QCD at $e^+e^-$ :

Calculation typically to NLO in exist for "safe" observables.  
(sometime to NNLO or beyond..)

Find an infrared safe observable:  
total  $e^+e^-$  hadronic cross-section,  
event shapes, jets(kt type), etc.



**Hadronization Models  
and Monte Carlos:**  
"Only models" hopefully  
small effect.

**Resummations:** for some  
observable  $R$  which is becoming  
small

$$\alpha_s^n (\ln 1/R)^{n+1-l}, (n = 1.. \infty)$$

LL ( $l=0$ ), NLL( $l=1$ ) ...

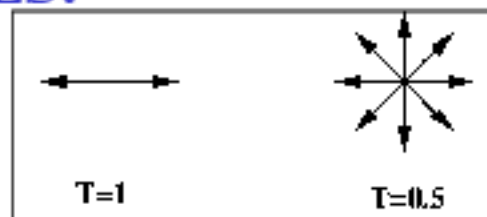
**Monte Carlo implementations of HO**  
Parton Showers(Pythia, Herwig...)  
Color Dipoles(Ariadne..)



# QCD at $e^+e^-$ :

## EVENT SHAPES:

e.g. Thrust,  $T$   
means and differ.

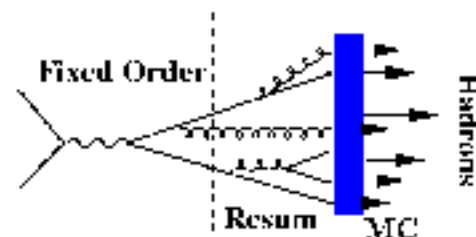


Large-ish  
corrections:  $1/Q$

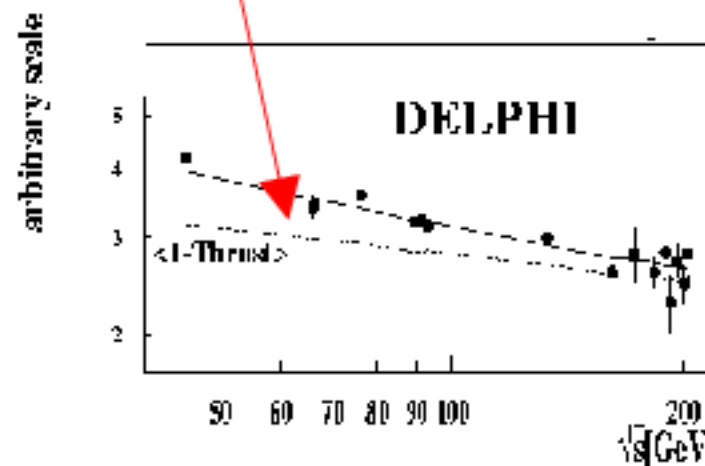
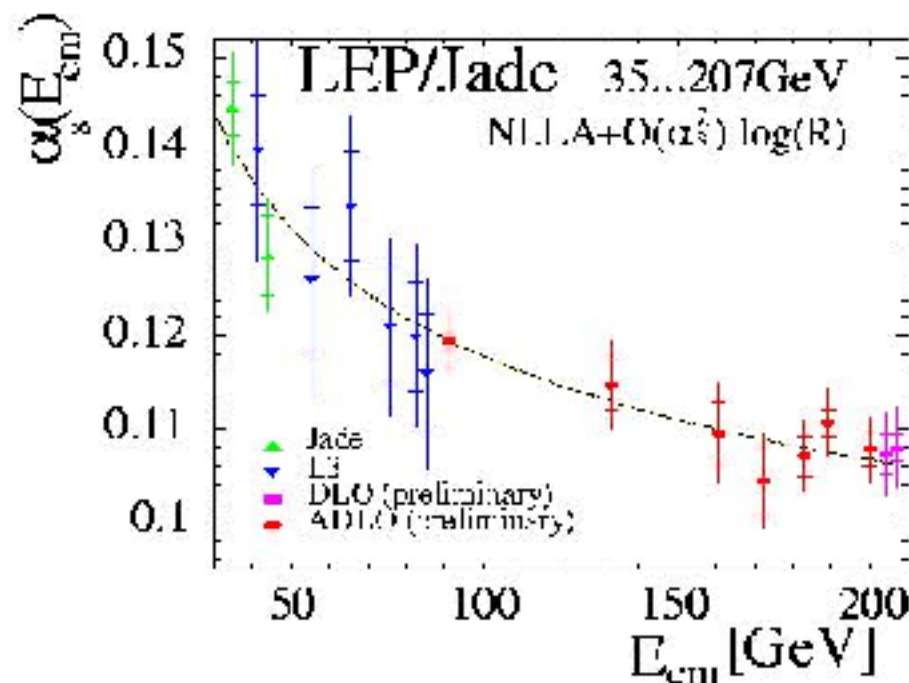
theo. cal here

MC cor.

measure here



(use many diff variable and fit for  $\alpha_s$ )



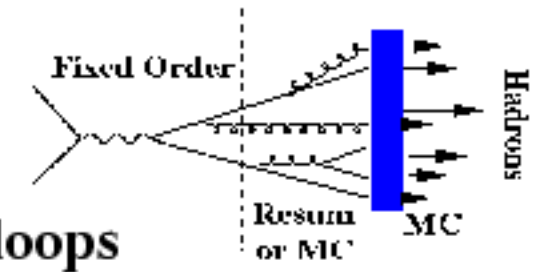
**Measurements to highest LEP  
Energies. Running of  $\alpha_s$ .**

$$\alpha_s(M_Z) = 0.1195 \pm 0.0007 \pm 0.0048$$



# QCD at $e^+e^-$ :

Running b quark mass: dependence known to 4 loops



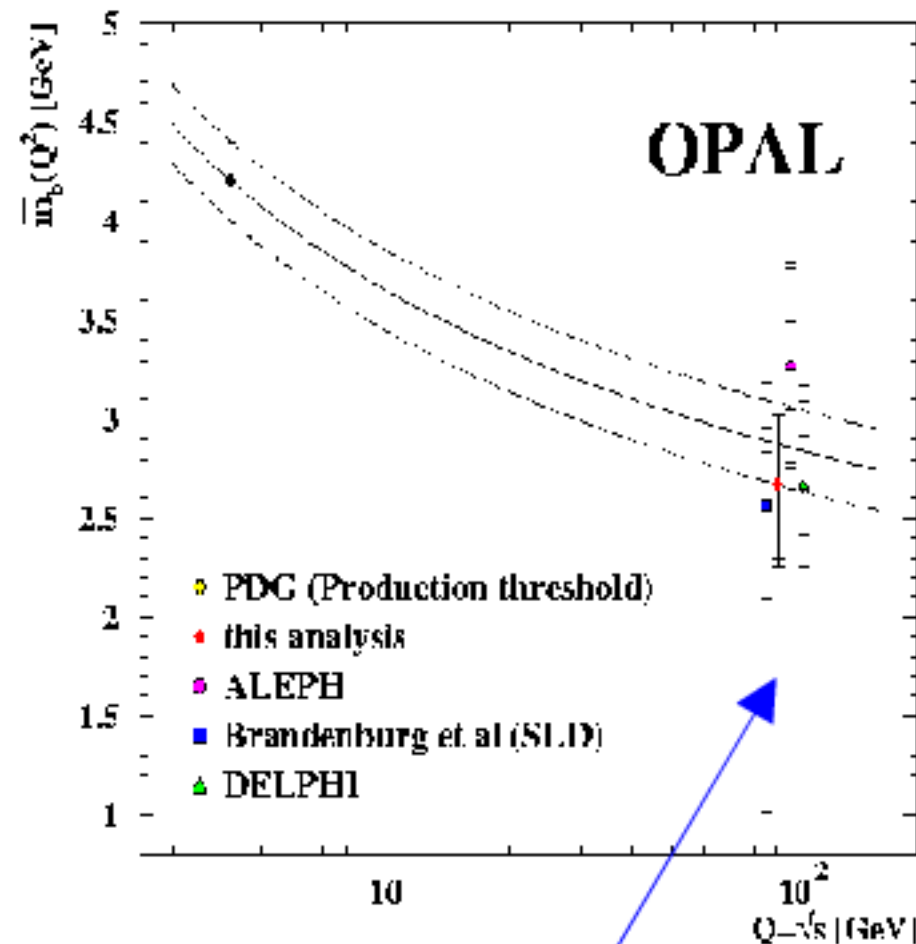
Determined from :

$$B_3 = \frac{b\bar{b} \rightarrow 3 \text{ jets}}{q\bar{q}(udsc) \rightarrow 3 \text{ jets}} = a_0 + a_1 m_b^2$$

at the Z mass

New measurement from OPAL

Running mass established  
with 3.9 sigma (from OPAL)

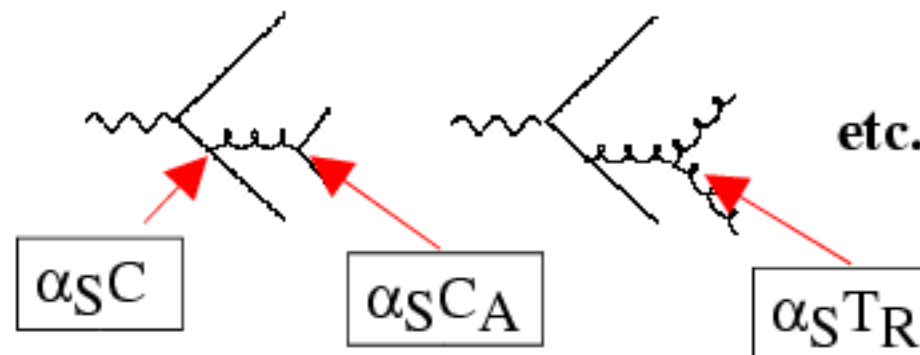
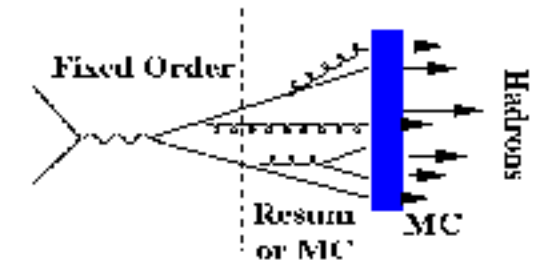


measurements separated for display



# QCD at $e^+e^-$ :

## QCD color factors and $\alpha_s$ from 4-jets at LEP



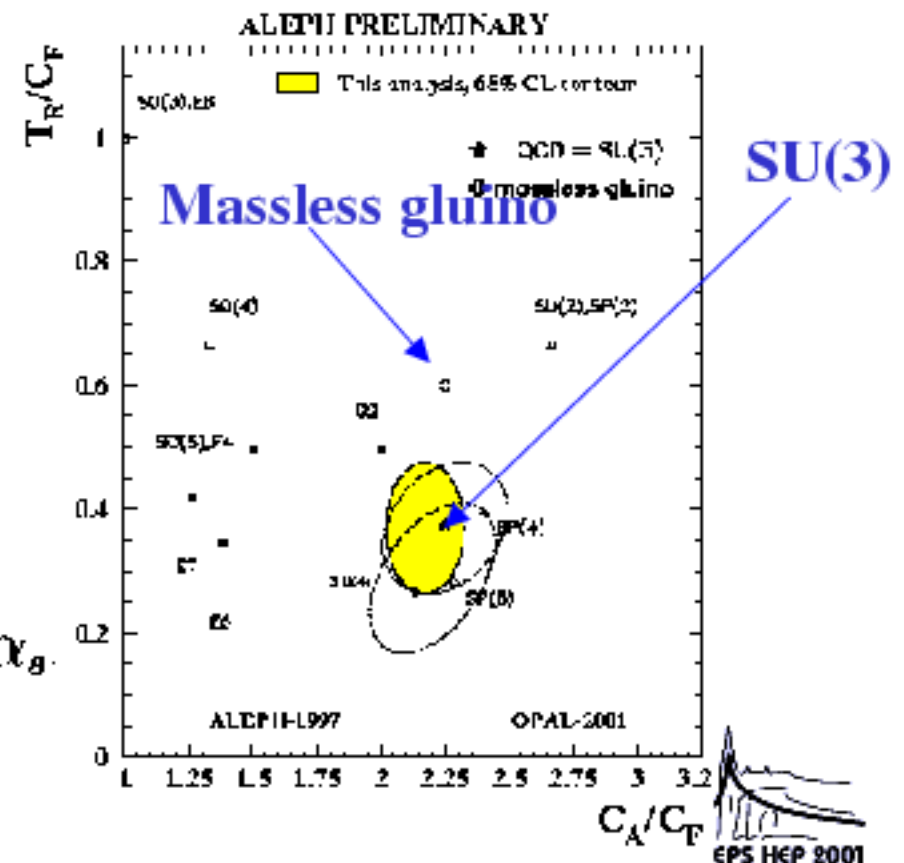
Expect sensitivity in angular correlation of jets.

Use several angular correlations and jet rates for fit using  $\alpha_s^3$  predictions.

New results from OPAL and ALEPH

Simultaneous fit of color factors and  $\alpha_s$

15% determination



# Summary QCD at $e^+e^-$

**All LEP experiments have updated their measurements to the highest LEP II energies.**

**Several analyses that cover a very wide energy range ,  
~20 – 200 GeV, convincingly demonstrates running of  $\alpha_s$ .**

**Event shapes, Jet rates(not shown here)**

**Error on  $\alpha_s$  determination is typically 3–5 %.**

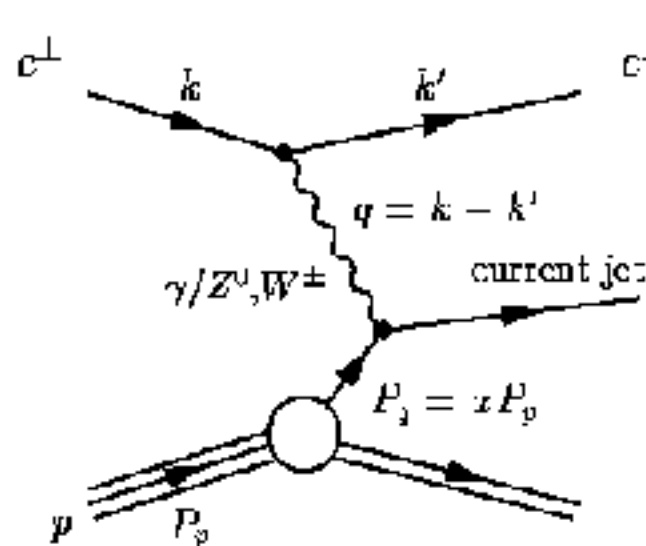
**All obtain consistent values.**

**Running of b mass is also seen. Basic check of QCD symmetry groups also give consistent answers.**



# Structure function and parton distributions:

## Deep inelastic scattering



$Q^2 = -q^2$  Virtuality ("size" of probe)

$x$  Mom. fraction of the struck parton.

Factorization:  $\sigma_{DIS} \sim f_p(x) \otimes \hat{\sigma}$

(universal) parton densities

PQCD cross-sec.

DGLAP evolution equations:

$$\frac{\partial f_p}{\partial \ln Q^2} \sim f_p \otimes P$$

$P$ 's are splitting functions:



# Str. fcn. and parton dist.:

Neutral Current ( $\gamma, Z$  exchange) interaction

$$\frac{d\sigma_{e=p}^2}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} (Y_+ F_2 - y^2 F_L \mp Y_- xF_3)$$

**New HERA (H1 and ZEUS) measurements have ca. 3% precision.**

$y = Q^2/xs$ , the inelasticity parameter,  $Y_{\pm} = (1 \pm (1-y)^2)$

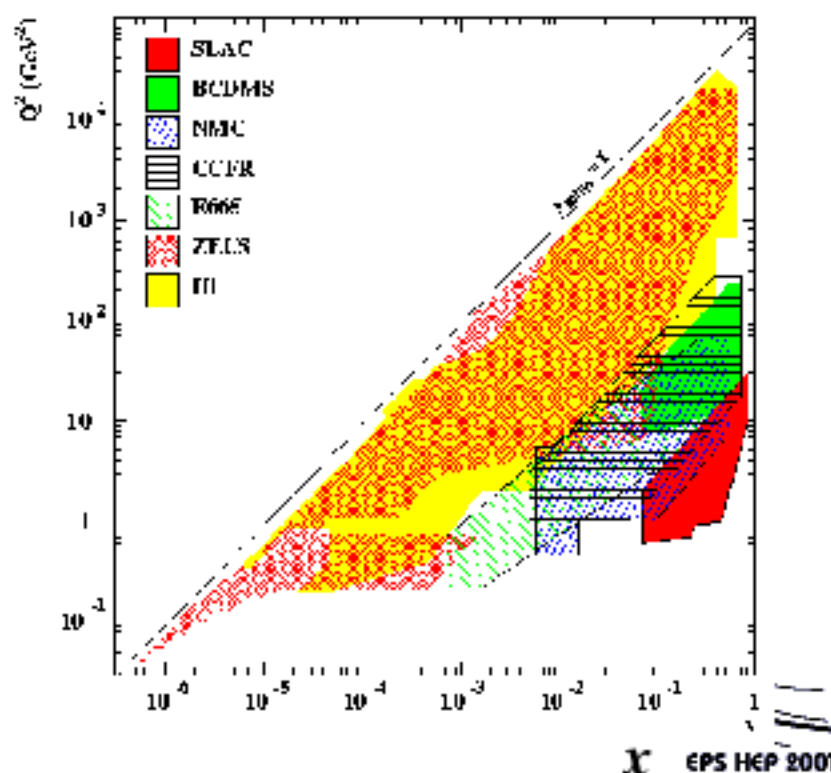
$F_2$ ,  $F_L$ , and  $xF_3$  are **structure functions** of the proton.

- $F_L$ : longitudinal component, damped by  $y^2$ .
- $xF_3$ : Small at  $Q^2 \ll M_Z^2$ .

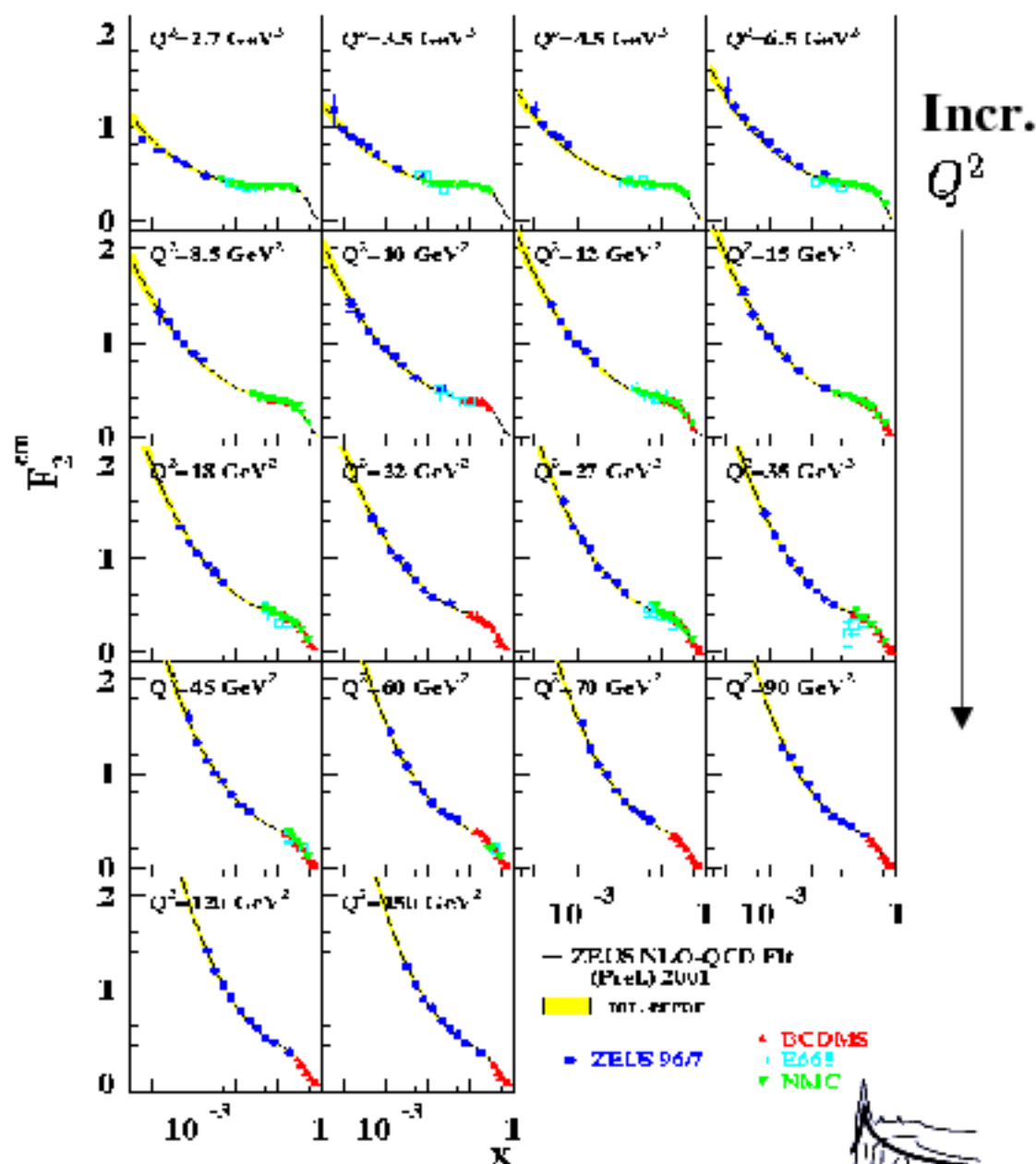
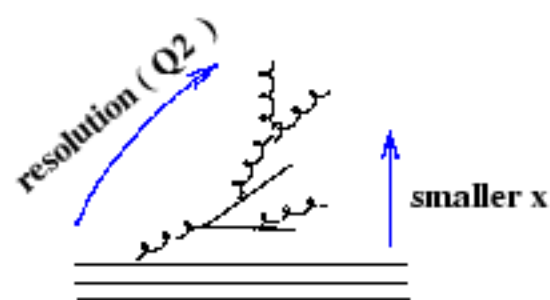
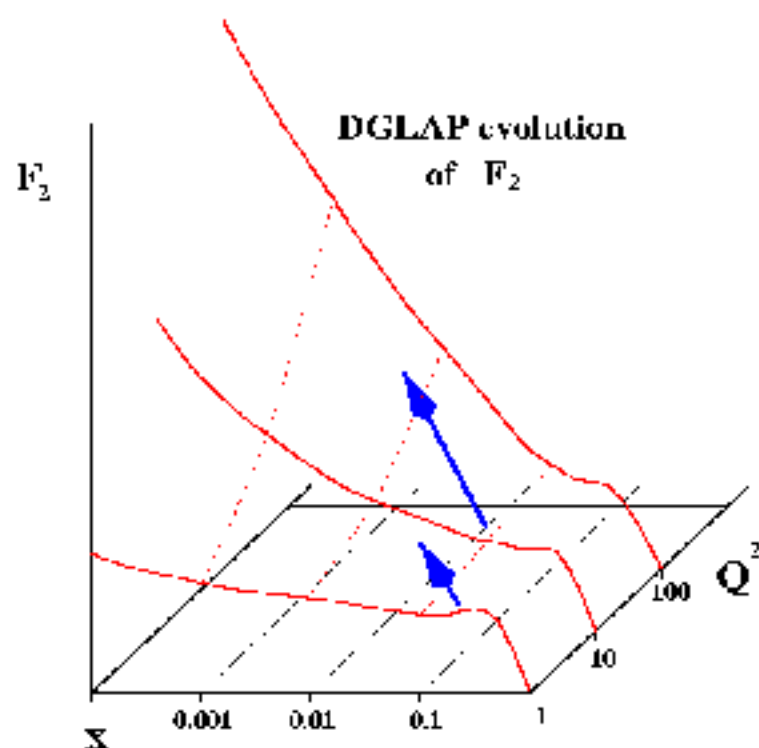
And (in  $\overline{\text{MS}}$  scheme)

$$F_2(x, Q^2) = x \sum_q e_q^2 (q(x, Q^2) + \bar{q}(x, Q^2))$$

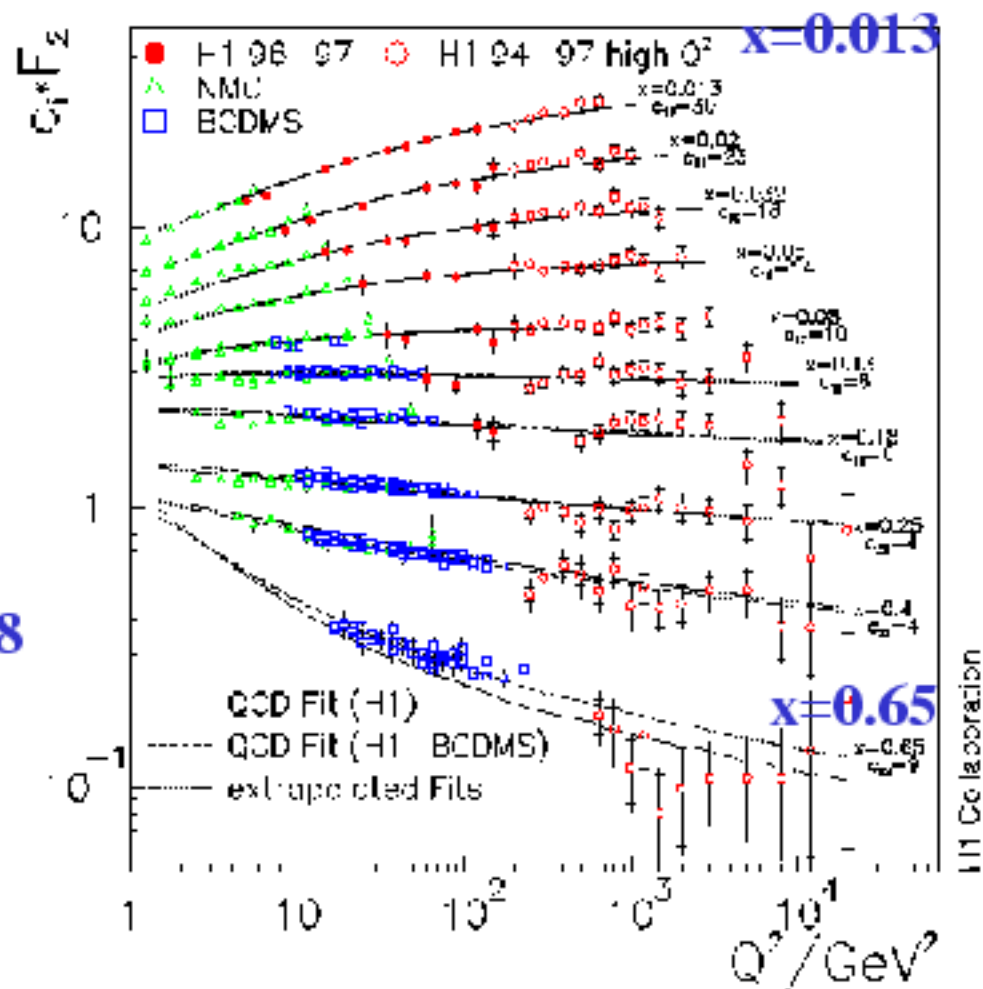
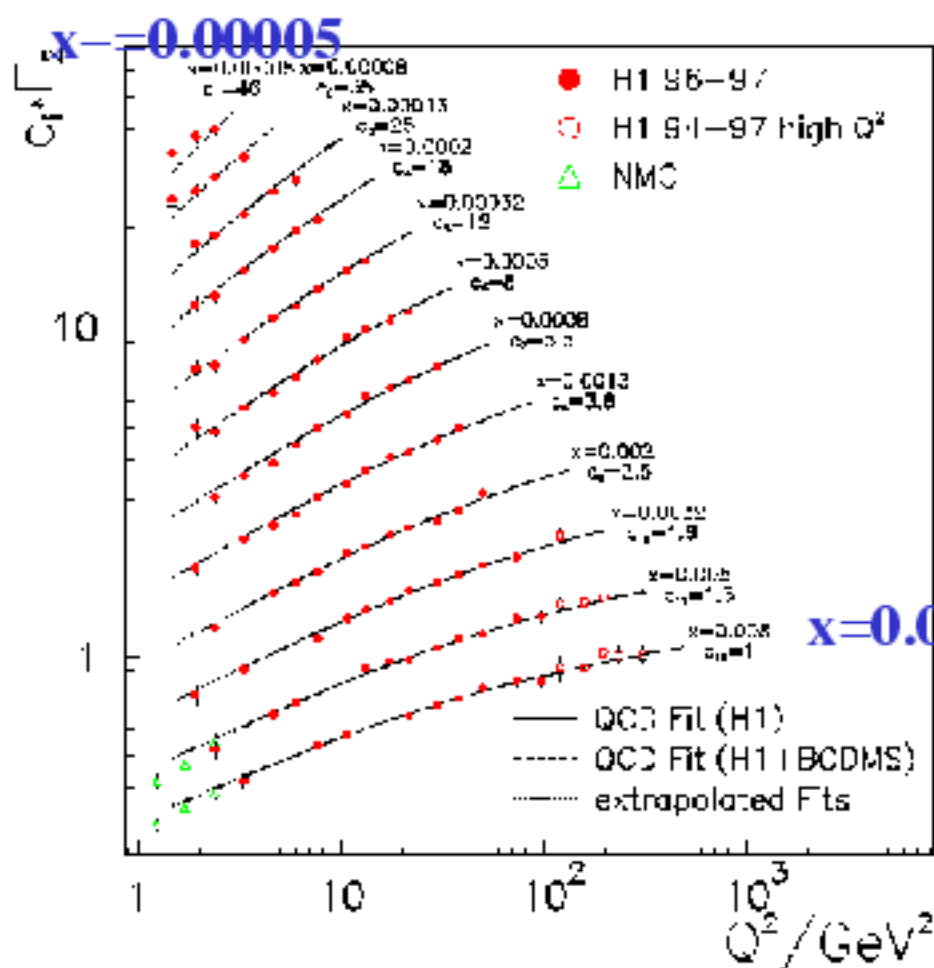
where  $q, \bar{q}$  are quark, antiquark densities in the proton.



# Str. fcn. and parton dist.:



# Str. fcn. and parton dist.:



To LO:  $\frac{\partial F_2}{\partial \ln Q^2} \sim \alpha_s xg$

**NLO DGLAP fit with  $q/g$  parameterized**

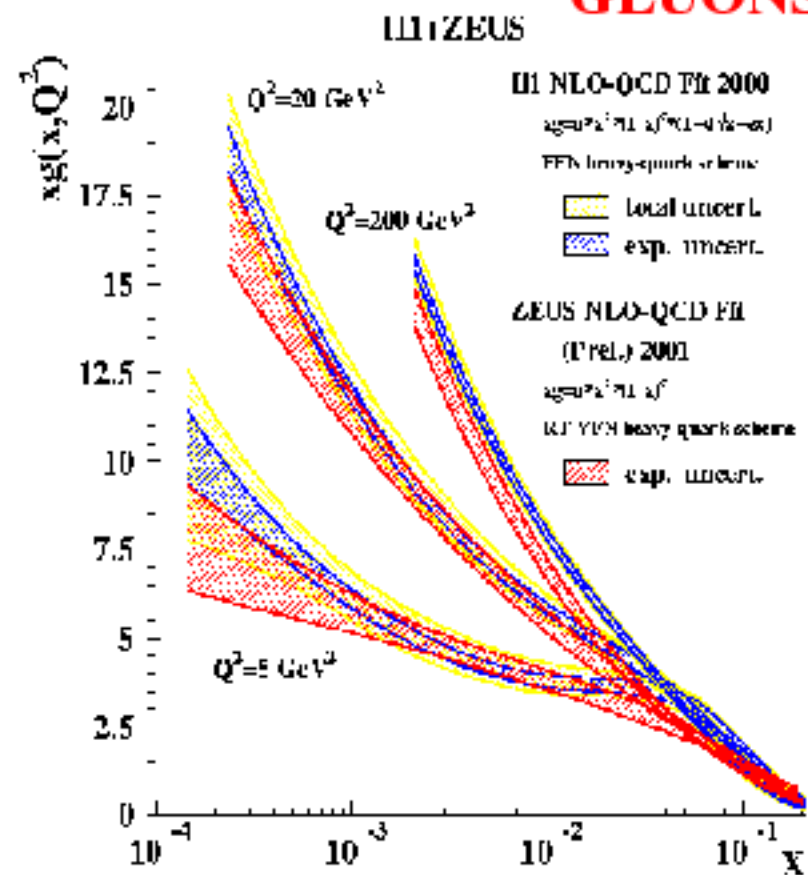
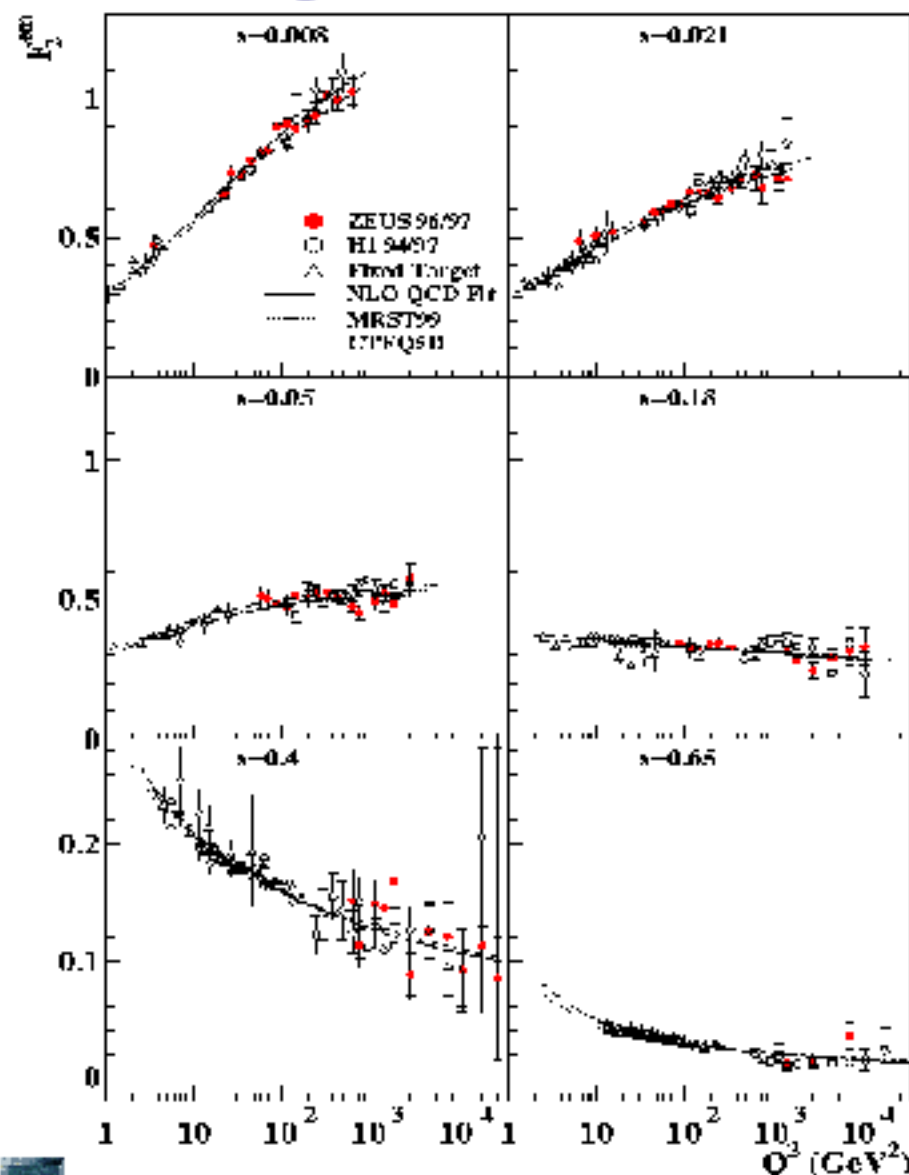
$$xq(x) = ax^b(1-x)^c[1 + d\sqrt{x} + ex]$$



# Str. fcn. and parton dist.:

**GLUONS**

## Good agreement H1 and ZEUS



**H1**

$$\alpha_s(M_Z^2) = 0.1150 \pm 0.0017(\text{exp})_{-0.0005}^{+0.0009} (\text{model}) \pm 0.005(\text{theory})$$

**ZEUS (prel.)**

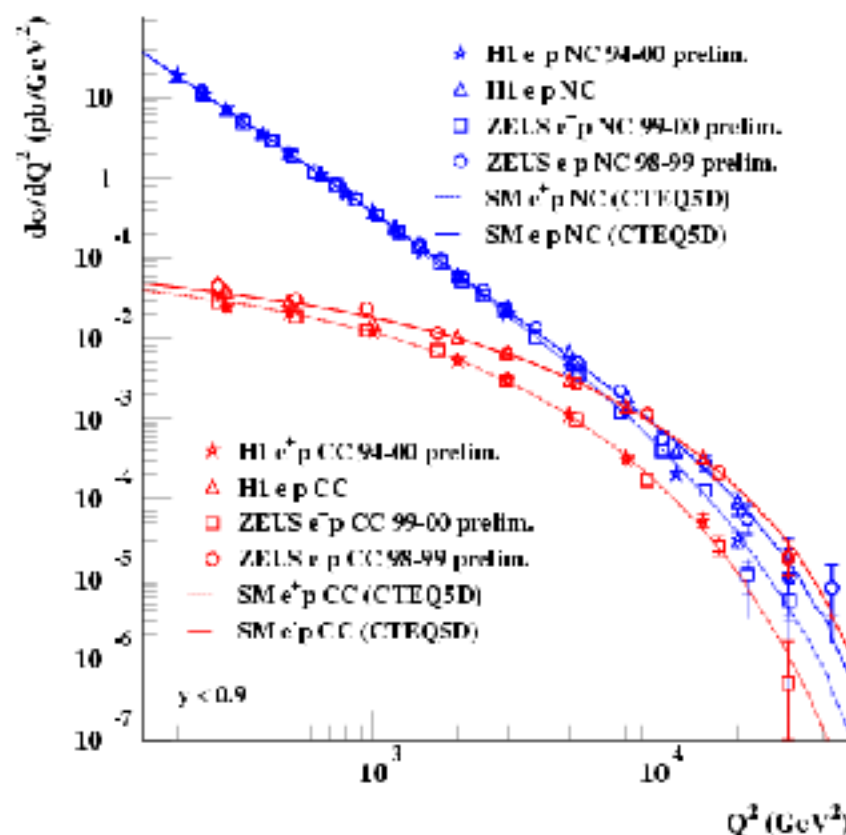
$$\alpha_s(M_Z^2) = 0.117 \pm 0.001(\text{stat} + \text{uncorr}) = 0.005(\text{corr})$$

theory error to be evaluated



# Str. fcn. and parton dist.: Charged Current cross-section

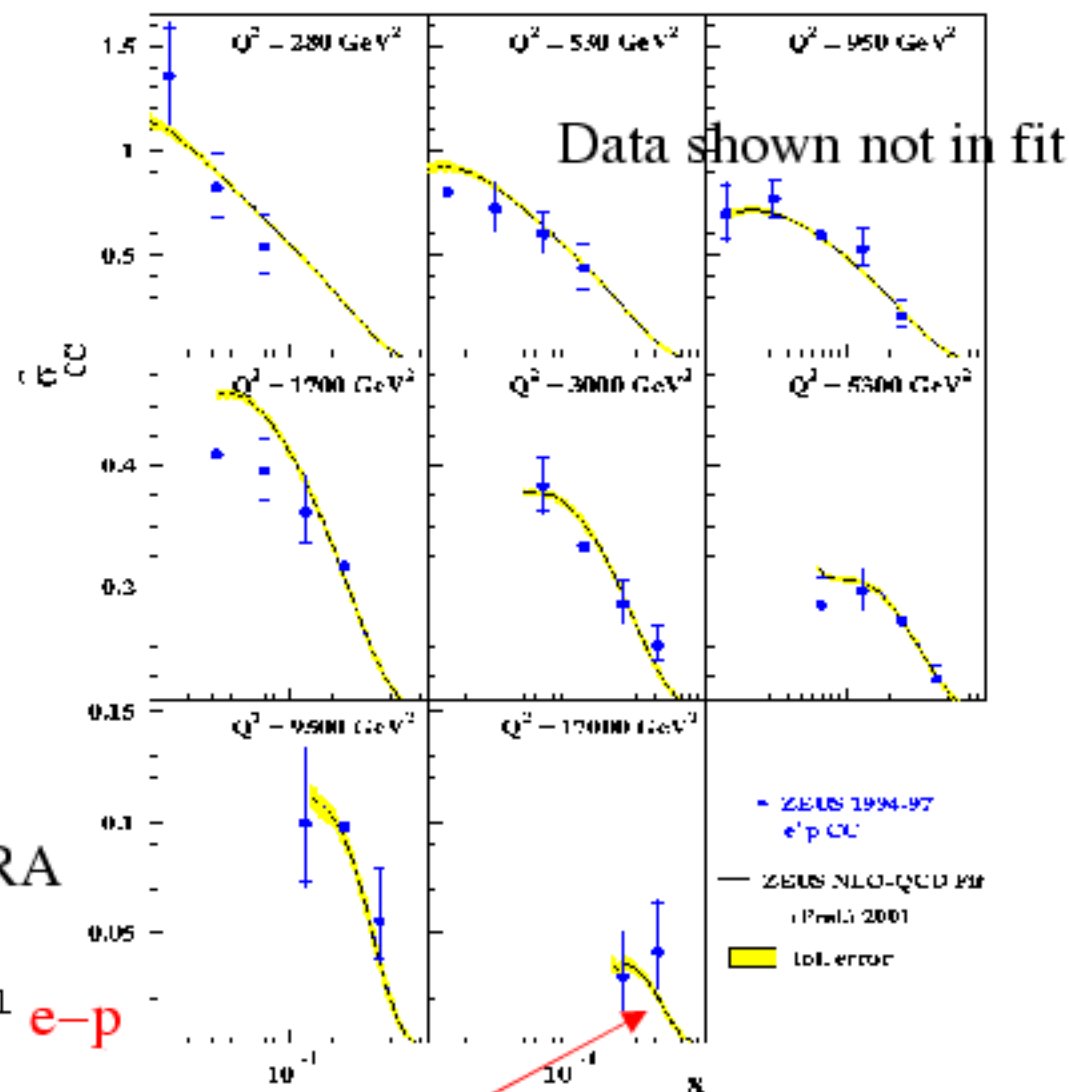
$$\sigma_{\nu e}(e^-p) \sim G_F^2 \left( \frac{M_W^2}{M_W^2 + Q^2} \right)^2 [(1-y)^2(d+s) + (\bar{u} + \bar{c})]$$



Measurements of NC and CC at HERA

Results ca. 100 pb<sup>-1</sup>  $e^+p$  and 15 pb<sup>-1</sup>  $e^-p$

HERA upgrade will bring  
x10 data by 2005–6.



Precision of DGLAP fit  
from Fixed Target exp.results



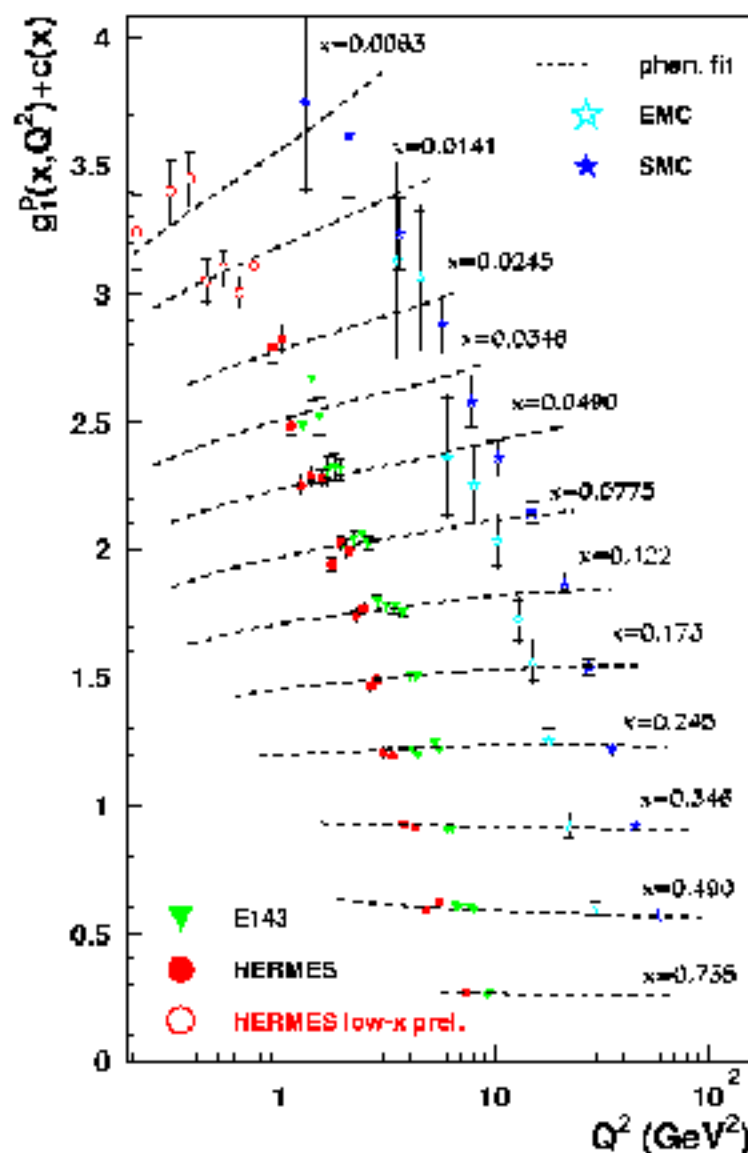
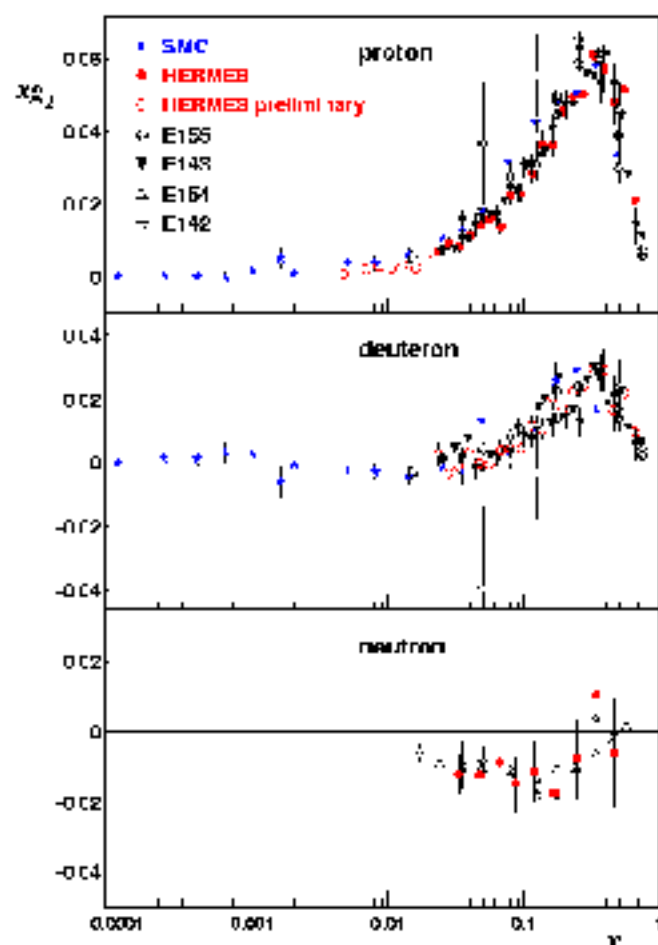
# Str. fcn. and parton dist.:

## Proton spin structure

### Asymmetry in pol. $IN$ scattering

$$g_1(x) = \frac{1}{2} \sum_{i=1}^3 e_i^2 [\Delta q_i(x) + \Delta \bar{q}_i(x)]$$

$$\Delta q_i(x) = (q_i^+(x) - q_i^-(x)) ,$$



$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L_z \leftarrow \text{"spin puzzle"}$$



# Str. fcn. and parton dist.:

Bluemlein & Boettcher  
NLO DGLAP fit

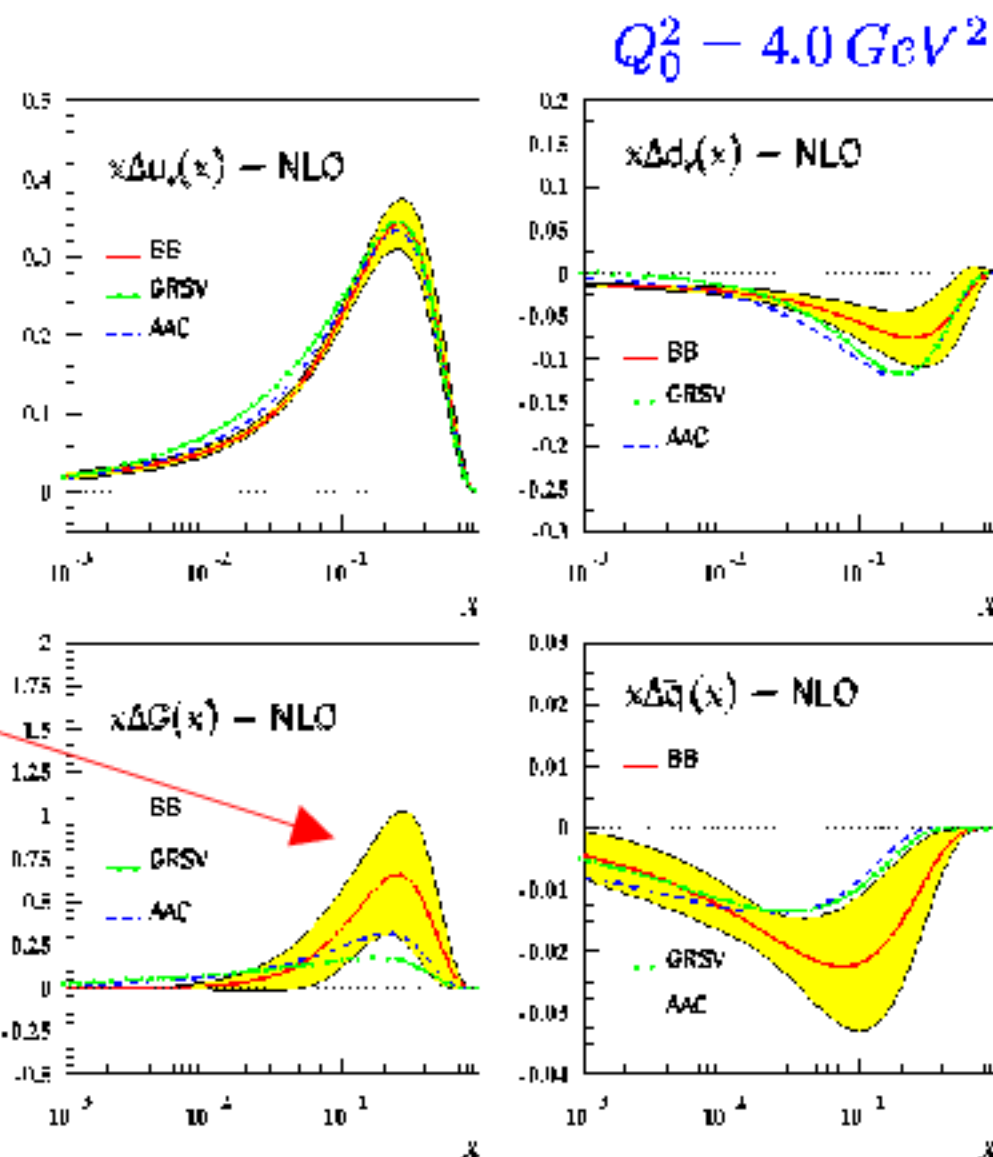
Flavor sym. assumed

Full propagation of  
correlated errors

Spin carried by gluon

Proposed factorization scheme  
independent analysis

$$\alpha_s(M_Z^2) = 0.114 \begin{array}{ccc} \text{(fit)} & \text{(fac)} & \text{(ren)} \\ +0.005 & -0.004 & -0.007 \\ -0.006 & -0.004 & -0.005 \end{array}$$

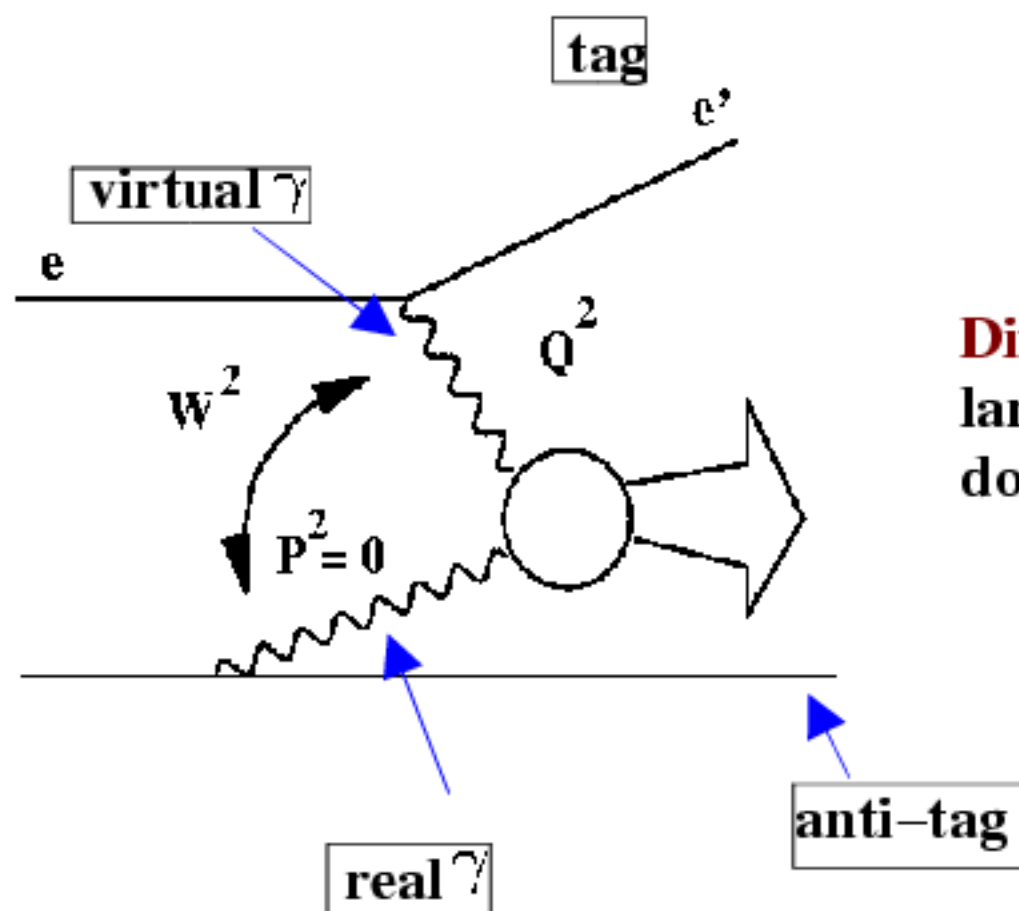


Results also from Sidrov et al.



# Str. fcn. and parton dist.:

## Deep Inelastic Scattering on a photon



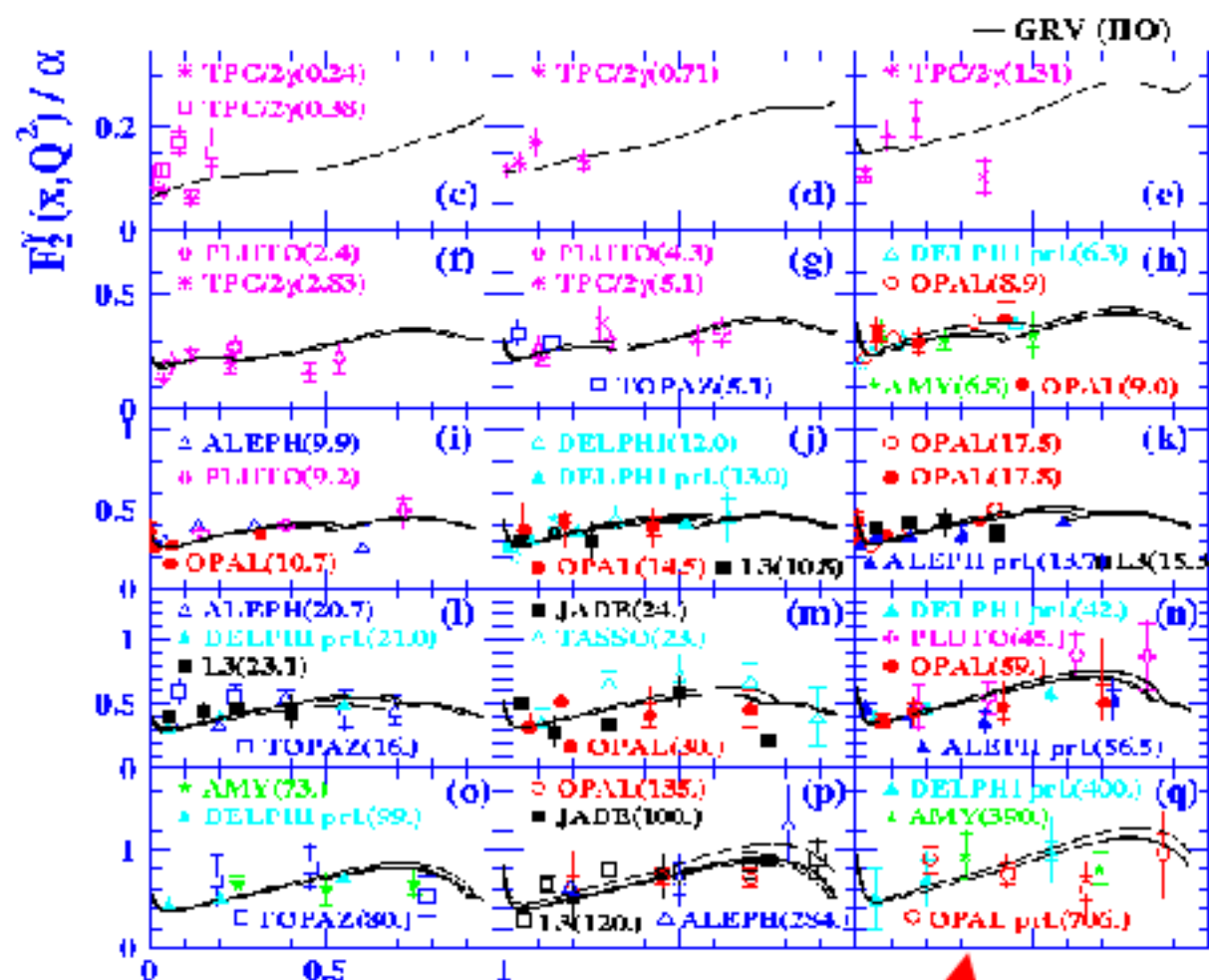
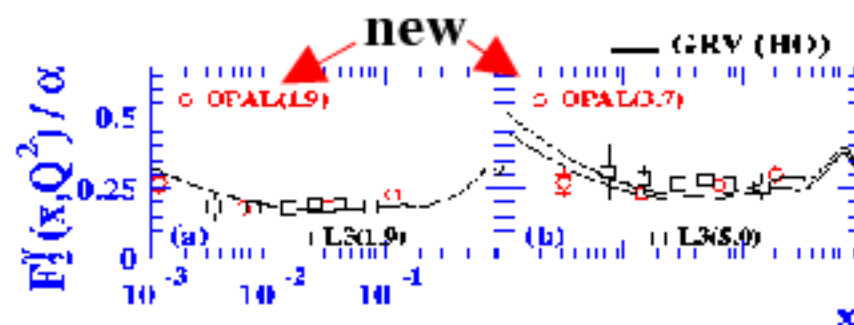
**Difficult measurement:**  
large part of final state escapes  
down beampipe



# World DATA on Photon F2

New results at low- $x$   
and high  $Q^2$  from  
OPAL

Hadronic component  
of photon needed.  
Rising at low- $x$ ?



# Summary: Structure functions and parton distributions

**HERA data spanning 6 orders of magnitude in  $x$  and  $Q^2$   
Precision  $\sim 3\%$  in large kinematic range.**

**Precise determination of gluon at low  $x$  and determination  
of  $\alpha_s$  to  $\sim 4\%$  from scaling violations. Unc. is dominated  
by theory.**

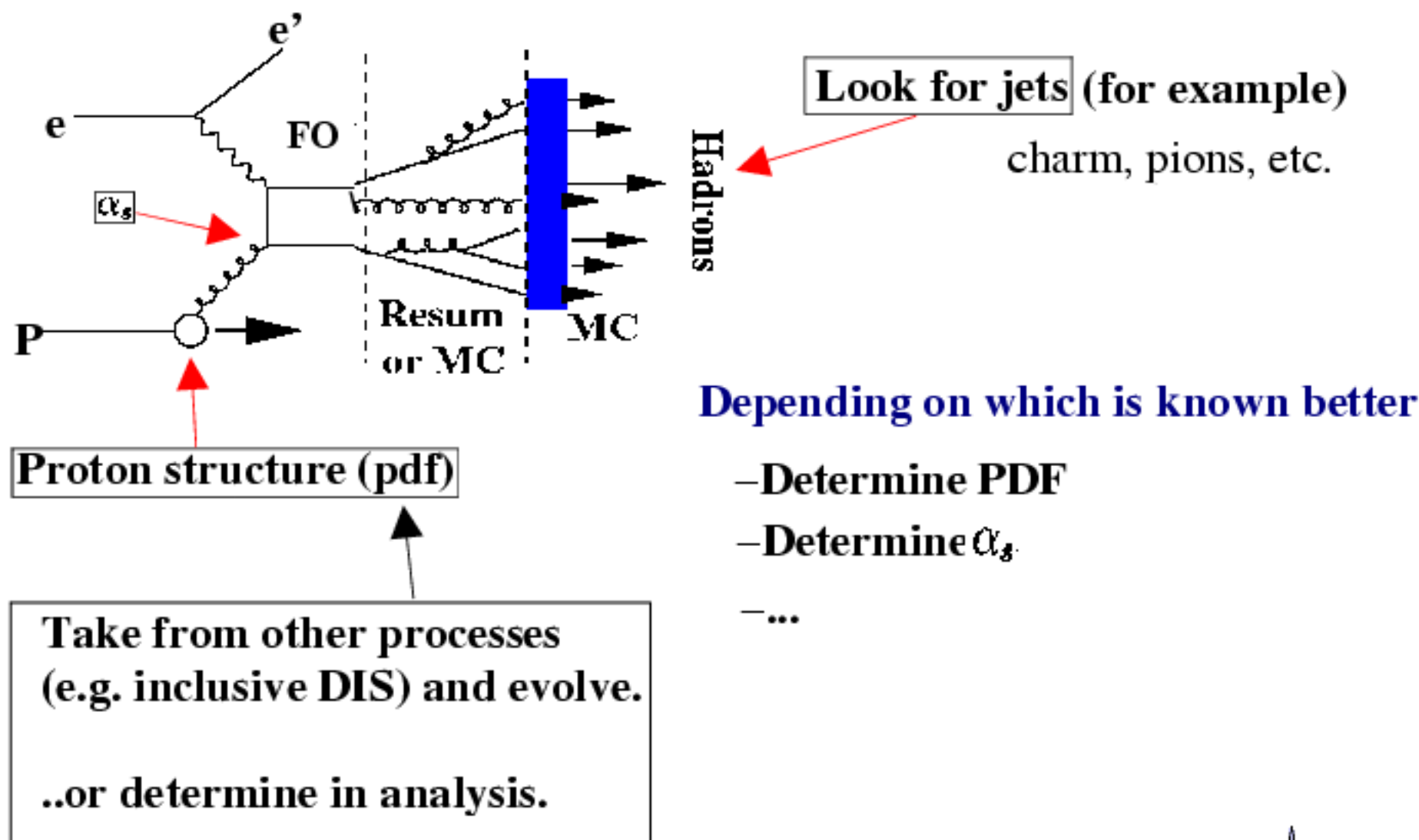
**Efforts to produce proton pdf's with errors and correlations (not shown)  
also for polarized str. fcns.**

**Nearly all calculations needed for NNLO DGLAP analysis  
is ready. (S. Moch talk —not shown here)  
Will reduce theory errors!**

Some additions to the photon structure data from LEP

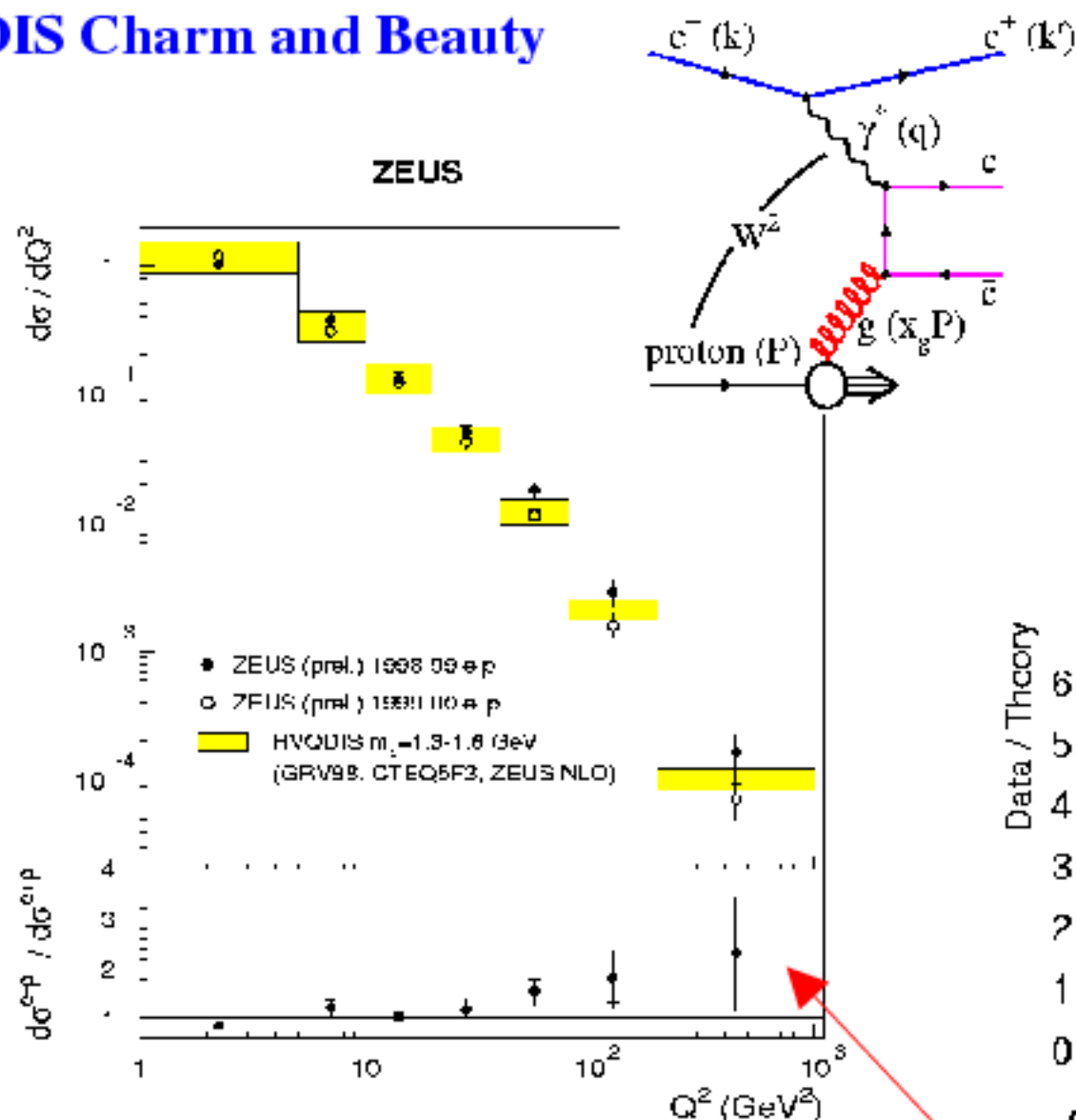


# QCD with initial hadrons: **Combine the previous 2 types!**



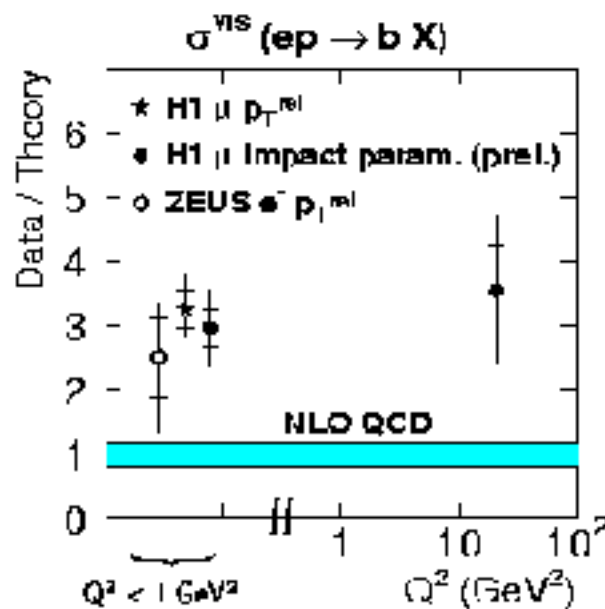
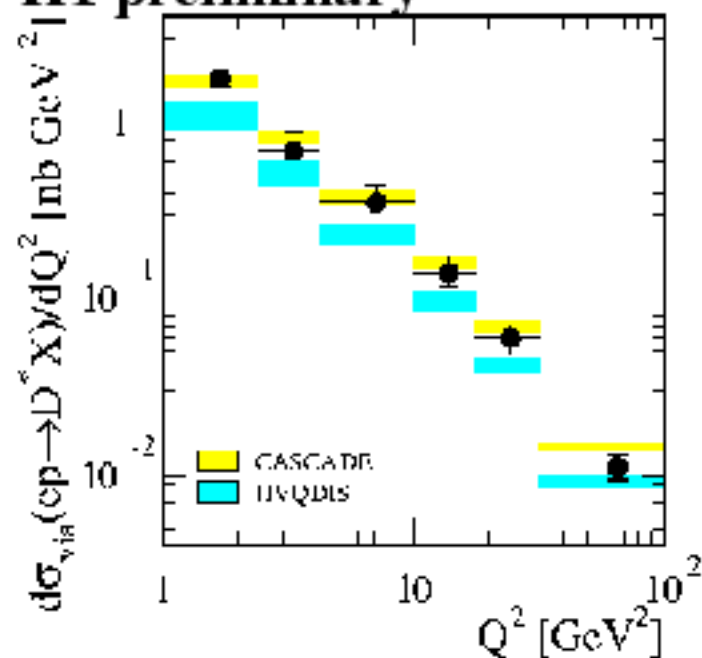
# QCD, initial hadrons:

## DIS Charm and Beauty



**3 sigma effect**

## H1 preliminary



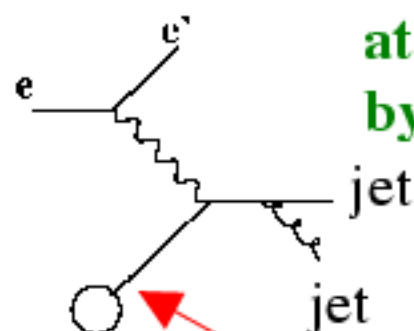
Beauty 2 sigma high



# QCD, initial hadrons: DIS jet studies at HERA

Had.Cor.

At high  $Q^2$ , dijet production at HERA is dominated by QCD.



Quark dist. well known at high  $x$

Determine  $\alpha_s$ .

H1 (inclusive jets):

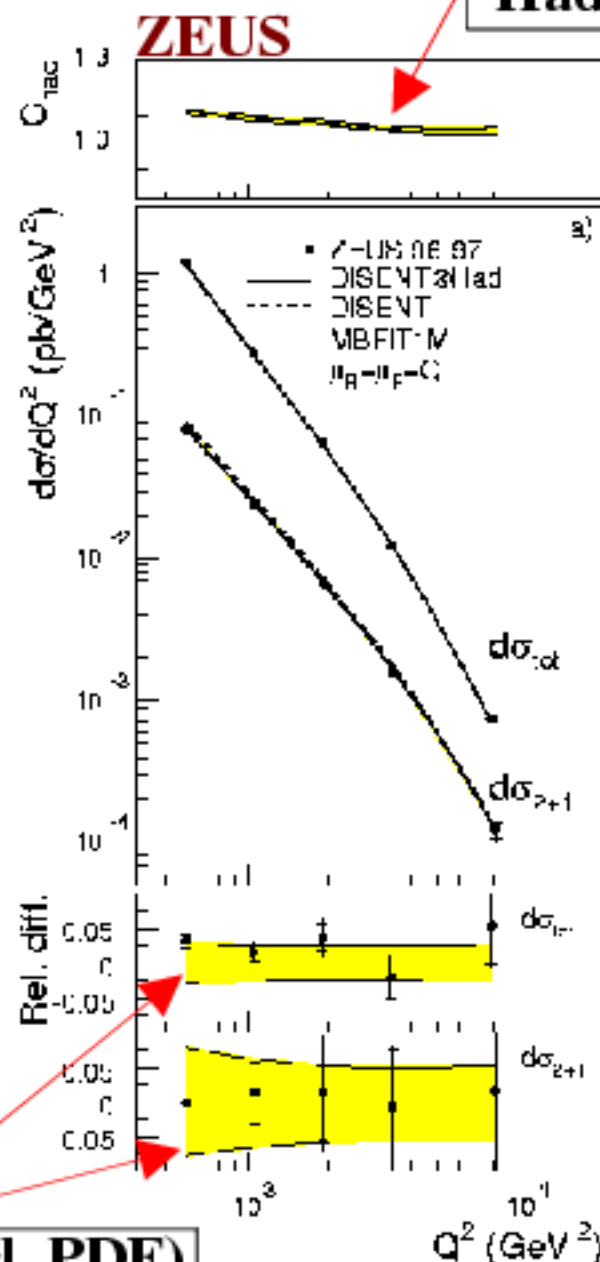
$$\alpha_s(M_Z) = 0.1186 \pm 0.0030(\text{exp}) \pm_{0.0015}^{0.0039}(\text{th.}) \pm_{0.0033}^{0.0033}(\text{pdf})$$

ZEUS (2jet/total ratio):

$$\alpha_s(M_Z) = 0.1166 \pm 0.0019(\text{stat}) \pm_{0.0033}^{0.0024}(\text{exp}) \pm_{0.0044}^{0.0057}(\text{th., pdf})$$

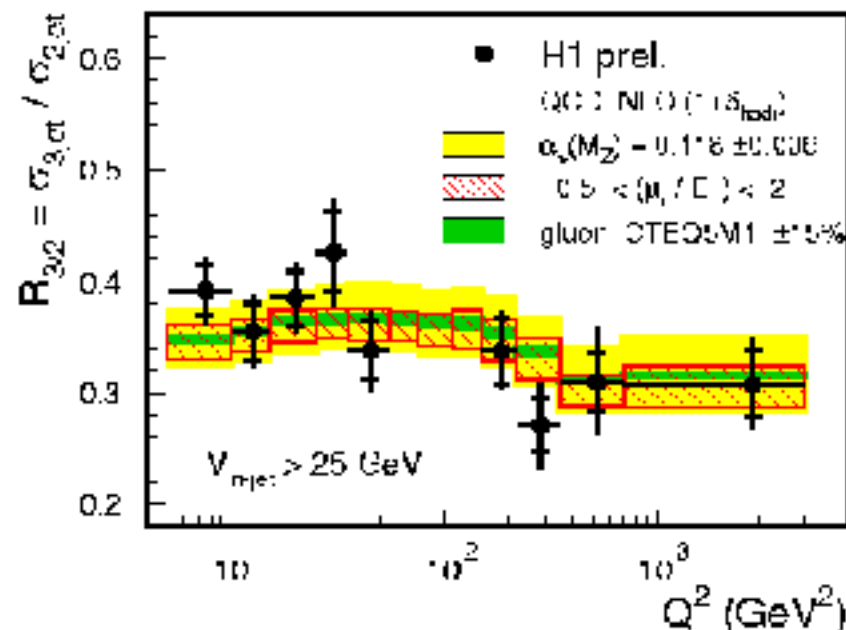
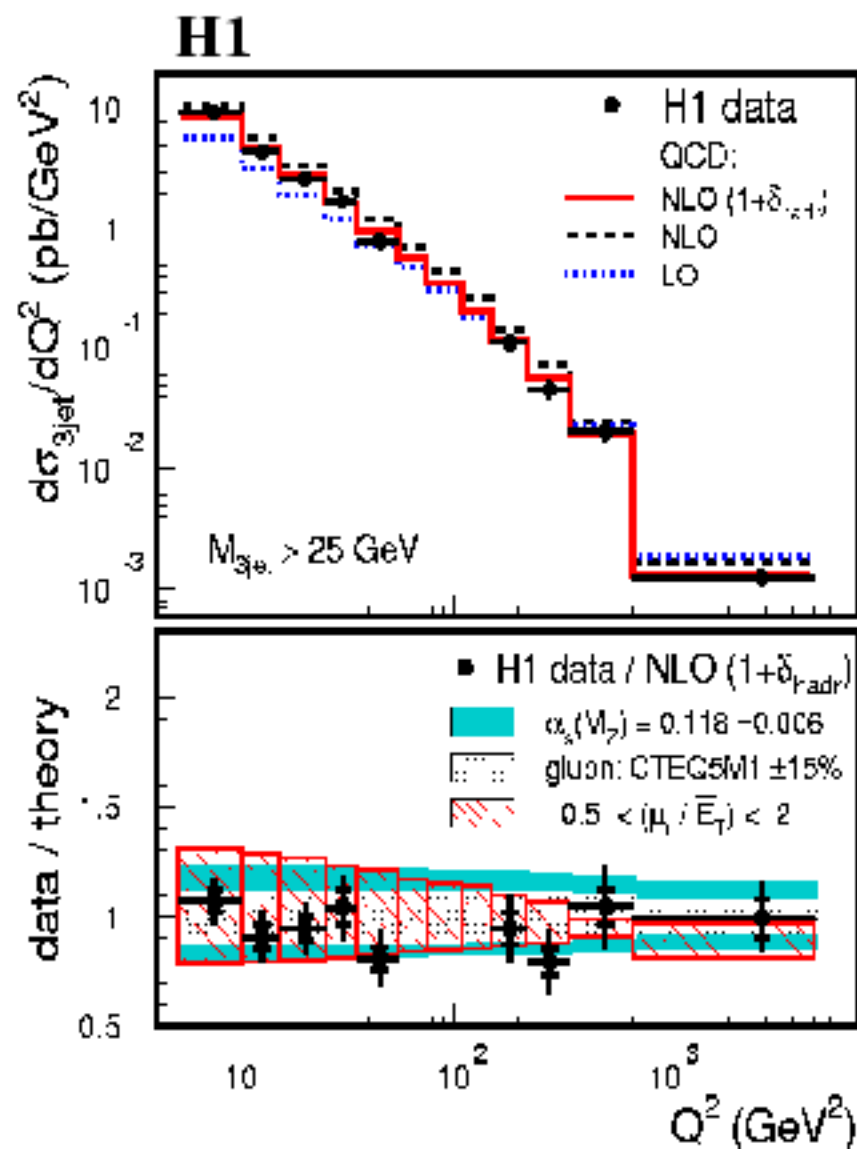
Theoretical unc. begins to dominate

Theo. unc. (incl. PDF)



# QCD, initial hadrons:

## 3-jets in DIS at HERA

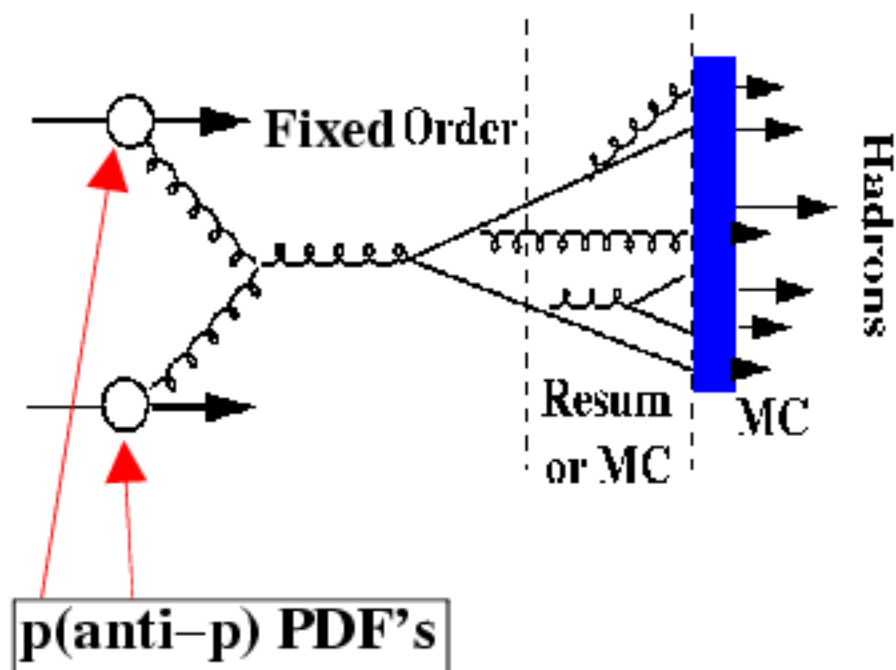


Recent NLO calculations  
(Nagy and Trocsanyi)

**Small theoretical unc. over wide  $Q^2$  range. Maybe very sensitive test (with more data).**



# QCD, initial hadrons:

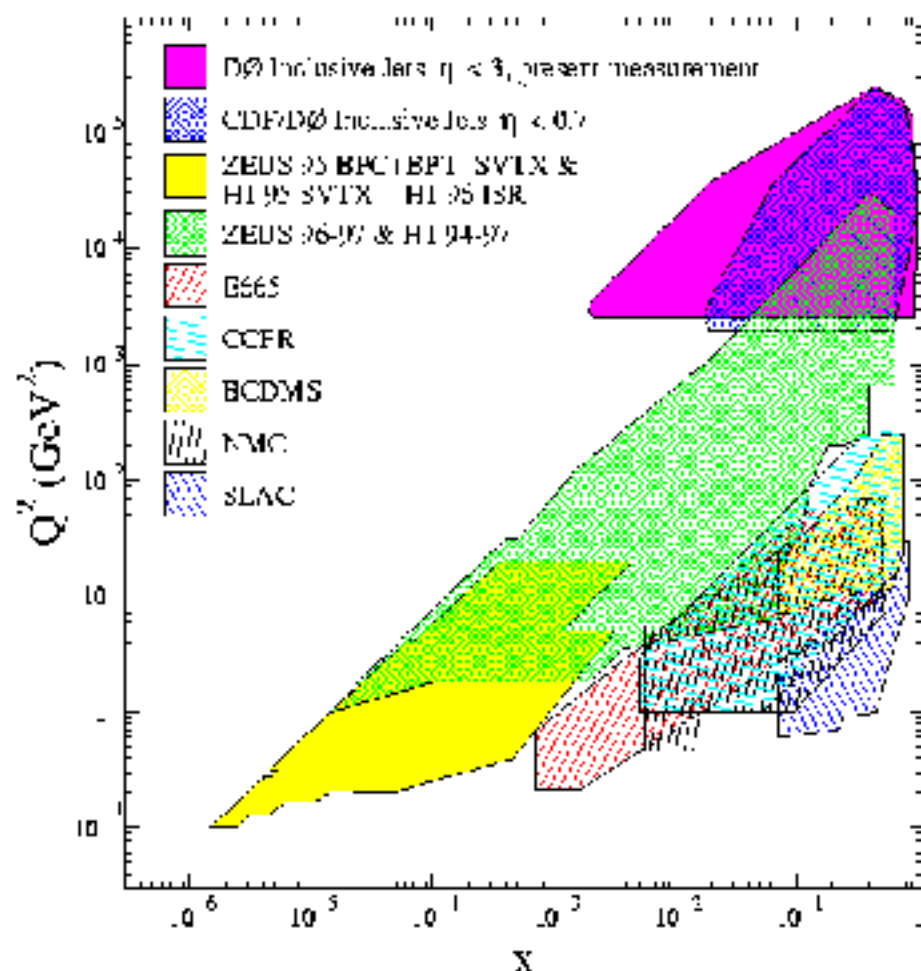


Early reports of high  $E_t$  excess absorbed in high  $x$  gluons.

"CTEQ4HJ"

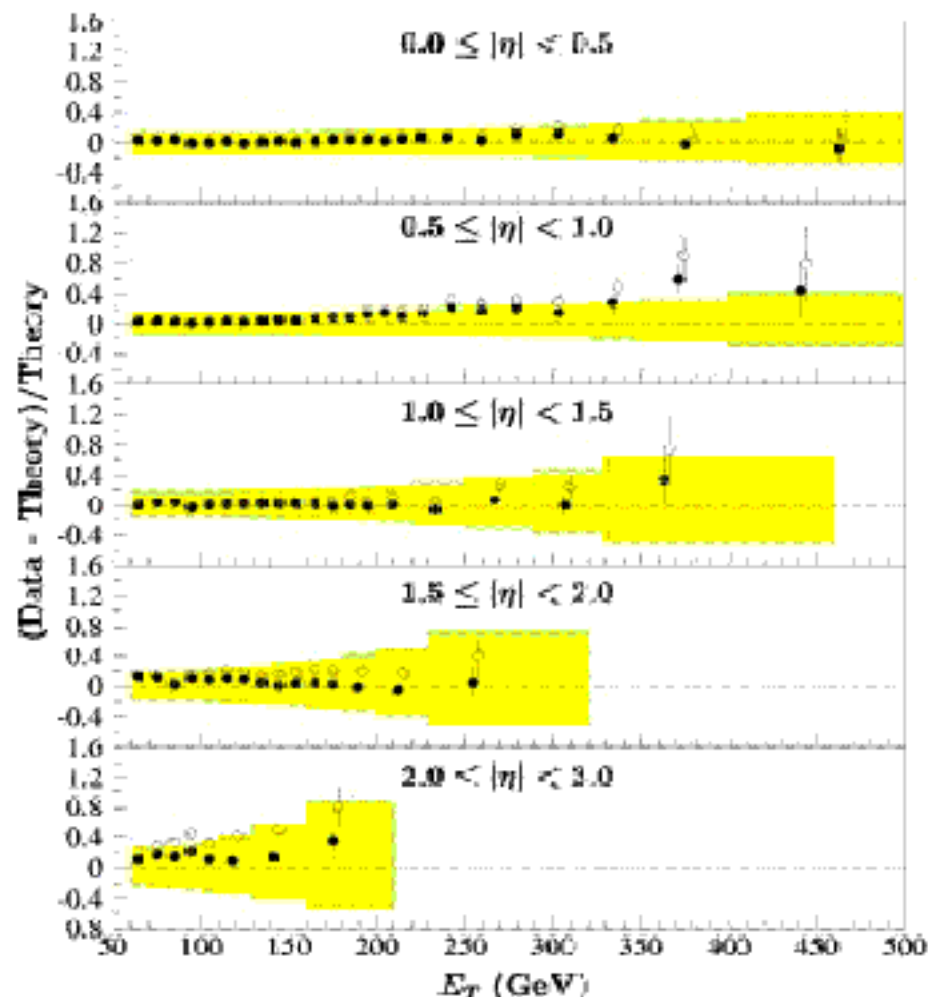
## Tevatron jets

$Q^2$  upto  $10^5 \text{ GeV}^2$



# QCD, initial hadrons:

D0



Closed: CTEQ4HJ Open: CTEQ4M

Extend meas.  
to higher rapidity

$$x_{12} = \frac{E_T}{\sqrt{s}} (e^{\pm\eta_1} + e^{\pm\eta_2})$$

$$\eta = -\ln(\tan(\theta/2))$$

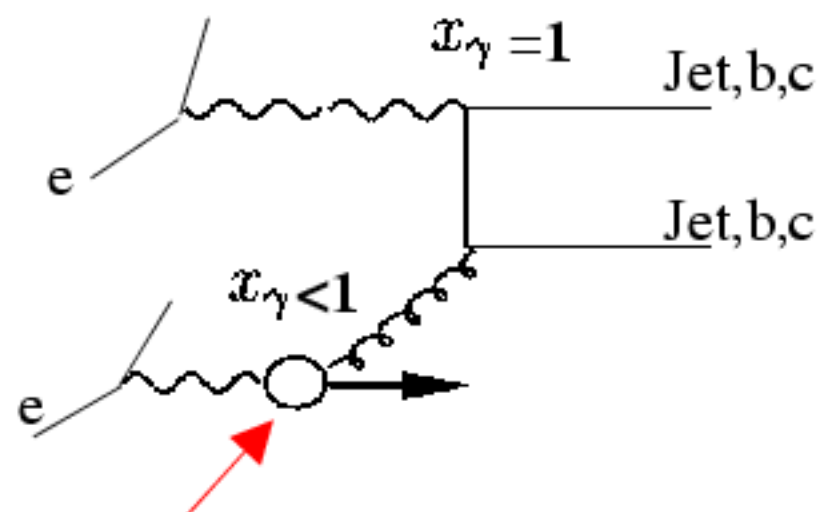
PDF	$\chi^2$	$\chi^2/\text{dof}$	Prob
CTEQ3M	121.56	1.35	0.01
CTEQ4M	92.46	1.03	0.41
<b>CTEQ4HJ</b>	<b>59.38</b>	<b>0.66</b>	<b>0.99</b>
MRST	113.78	1.26	0.05
MRSTgD	155.52	1.73	<0.01
MRSTgU	85.09	0.95	0.63

Consistent with several parton sets. Favors CTEQ4HJ

Note: there is a CDF dijet differ. cross-section analysis that finds no current PDF give a good fit  
hep/ex-0012013

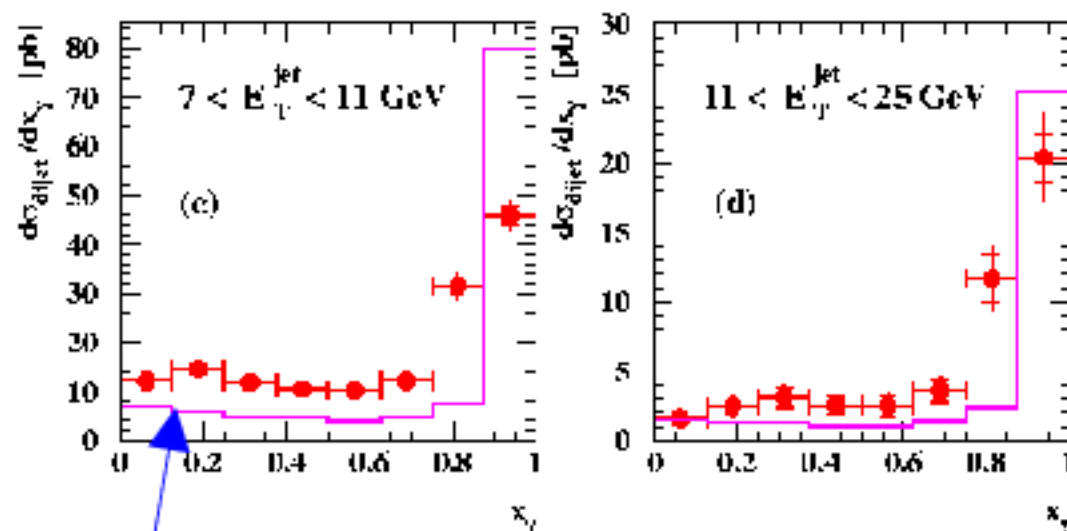


# QCD, initial hadrons:



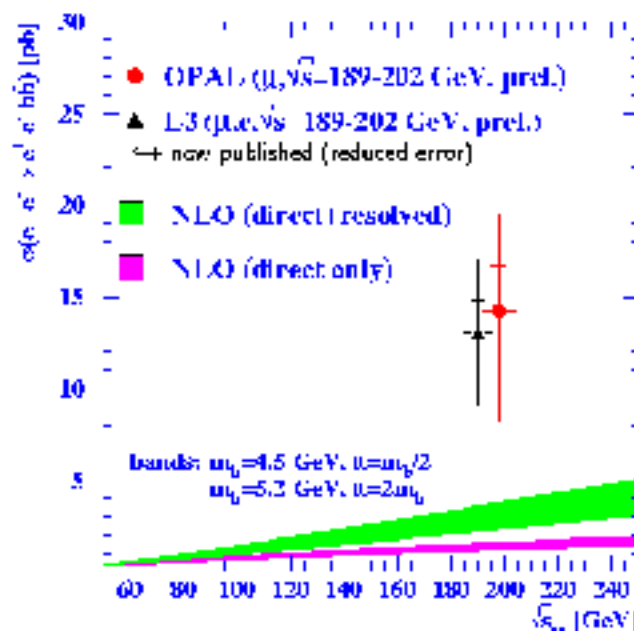
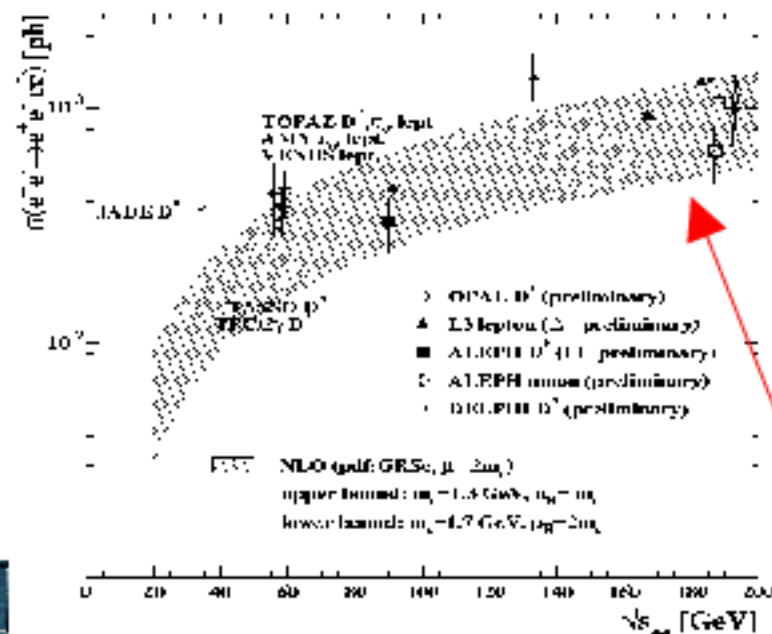
Photon structure (pdf)

OPAL preliminary



NLO (no hadr.)

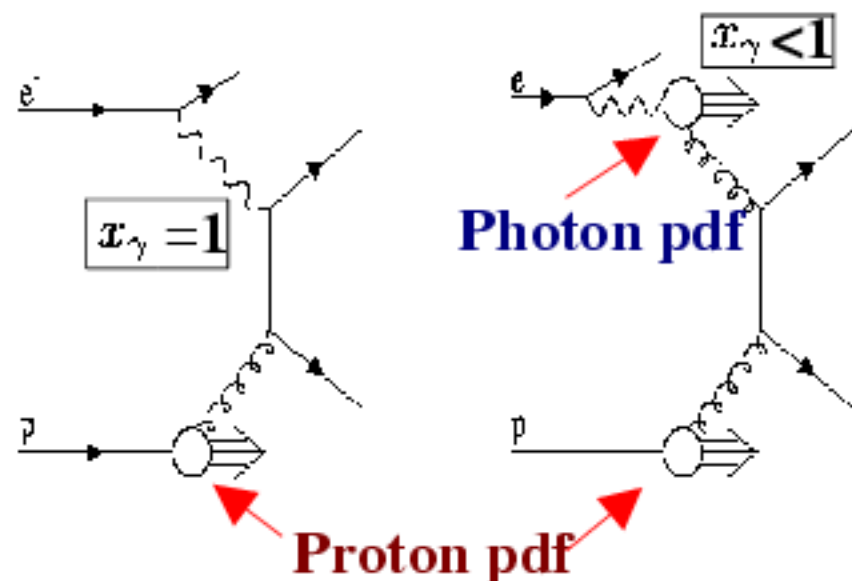
Deficit of resolved?



charm production described

Beauty  
not  
described!

# QCD, initial hadrons:

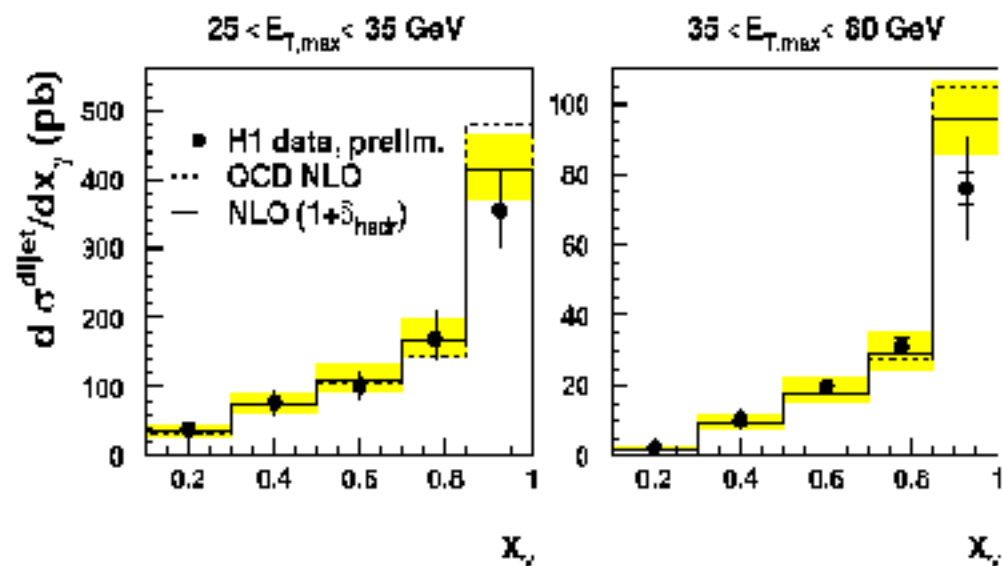


Proton pdf is relatively well-known.

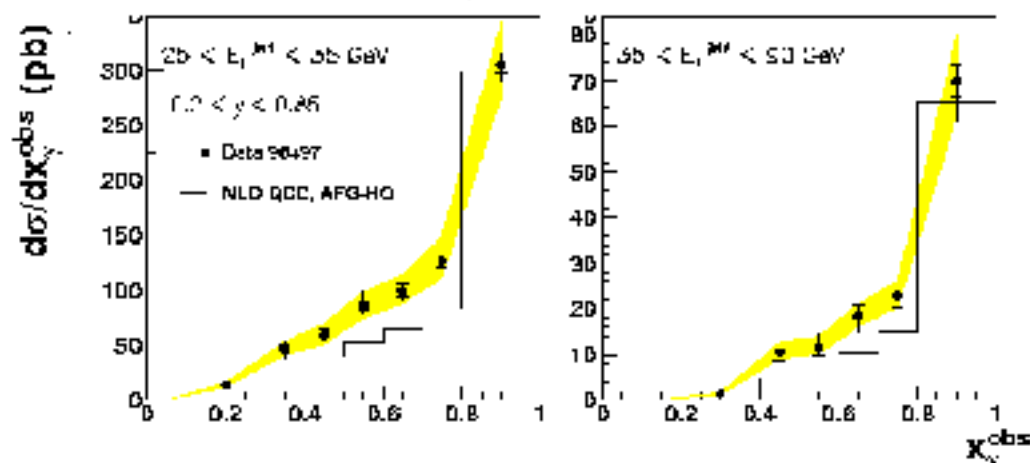
**Test photon pdf at  $x_\gamma < 1$ .**

Awaiting detailed comparison  
**H1** and **ZEUS**..

## Photoproduction dijets at HERA



**ZEUS preliminary**



# Summary: QCD with hadrons in the initial state

Jet measurements at HERA achieving ~4% uncertainty on  $\alpha_s$ . Uncertainties are dominated by theory.

Tevatron jets consistent with current PDF's? Some apparent contradictions. Ununderstood effects?

Are NLO and photon pdf's describing the data? OPAL, ZEUS says no, H1 yes.

Beauty cross sections are (still) too high. (only partially shown here)  
Discussion from Frixione (maybe frag not understood?)

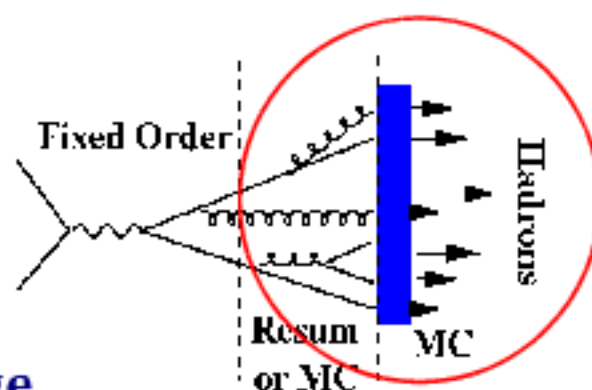


# Fragmentation:

D0 quark/gluon jet study

–higher gluon color charge

→ more subjets than quarks



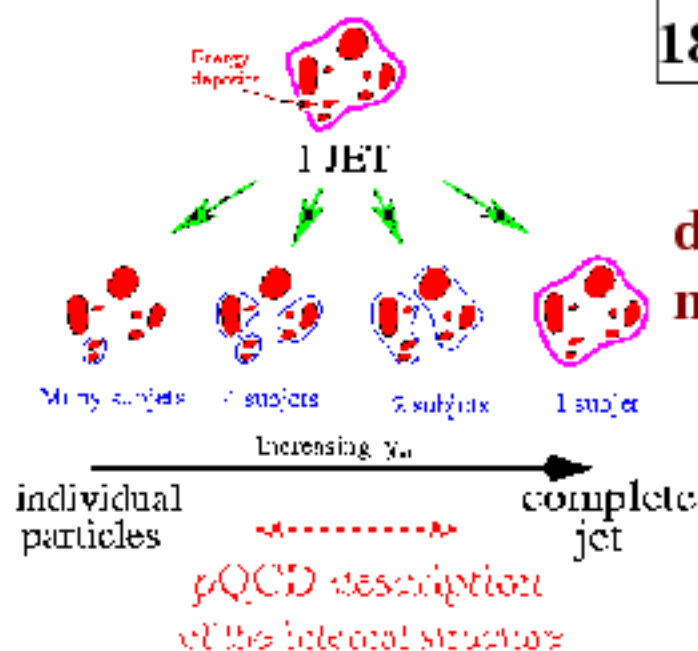
Look more closely here

Modelled in parton showers

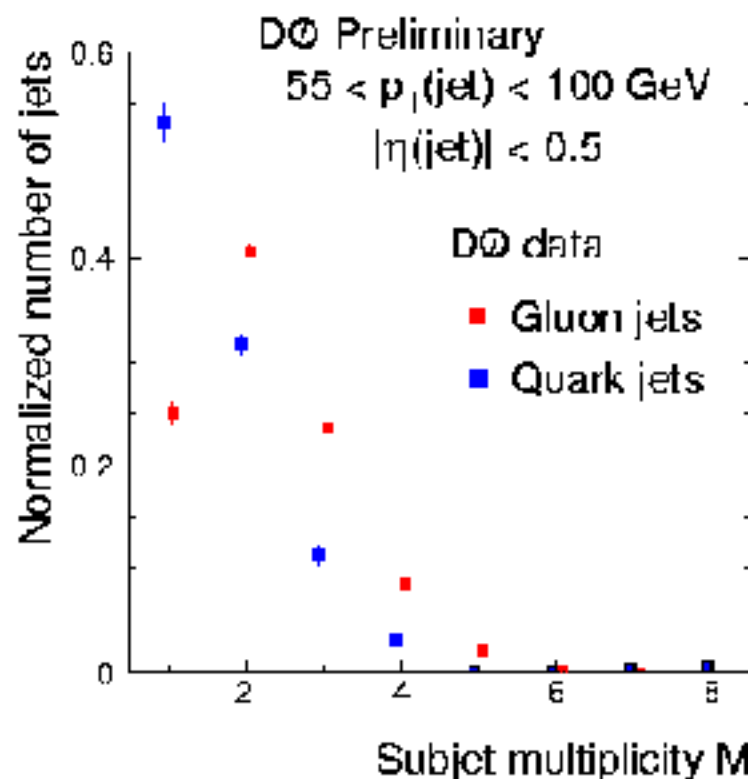
Herwig based extraction of q/g subjet distributions

630 and  
1800 GeV runs

different q/g  
mixture

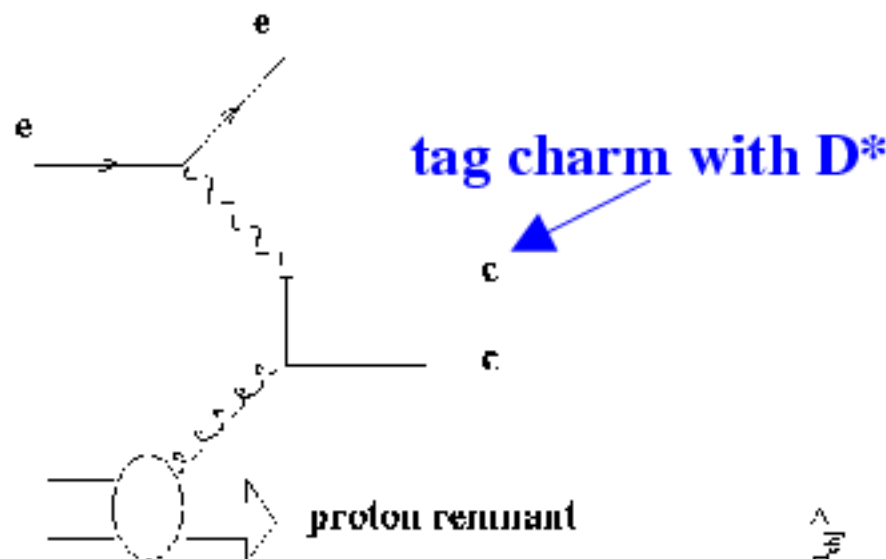


$y_{cut} = 0.001$



# Fragmentation:

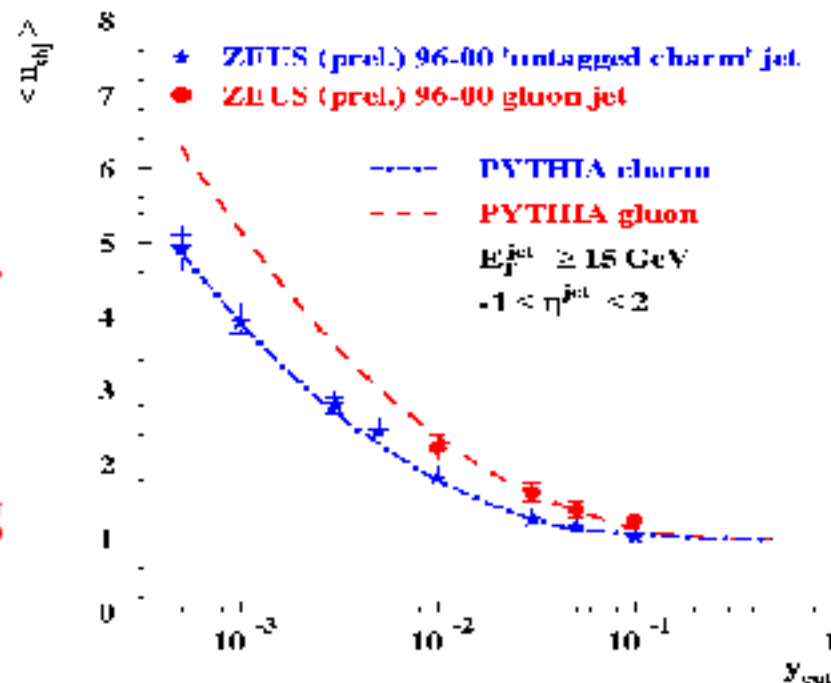
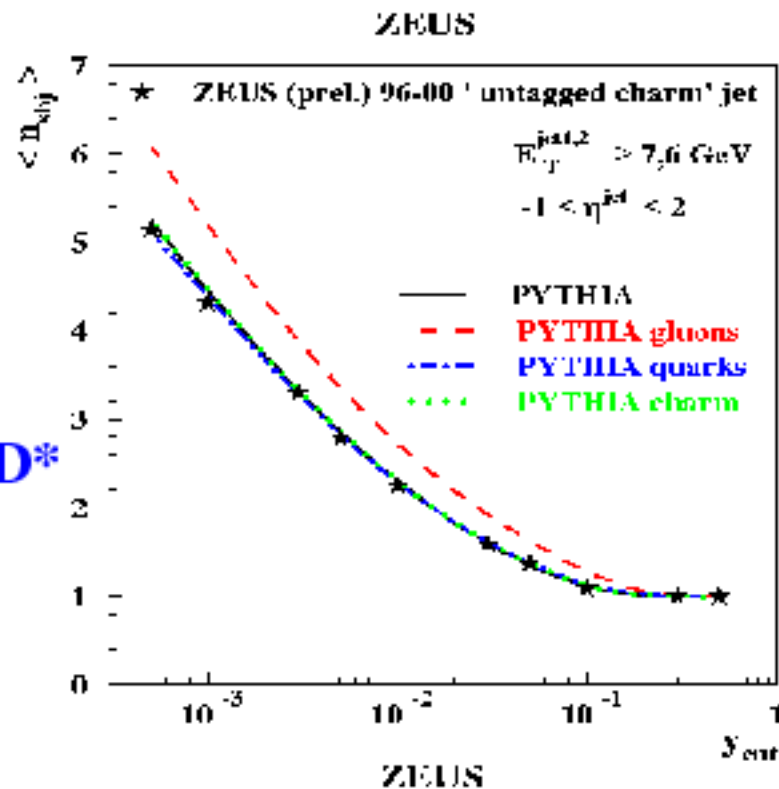
## HERA quark/gluon shape



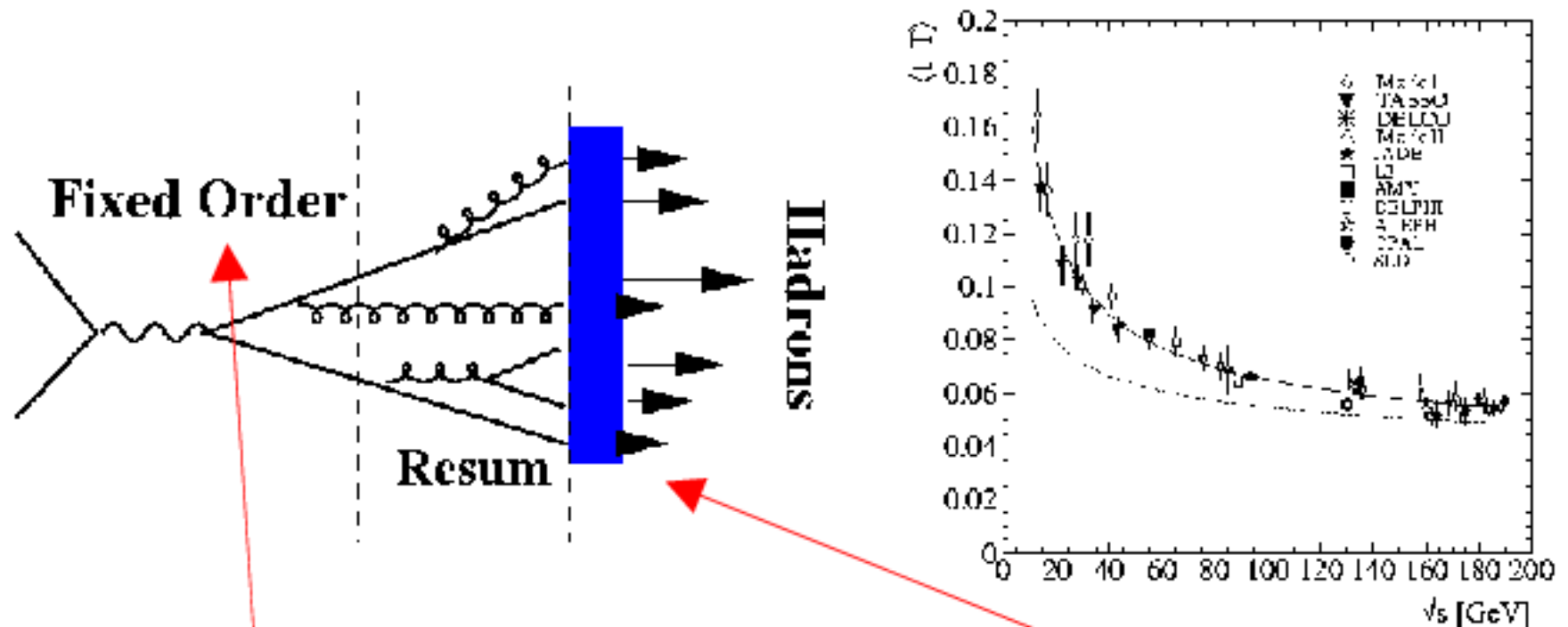
Study the "other jet" and compare to quark jets in MC.

Pythia gives good description

Extract gluon jet shape using MC prediction of q/g ratio



# Power corrections and event shapes:



Divergences **HERE** are related to non-pert. effects **HERE**

**Get the form of the correction but not magnitude**

**Structure Functions:  $1/Q^2$  corrections**

**identify with higher twist (OPE)**

**Event Shapes:  $1/Q$  corrections**



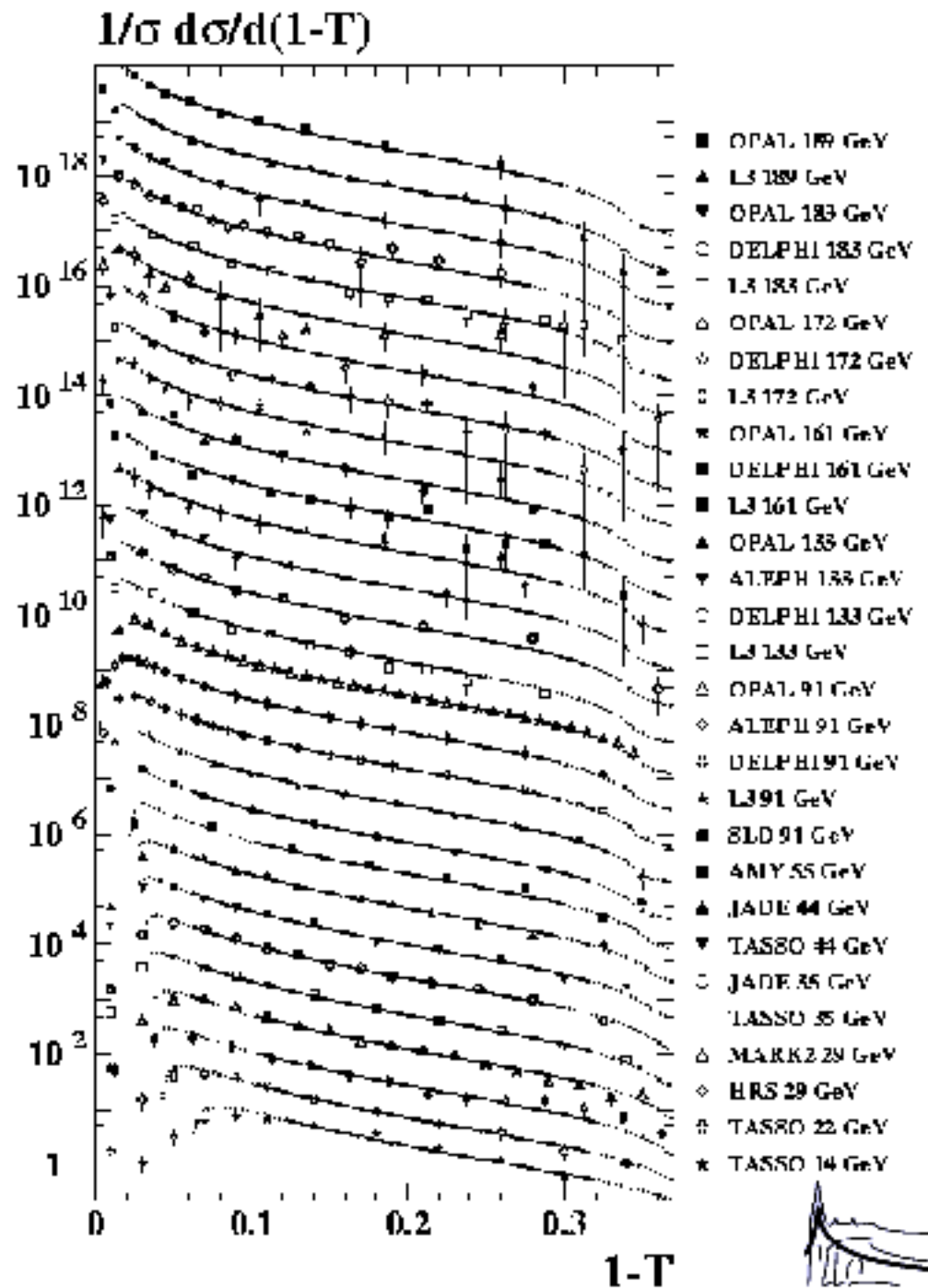
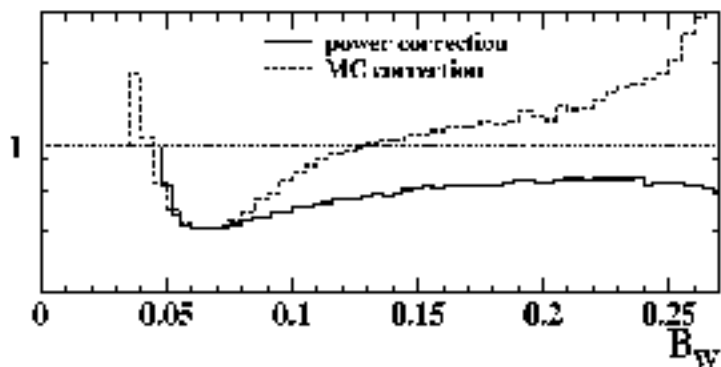
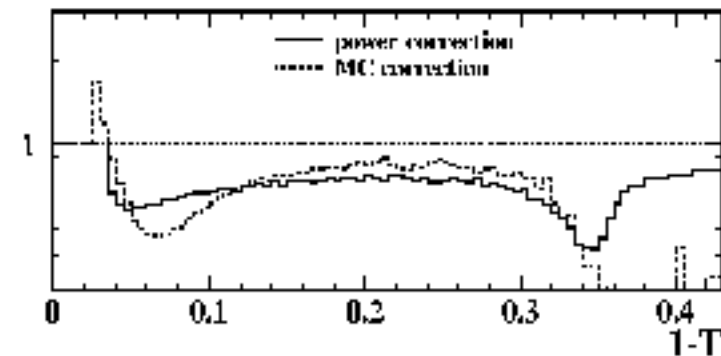
# Power corrections:

**e+e- Event Shape+Power Cor.**

Movilla Fernandez, et al.

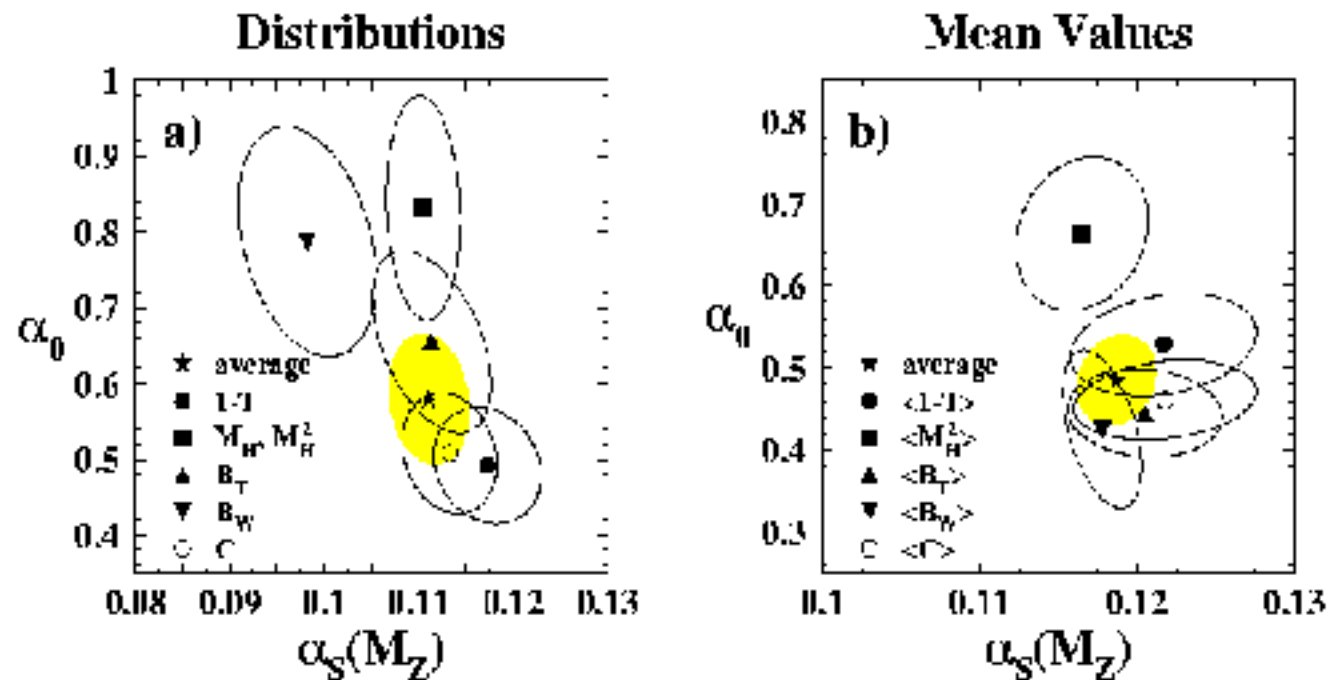
**14 to 189 GeV data**

**Corrections at 35 GeV**



# Power corrections:

Movilla Fernandez et. al.



**Dokshitzer–Webber type analysis:** Universal effective parameter  $\alpha_0$

**Combining means and distribution gives:**

$$\alpha_s(M_{Z^0}) = 0.1171^{+0.0032}_{-0.0020} \quad \text{and} \quad \alpha_0(2 \text{ GeV}) = 0.513^{+0.066}_{-0.045}$$

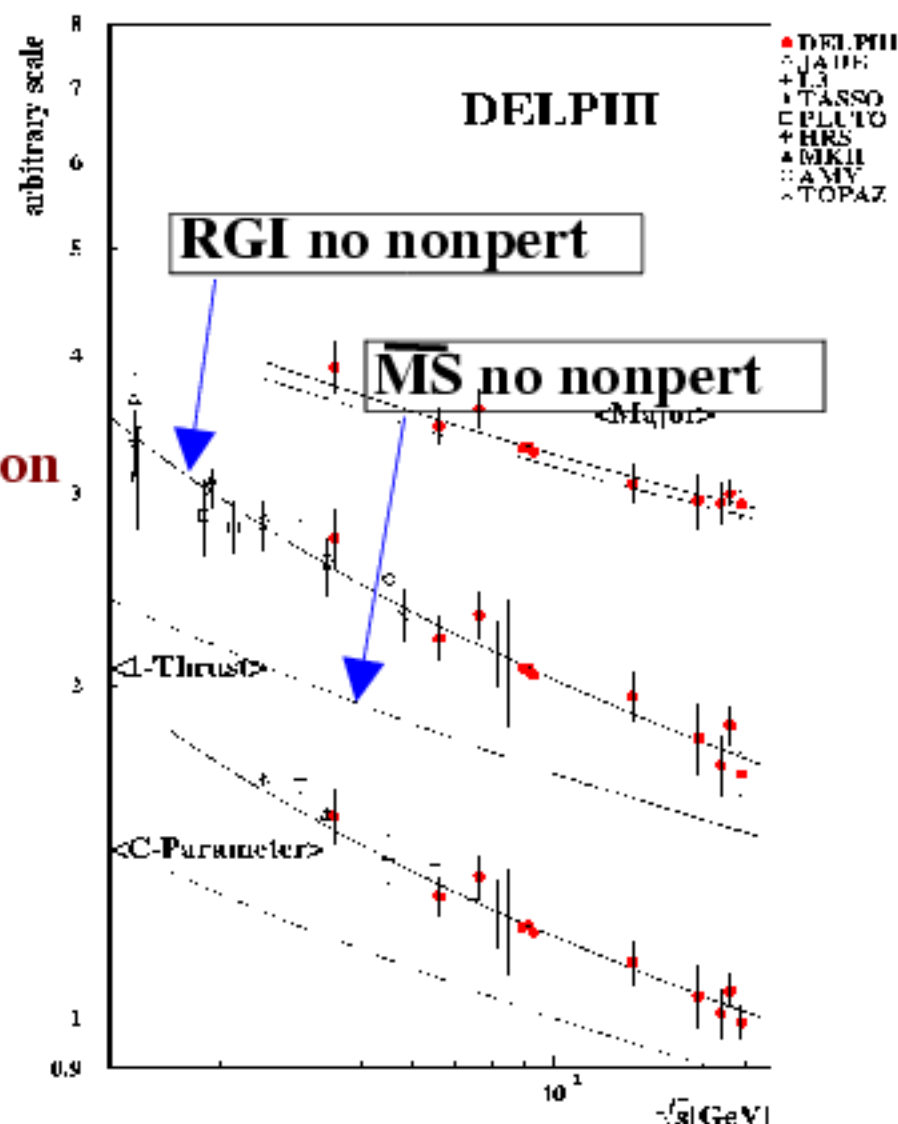
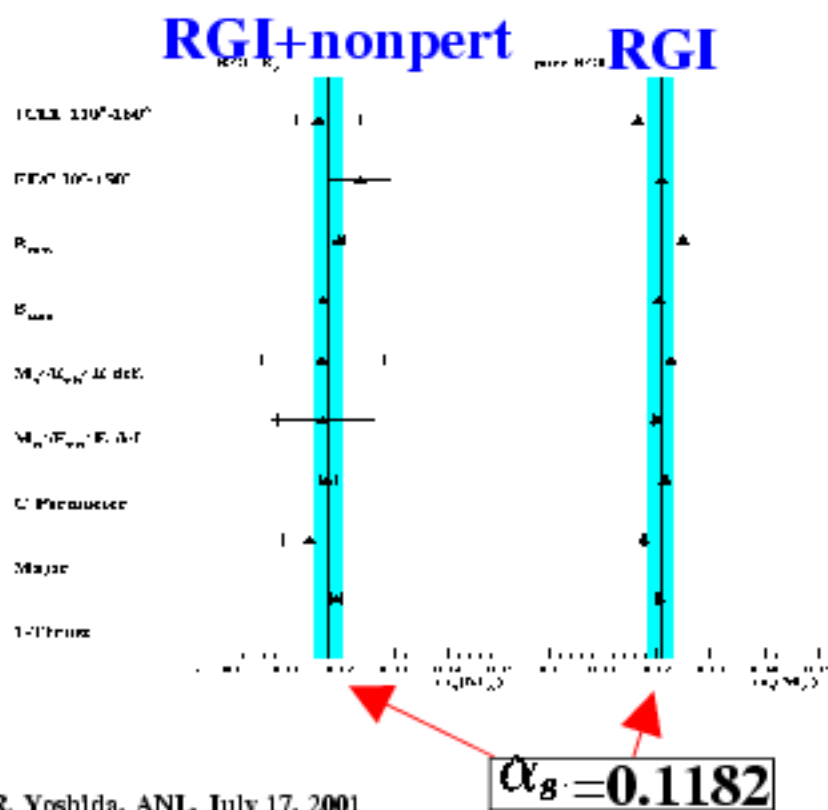


## QCD studies type V:

## DELPHI:

## Renormalization Group Independent (RGI) analysis

## Related to PMS, ECH type renormalization scale optimization



Thoretically justified procedure?



# Summary Power corrections and event shapes

Many analyses for  $e^+e^-$ , spanning large energy range.  
Gives consistent answers. Are the D-W type ansatz correct? Uncertainty in  $\alpha_s$  at 2.5% is among the smallest

Many more analyses than was shown here. Also using these techniques to measure color factors (Kluth et al)

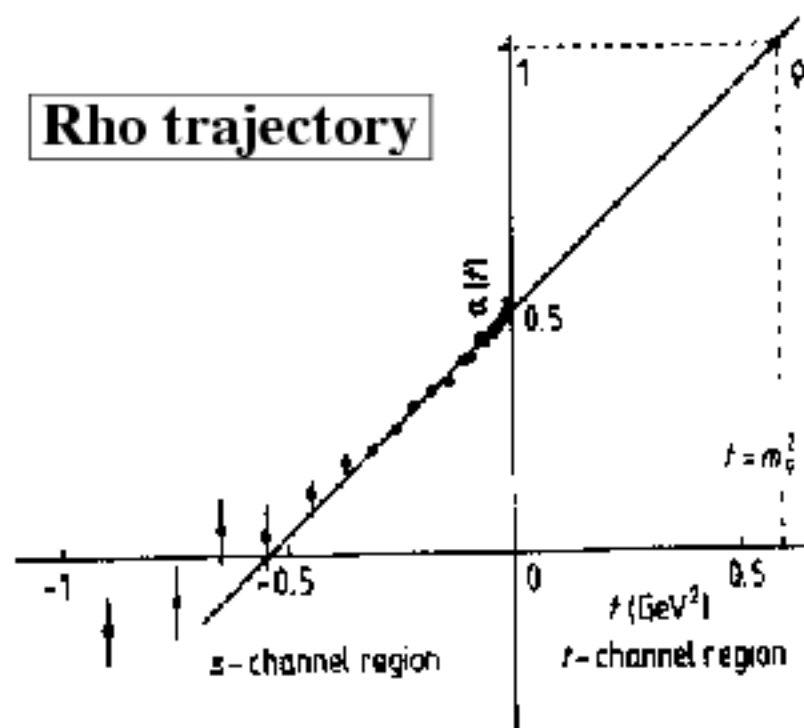
RGI analysis "gets rid" of power corrections.



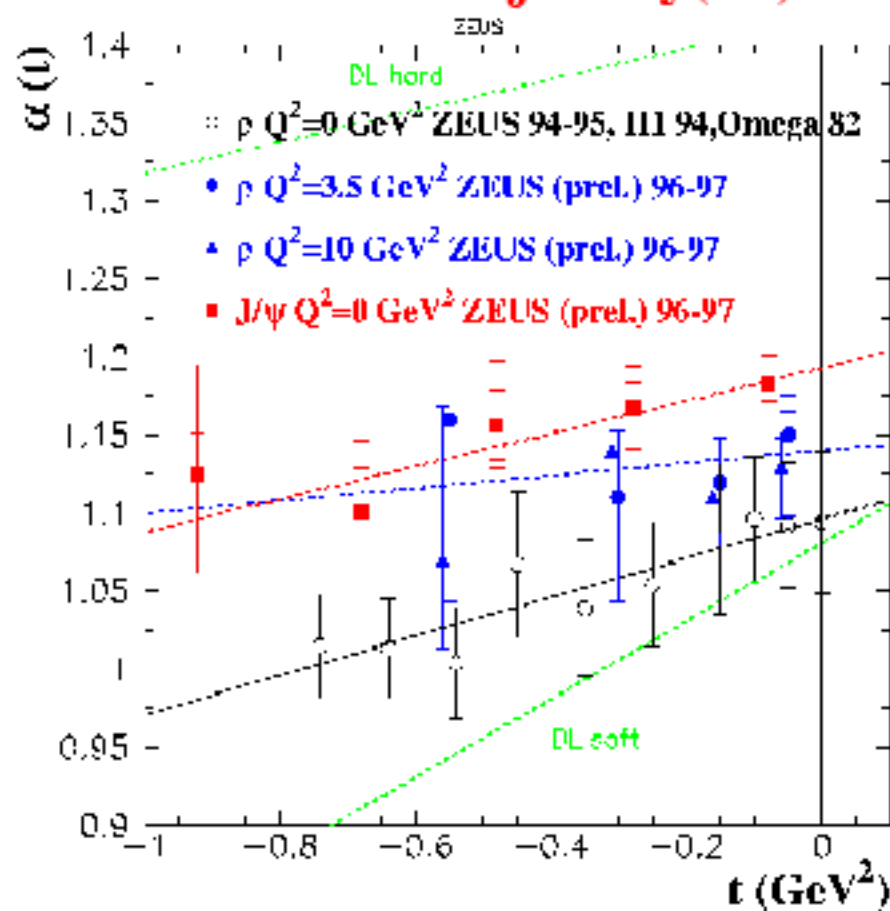
# Connection with soft QCD:

Pomeron controls the rise of hadronic cross-sections?

Triumph of Regge theory (50's, 60's)



Pomeron trajectory(ies)?

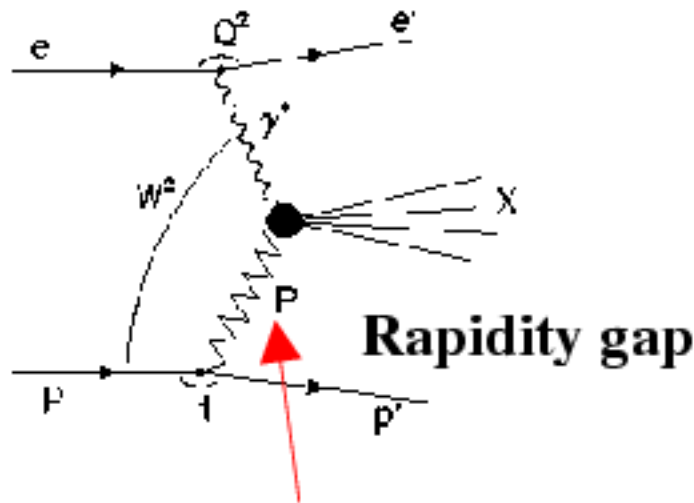


No universal Pomeron trajectory



# Connection with soft QCD:

## DIS diffraction at HERA



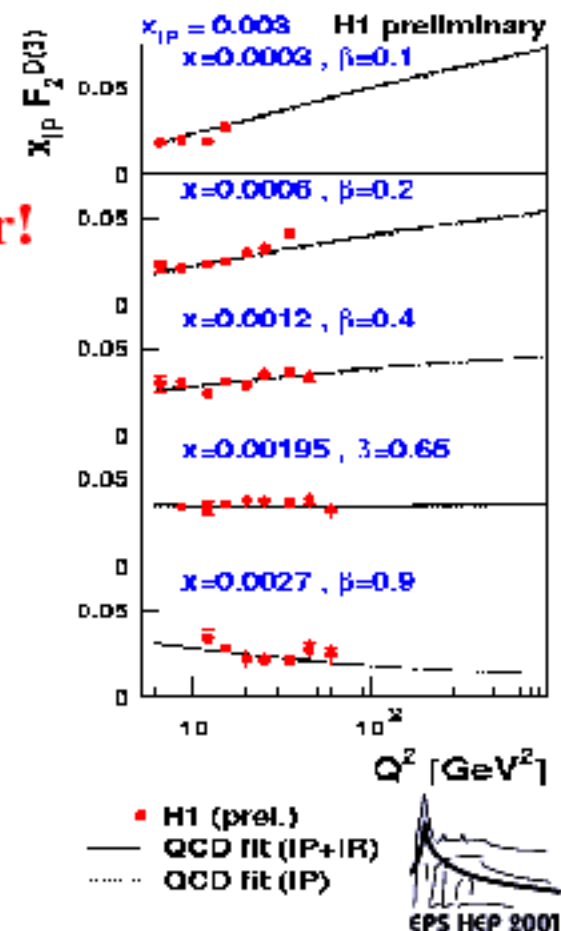
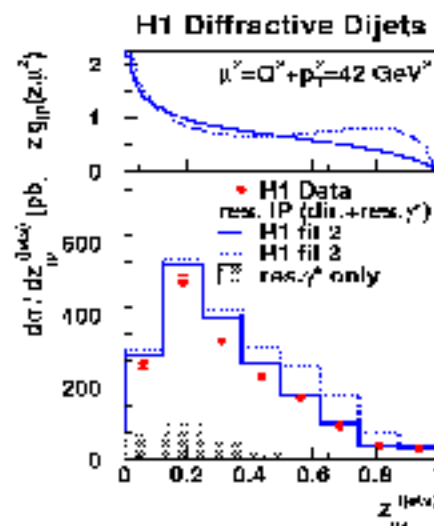
What is this object?

The flux factor must be telling us about gluon correlation in the proton!

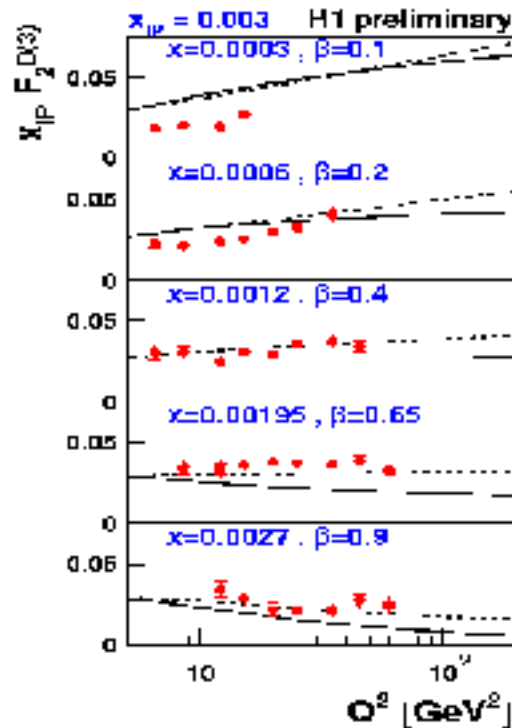
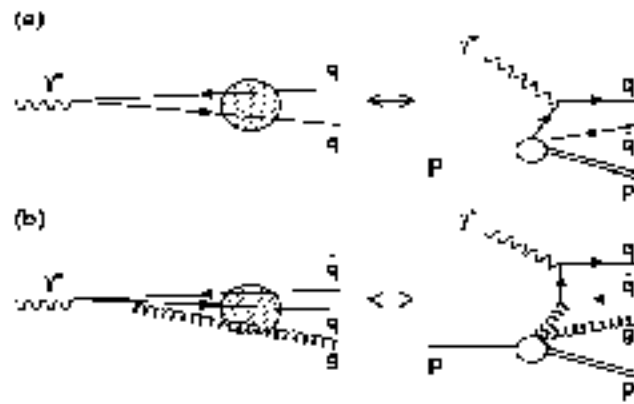
⇒ Can do a GLAP analysis of  $F_2^{\text{IP}}$ , the Pomeron structure function.

$f(x_{\text{IP}}, t) \approx 1/x_{\text{IP}}$ , the Pomeron flux factor.

Predictive power!



# Connection with soft QCD:



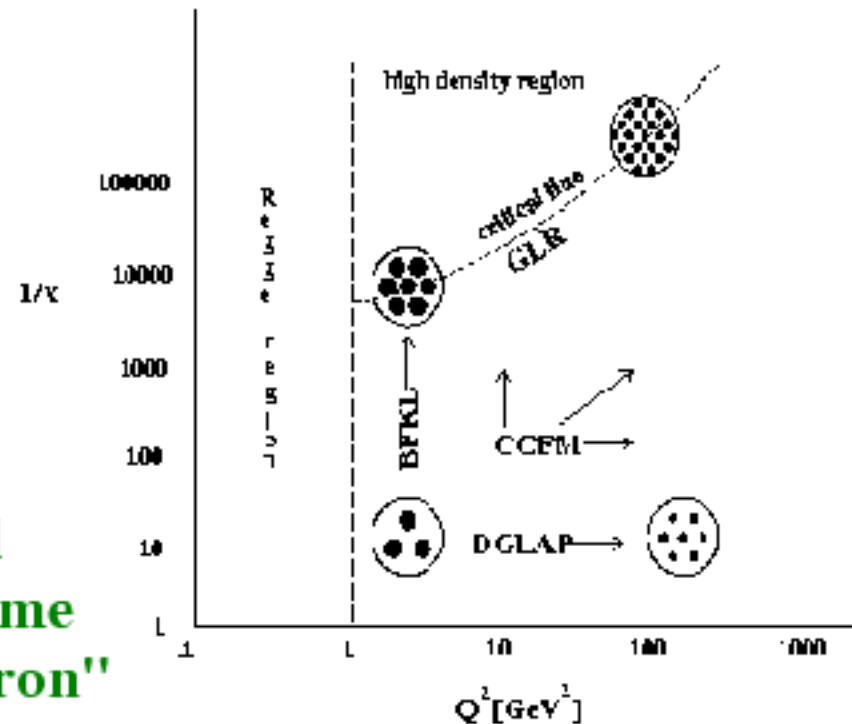
• H1 (prel.)  
 Dipole model (G-B,W)  
 Dipole model weevol. (G-B,W)

Simple geometrical model in dipole frame reproduces "Pomeron" structure qualitatively

The simple model implies dynamics beyond DGLAP

Are we approaching the high density region in HERA?

Where are the signs of BFKL?



# Conclusions:

QCD is (still) a vibrant and exciting field.

There are now very many *precision* tests of QCD

–As a benchmark..think of the number of 3–5% measurements of  $\alpha_s$  presented here.

Still, QCD is a complex topic. In many analyses, need to look at the "fine print". Not just a matter of who's quoting the smallest errors!

While on the whole, there's an impressively consistent picture, there are many questions in detail.

2 years ago, the reviewer remarked on need for improvements in theory.——this is beginning to appear now.

Some of the most intriguing results are coming out of studies of soft–hard QCD boundaries. From understanding pQCD to understanding Hadrons!

And finally ....



# Apologies and Acknowledgements

I have had to leave out very many important results. There were over 200 abstracts/papers. (The subjects of this talk were covered in 3 talks at Tampere).

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