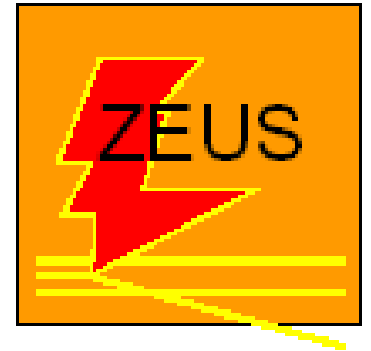


# Parton Distribution Functions: Impact of HERA

**Kunihiro Nagano (KEK, Japan)**

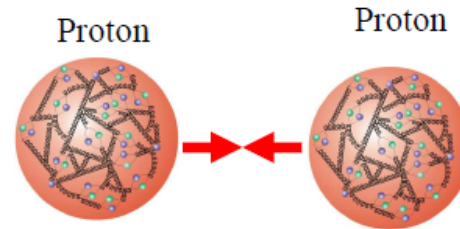
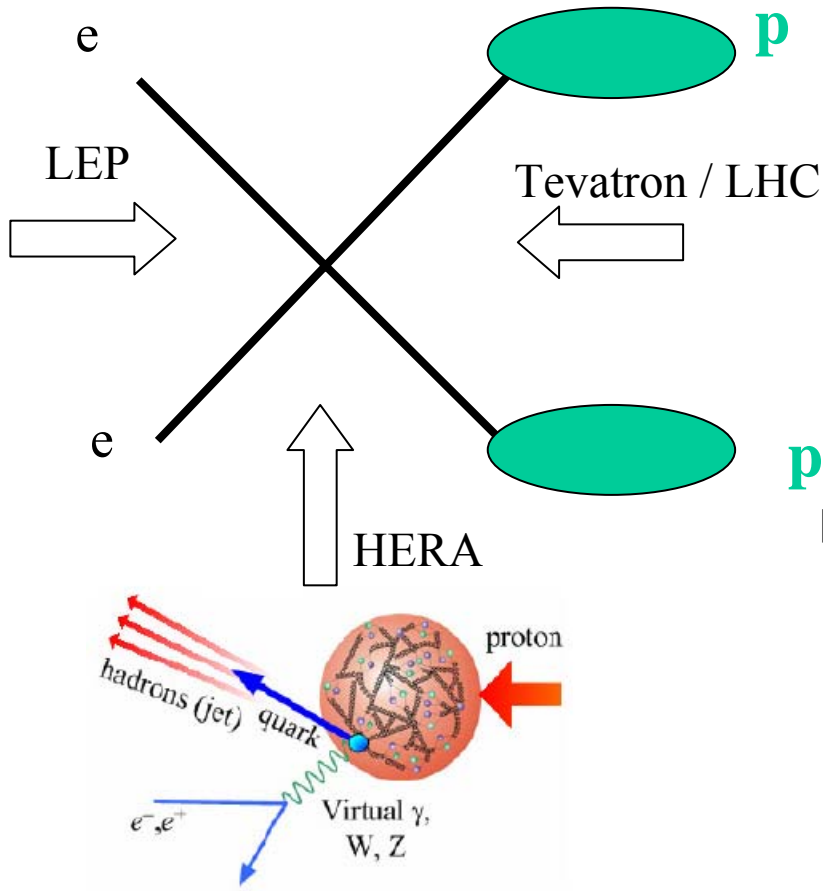


**On behalf of  
the H1 and ZEUS collaborations**



**Hadron Collider Physics Symposium 2008 (HCP08)  
27-31 May 2008, Galena, Illinois, USA**

# HERA and Hadron Colliders



$$\sigma = \sum_{pdf} \int dx_i dx_j [f_i(x_i) f_j(x_j) \times \Delta\sigma(q_i q_j \rightarrow X; x_i, x_j)]$$

## ► QCD Factorization Theorem

- Separate long- ('soft') and short-distance contributions
- Collinear divergence ('soft contribution') is absorbed into Parton Distribution Functions (PDFs)

$$\sigma = \sum_{pdf} \int dx_i [f_i(x_i) \times \Delta\sigma(e q_i \rightarrow X; x_i)]$$

**If uncertainty in PDF:**

**→ “squared” impact at hadron colliders**

# Deep Inelastic Scattering: a straightforward tool to "look" inside proton

Measured cross sections → Structure Functions (SFs)

$$\frac{d^2\sigma}{dx dQ^2} \approx \frac{2\pi\alpha^2}{xQ^4} Y_+ F_2$$

Measure in terms of:  
➤ Mom.frac. of parton  
➤ Spatial resolution

If proton is point like →  $\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} Y_+$

- Virtuality:  $Q^2 = -(k - k')^2$   
→ Spatial resolution of probe  $\lambda \sim 1/\sqrt{Q^2}$
- Bjorken scaling variable:  $x = Q^2 / 2pq$   
→ Momentum fraction of struck parton

**$F_2$  SF parameterize target structure, i.e how far from point-like**

SFs → PDFs : DGLAP evolution inspired Quark Parton Model

$$F_2 = x \sum e_q^2 (q + \bar{q})$$

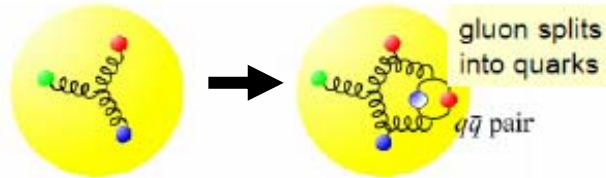
◆ Quarks and antiquarks (spin 1/2 ) PDFs  
→ Directly sensitive to cross section

$$\frac{dF_2}{d \ln Q^2} \propto \alpha_s x g$$

◆ Gluon (spin 1) PDF  
→ Indirectly sensitive to cross section via  $Q^2$  shape

# Determination of PDFs

- QCD tells looking (PDFs) depends on scale ( $Q^2$ ) to look:



- How PDFs evolves w.r.t  $Q^2$  is given by “DGLAP equation”
- But no prediction power how PDFs are at a certain  $Q^2_0$  where evolution starts

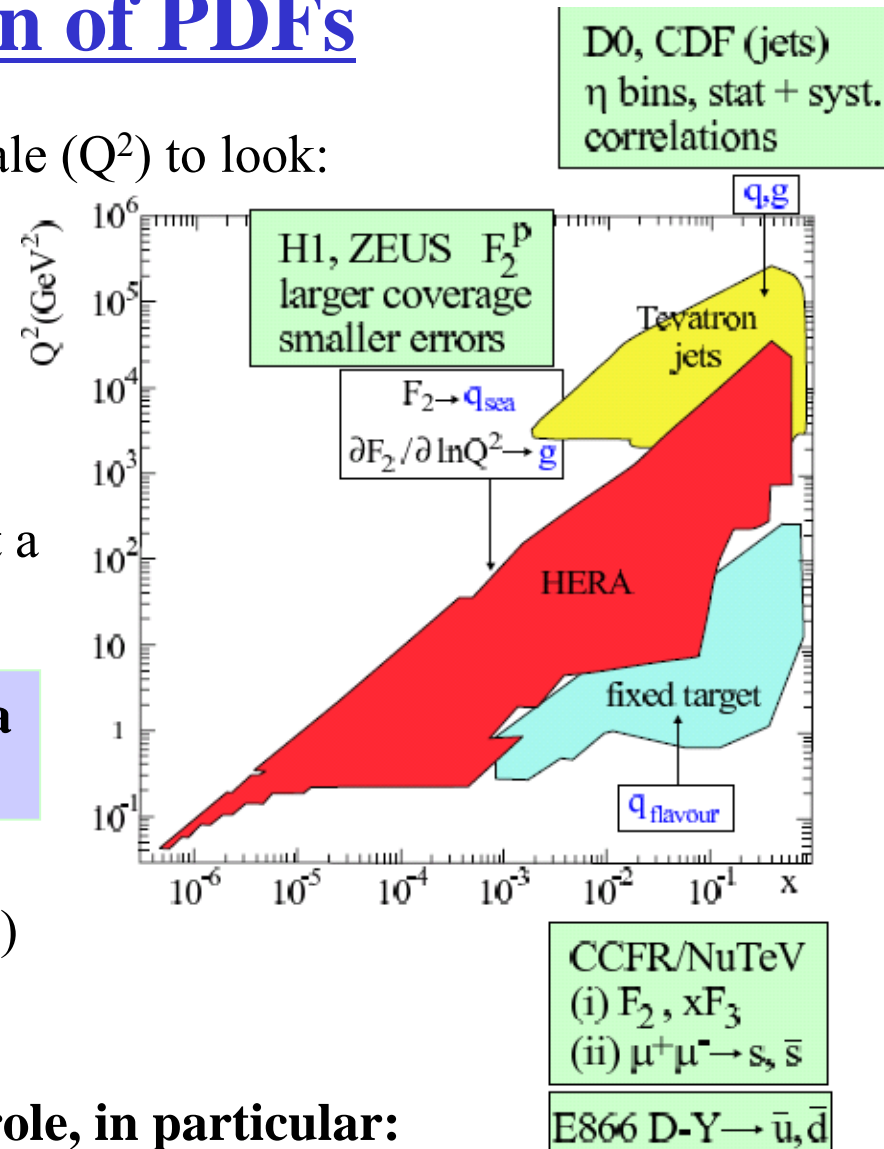
→ Initial PDFs at  $Q^2_0$  are determined by a global fit to various experimental data.

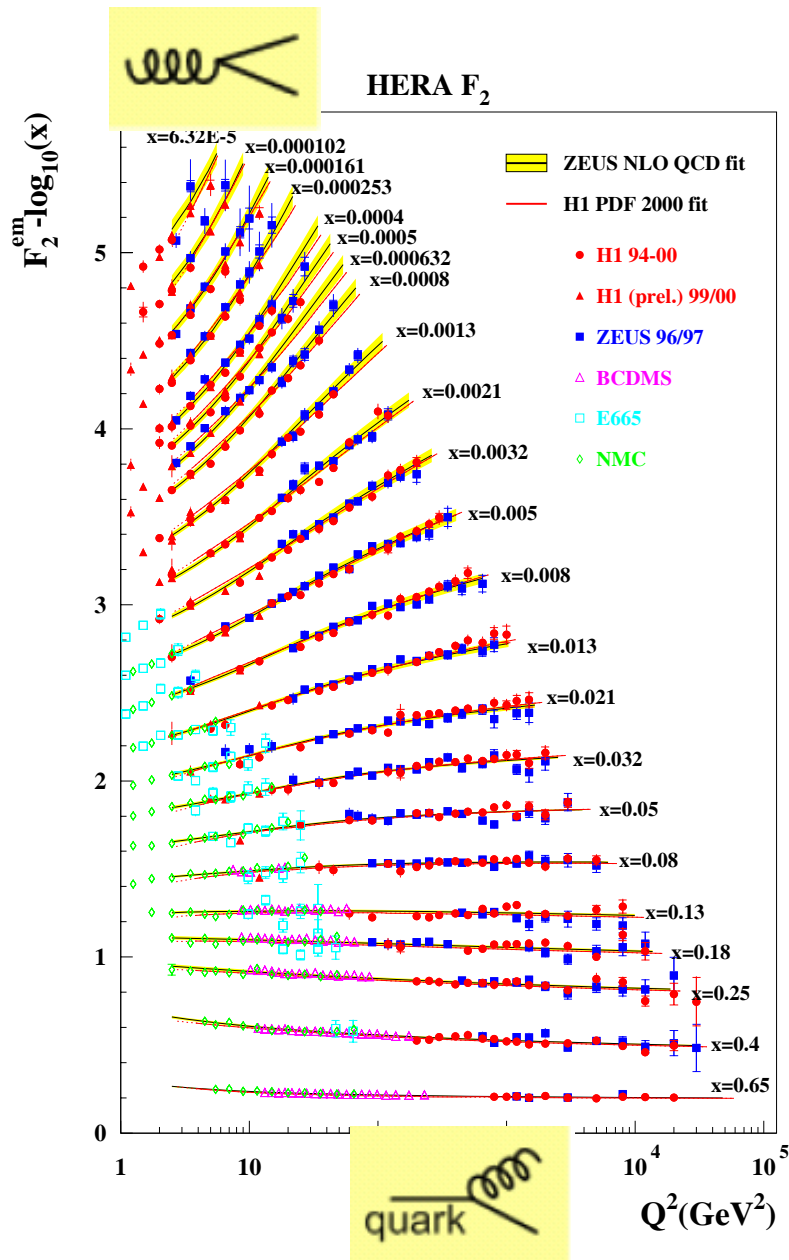
- Needs wide kinematic range  
(Notice: gluon is given by slope in  $\log Q^2$ )
- Universality should be checked in various processes

► HERA plays significant role, in particular:

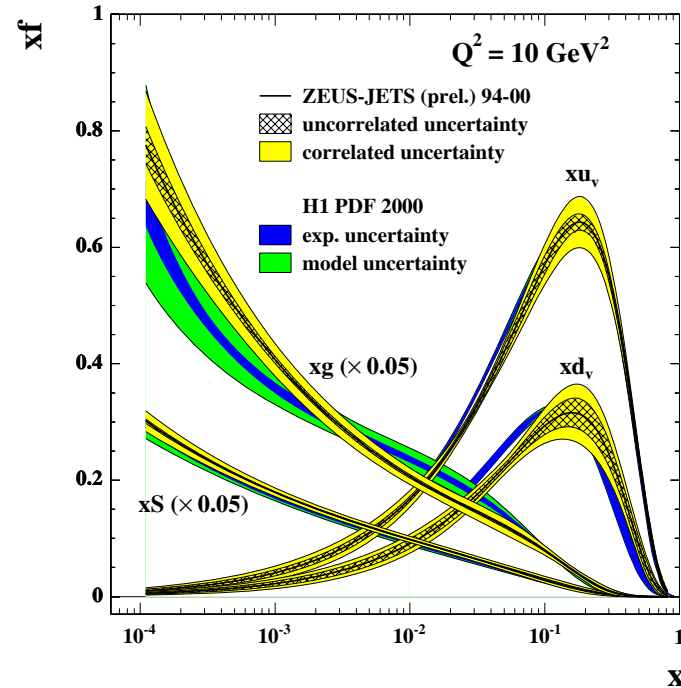
- Gluon
- Sea quarks

At  $x=10^{-4}$  to  $10^{-1}$   
(LHC main kinematic region; See later)





# Textbook figures from HERA-I ( $\rightarrow$ year 2000)



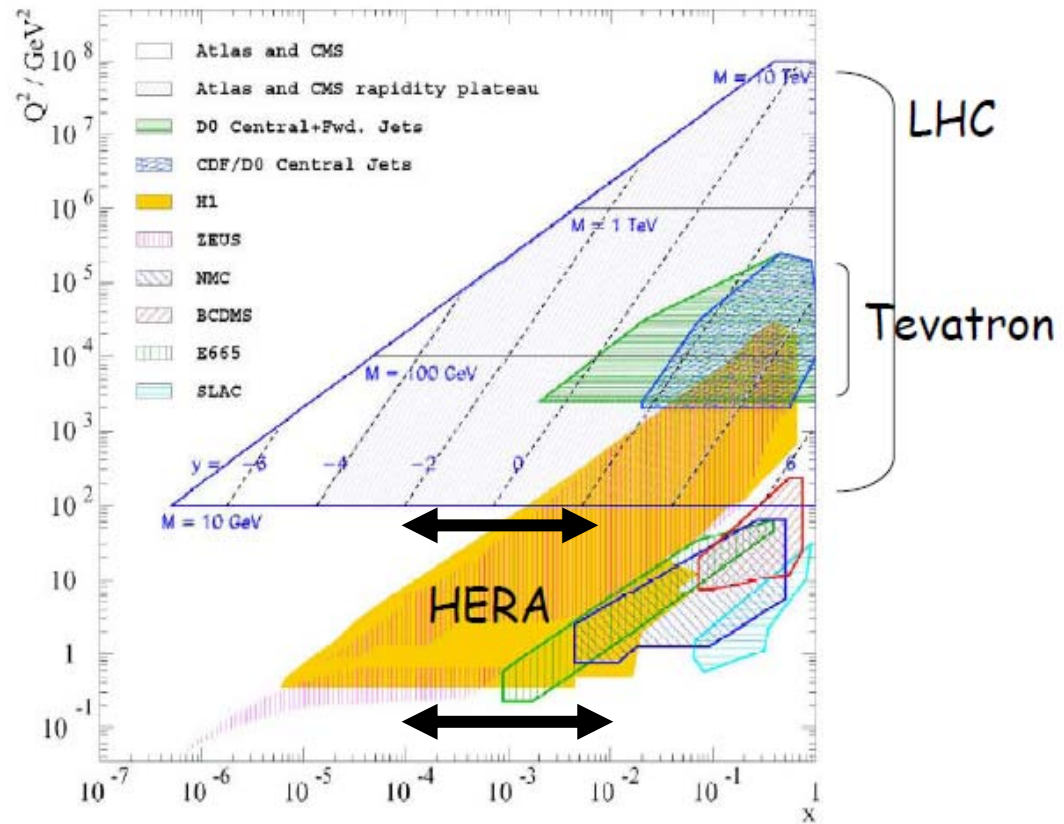
- Cross sections were precisely measured and NLO pQCD describes  $F_2$  over:
  - 4 orders in  $Q^2$
  - 3 orders in  $x$
- PDFs were determined  
 $\rightarrow$  “Invisible” gluon as well

# Impact to LHC

- LHC main body of phase space, i.e.  $\sim 1$  TeV @ central rapidity corresponds to HERA's  $x$  region of  $10^{-4} < x < 10^{-1}$

- At LHC most of the cross sections are due gluons, whose PDFs are mainly determined by HERA

**HERA provides key and essential inputs to LHC**

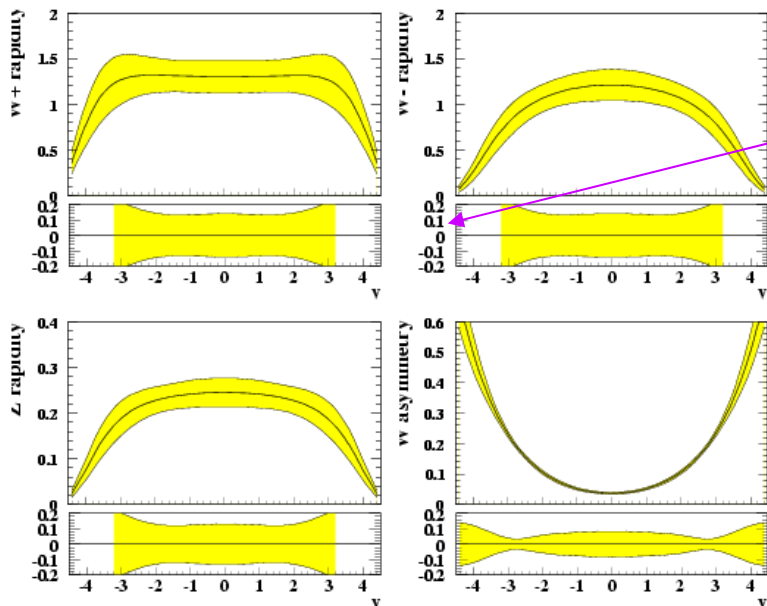


**HERA data excluded**

# W/Z @ LHC

**HERA data included**

W and Z rapidity distributions

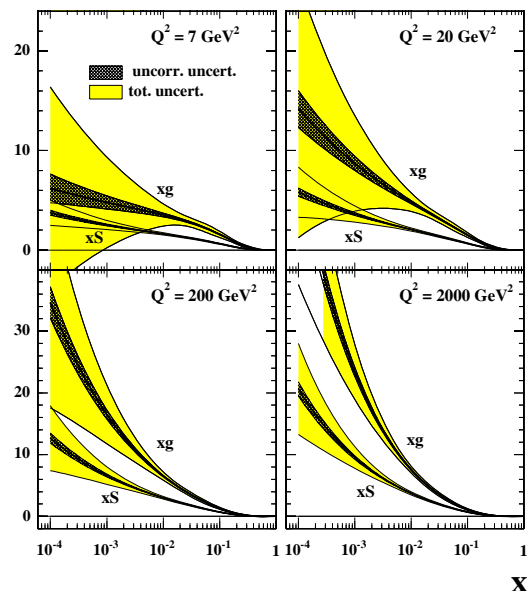
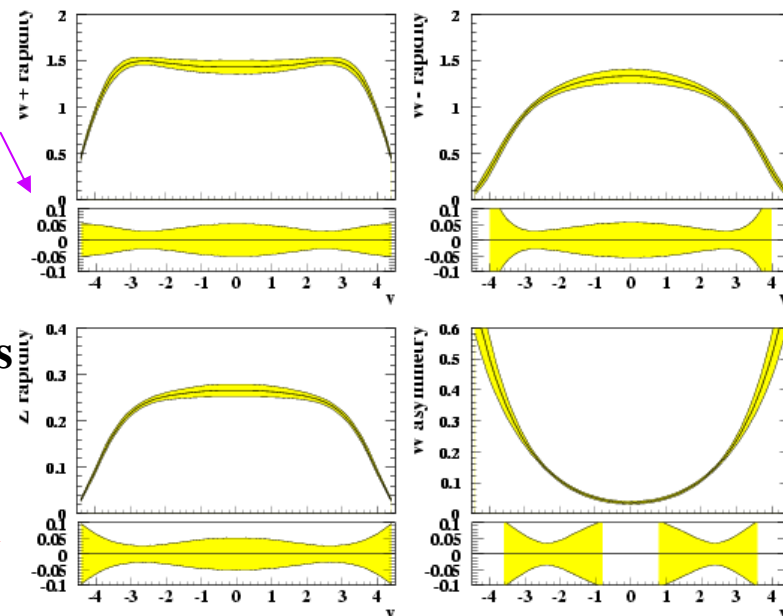


Note  
difference  
in scale for  
fractional  
errors

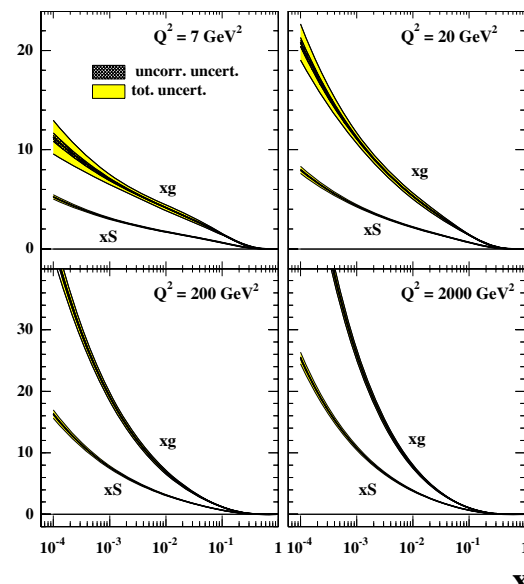
Note also:  
model errors  
are not  
included

**HERA**  
inclusion

W and Z rapidity distributions



- HERA brings big improvement in gluon/sea knowledge at small x  
→ brings significant reduction of uncertainty of W/Z cross section @ LHC





# Main questions to current PDF knowledge

PDFs understanding and impact of HERA → So far, so good? Are we done?

If I would comment **in view of (now starting up) LHC era**

-- Main question is: Can we trust DGLAP and indirectly extracted gluon?

-- Further a lot to do! My possible shopping list could be:

- 
- ① **Gluon**
  - ② **Small  $x$  evolution**
  - ③ **Flavor decomposition**
  - ④ **(Can we understand diffraction altogether? )**
- H1+ZEUS “Combined  $F_2$ ”
  - (Gluon from Jets at HERA)
  - Direct  $F_L$  measurement
  - $F_2^{cc}$   $F_2^{bb}$
  - (Forward jets)
  - $xF_3$
  - (Dipole model, geo. scaling, CGC...)

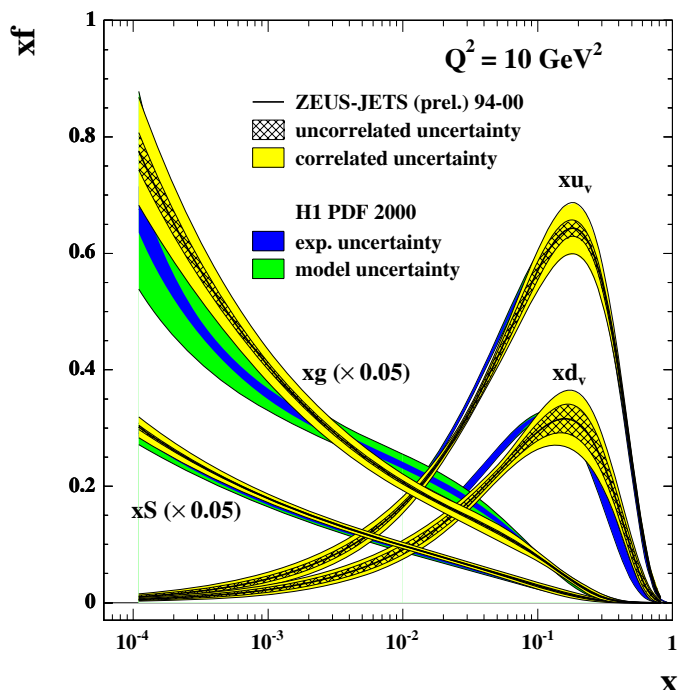
**Shown in previous slides are just introduction to what I will show you hereafter; New measurements, analyses are coming up**



- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

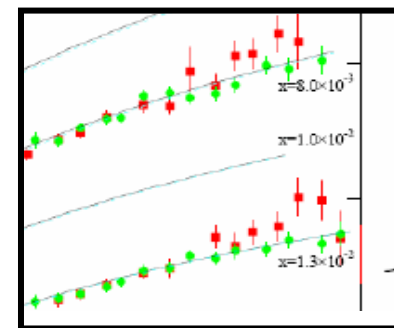
## An issue in PDF fit

- Measurement is “correlated” systematic error dominant, resulting in that extracted PDF errors are also systematic error dominant



-- If we look the “Textbook” PDF plot, gluons from H1 and ZEUS are: “center values are different but consistent within large uncertainty” due to experimental errors (and model uncertainties)

-- Indeed, if we look carefully the “Textbook”  $F_2$  plot there are some places where H1 and ZEUS data look “center values are different but consistent within large (correlated systematic) uncertainty”



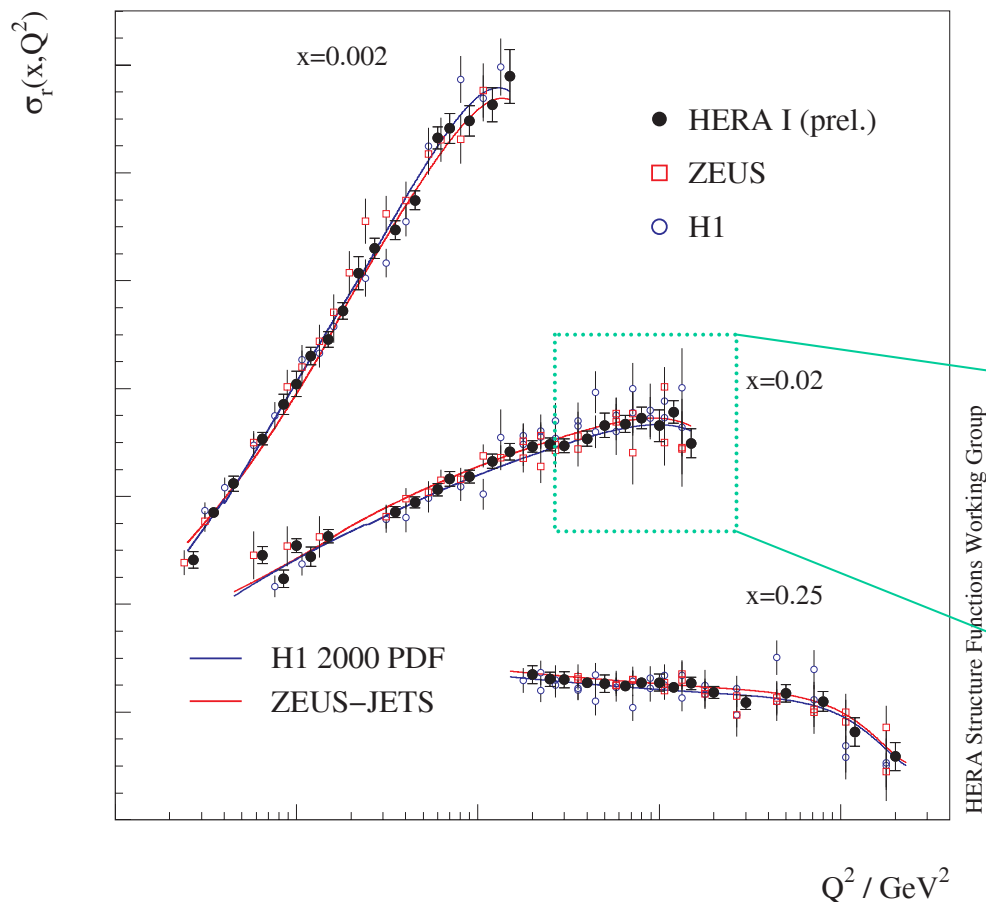
➔ Combine H1 and ZEUS cross sections by fully taking into account their correlated systematic uncertainties : “Cross-calibration between experiments”

- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

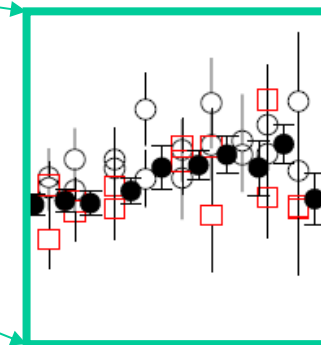
# Combined cross sections

★ NEW Prel.  
@ LP '07

HERA I  $e^+p$  Neutral Current Scattering – H1 and ZEUS



- 1153 individual NC and CC measurements are “averaged” to 554 unique points
- $\chi^2/\text{dof} = 510 / 599$



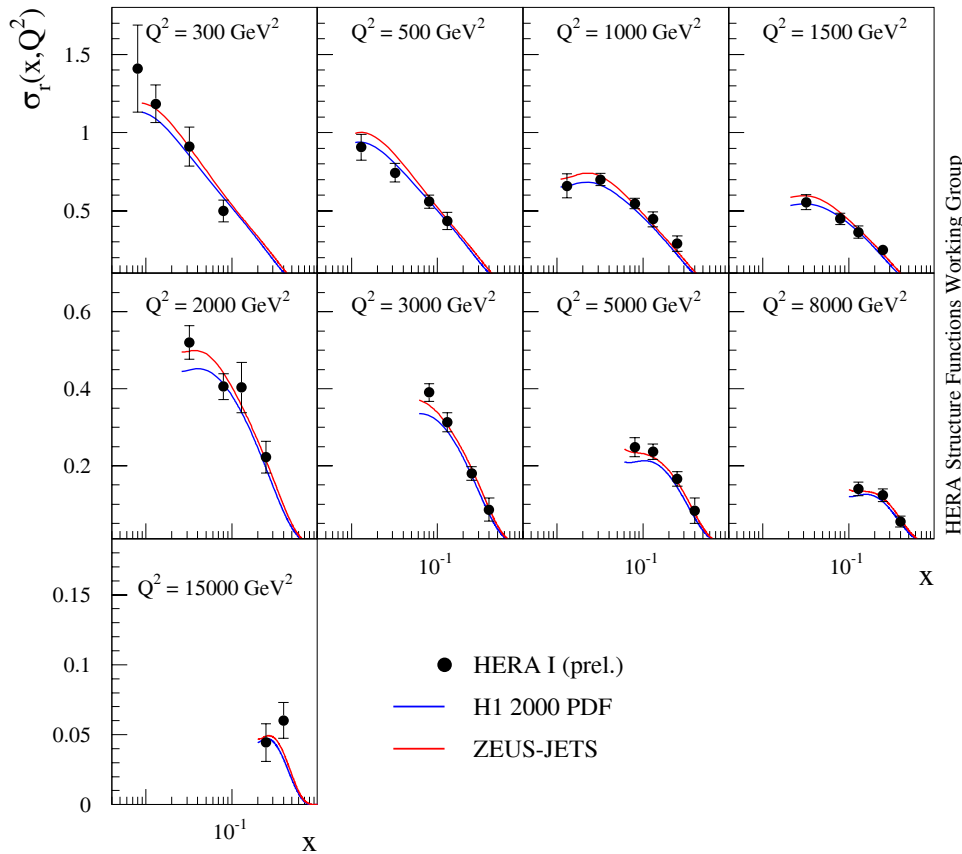
**Large reduction of systematic errors (significant than simple statistical gain of  $1/\sqrt{2}$ )**

- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

# Combined cross sections at large $Q^2$

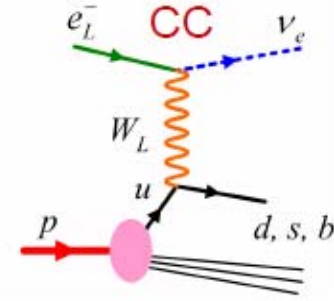
★ NEW Prel.  
@ LP '07

HERA I  $e^+p$  Charged Current Scattering - H1 and ZEUS



- Not only “ $F_2$ ” data (low- and mid- $Q^2$  NC) but also both NC and CC at large  $Q^2$  are averaged

**CC (Charged Current)  
= Probe with W-boson**



- Flavor selecting nature of CC
- $$\sigma_{CC}(e^+p) \propto x[(1-y^2)(d+s) + (\bar{u} + \bar{c})]$$
- $$\sigma_{CC}(e^-p) \propto x[(u+c) + (1-y^2)(\bar{d} + \bar{s})]$$

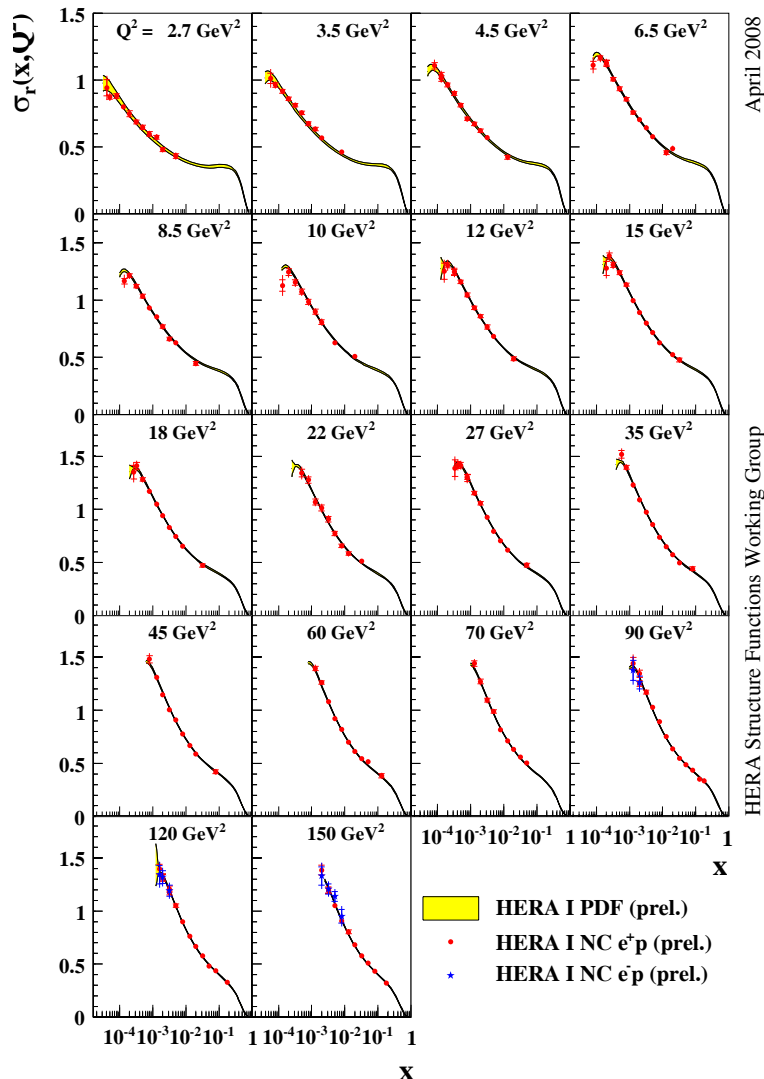
- HERA  $e^+p$  CC will give cleanest determination (w/o heavy-target corr.) on d-quark PDFs
- Note: only  $\sim 230 \text{ pb}^{-1}$  out of  $\sim 1 \text{ fb}^{-1}$  is used for combination

- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

# QCD fit to combined cross sections

★ NEW Prel.  
@ DIS ‘08

H1 and ZEUS Combined PDF Fit

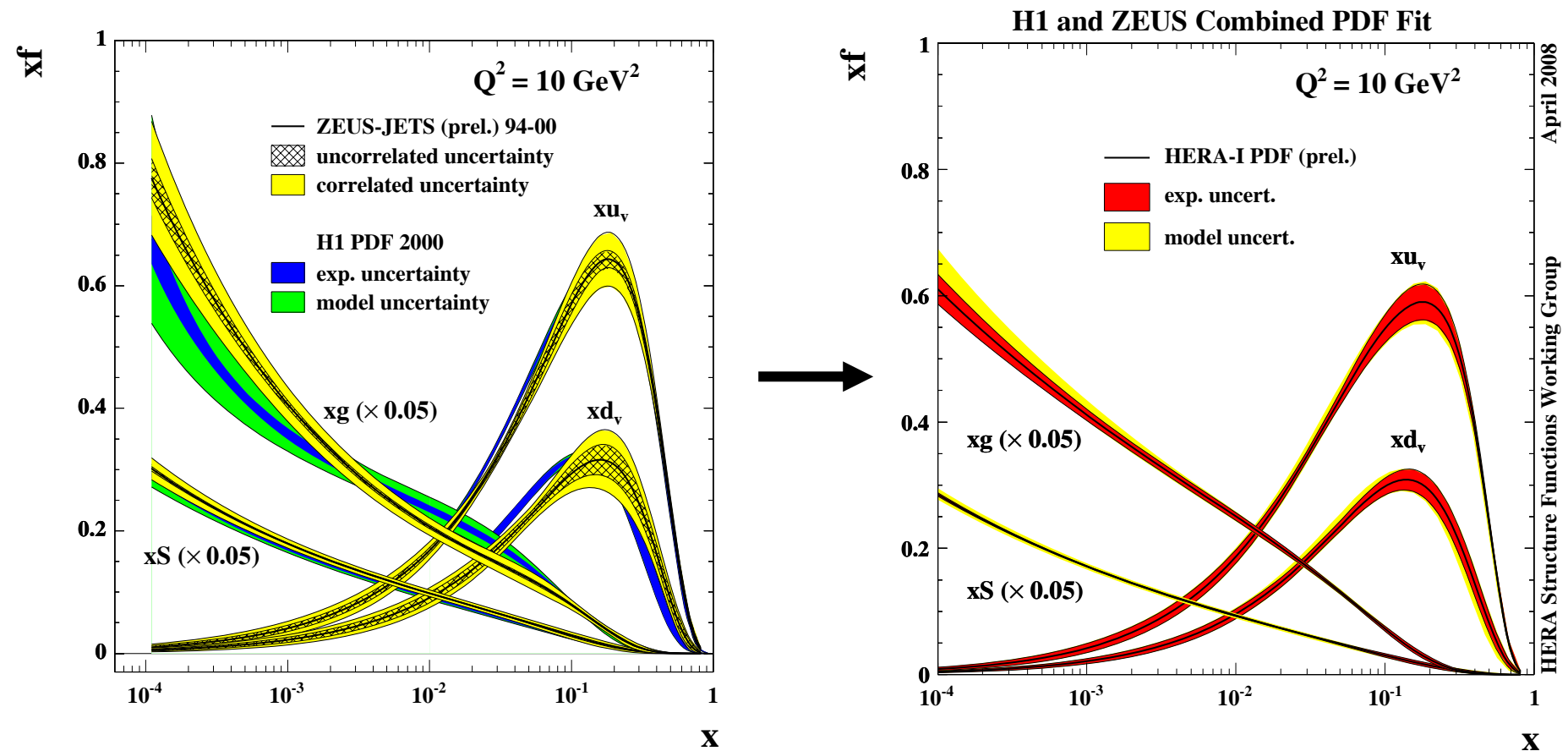


- A QCD fit was performed on the combined data
- Systematic uncertainties are smaller than statistical uncertainties  
→ Handling of systematic errors is not an issue anymore
- Resulted in  $\chi^2 / \text{ndof} = 476.7 / 562$

- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

# “HERA PDF”

★ NEW Prel.  
@ DIS ‘08

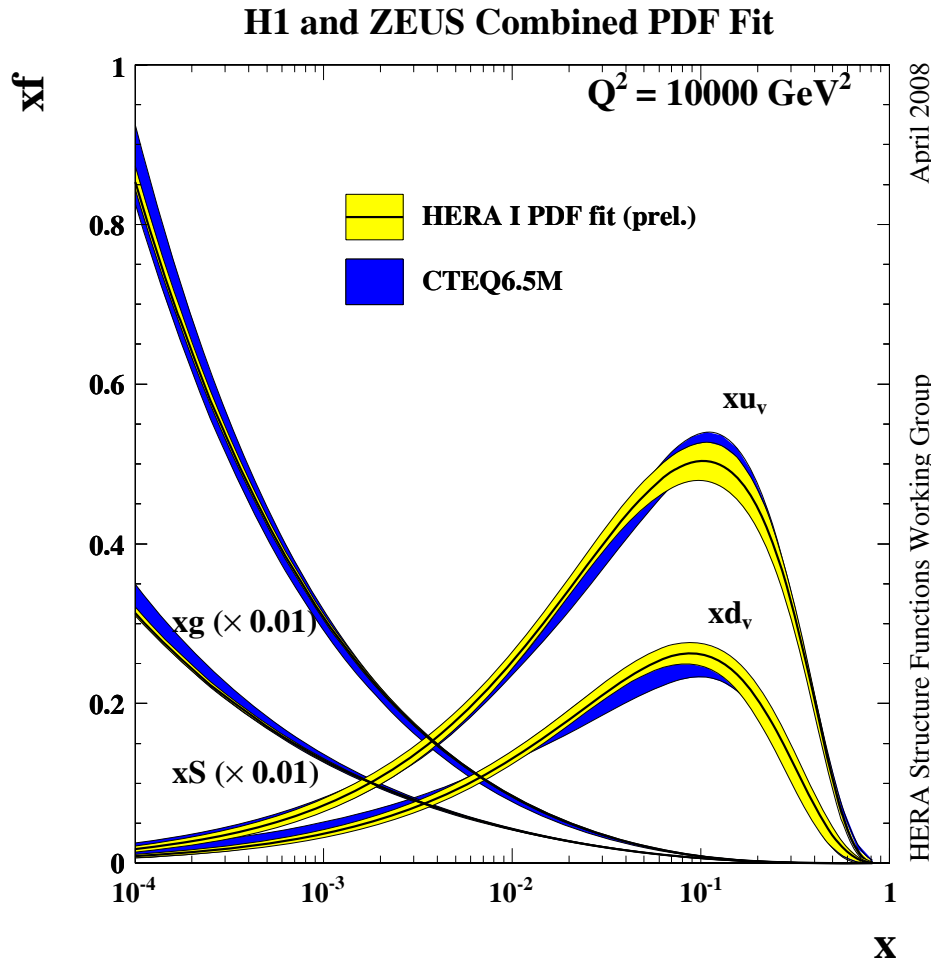


● “H1 and ZEUS; consistent within large uncertainty” is now resolved in “single HERA PDF; with an improvement in level of uncertainty”.

- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

# “HERA PDF”: Impact to LHC

★ NEW Prel.  
@ DIS ‘08



● Significant improvement in precision of PDF extraction holds even at large  $Q^2$  of  $\sim 10000 \text{ GeV}^2$ , where LHC concerns.

● Remind: only partial data is used in combination  
→ Even more improvement will come!

See backup slides for a study of impact to LHC W/Z

- ① Gluon
- ② Small  $x$  evolution

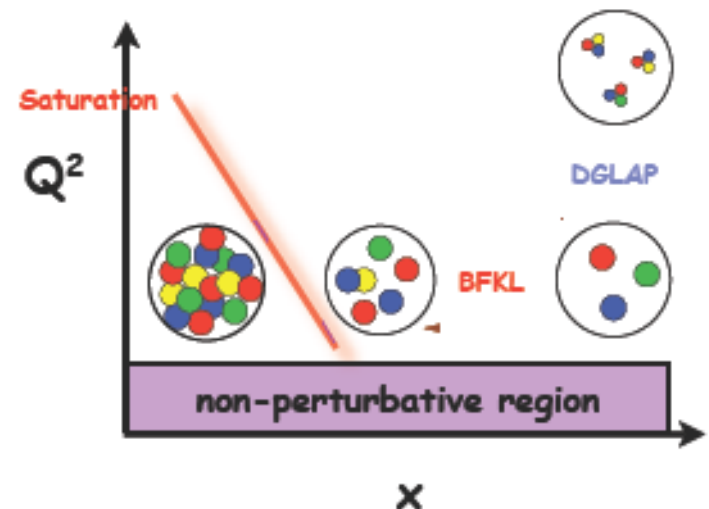
## Small $x$

- HERA PDF in previous slide would be the best-so-far determination of gluon
- However, gluon is mainly obtained indirectly via  $d\ln F_2/d\ln Q^2$ 
  - Cross check with other processes which have direct sensitivity to gluon is vital
- There is also another question: is DGLAP valid at small  $x$  in the first place ?
  - \* In QCD large log correction emerges if there are  $> 1$  scales in the problem

- If  $x$  is not so small, there is only one scale,  $Q^2 \sim s$
- However, if  $x \ll 1$ , large log correction emerges in perturbative series,  $\ln Q^2 / s \approx \ln x$

$$s = Q^2(1/x - 1)$$

- \* DGLAP eq. accounts only for  $Q^2$  evolution, i.e. resummation of  $\ln Q^2$ 
  - Effect due to resummation of  $\ln x$  (“BFKL”) may manifest itself at small  $x$





① Gluon

② Small x evolution

-- Direct  $F_L$  measurement

$\underline{F}_L$

Spin  $\frac{1}{2}$  parton  
contribution  $\rightarrow$

$$F_2 = \frac{Q^2}{4\pi\alpha^2} (\sigma_L + \sigma_R)$$

Spin 1 parton  
contribution  $\rightarrow$

$$F_L = \frac{Q^2}{4\pi\alpha^2} \sigma_L$$

$$\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} Y_+ \left\{ F_2 - \frac{y^2}{Y_+} F_L \right\}$$

✂ Why two structures?

$\rightarrow$  As seen differently from the two states of the probe  $\gamma^*(L, T)$

●  $F_L = 0$  at naïve Quark-Parton-Model, i.e. w/o QCD

$\rightarrow$  Non-zero  $F_L$  value can be directly related to parton dynamics inside proton

●  $F_L$  at small x is particularly interesting as it is a very good test of small x parton dynamics / evolution

$\rightarrow$  HERA is the only place where  $F_L$  can be measured at small x

● How can we measure?

-- 2 unknowns in cross section formula  $\rightarrow$  needs  $\geq 2$  values to solve

$\rightarrow$  Needs  $\geq 2$  cross sections at a same  $(x, Q^2)$ , i.e. different center of mass energies (different y)

- ① Gluon
- ② Small x evolution
- Direct  $F_L$  measurement

# Direct measurement of $F_L$

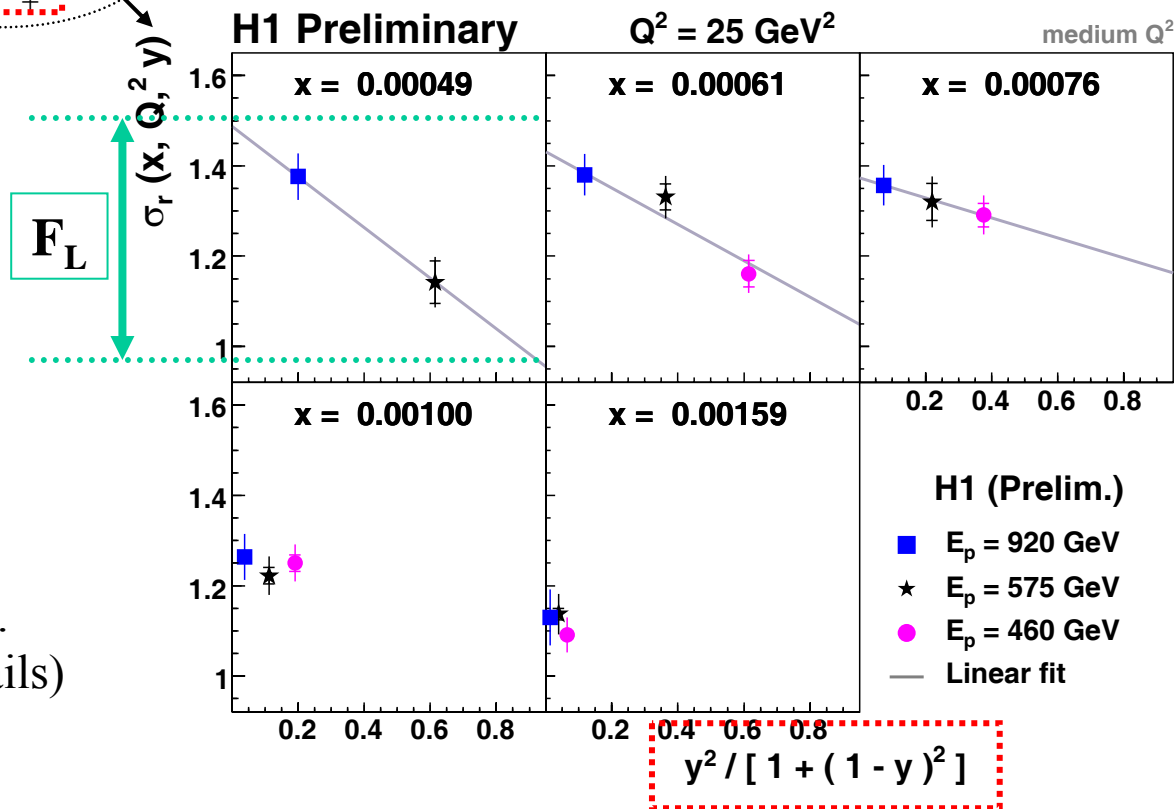
★ NEW Prel.  
@ DIS '08  
("mid-Q<sup>2</sup>")  
submitted to PLB)

- The last 3 months of HERA operations (Mar-June '07) were dedicated to special runs with lowered proton beam energy:
  - Ep=460 GeV : ~ 15 pb<sup>-1</sup>
  - Ep=575 GeV : ~ 7 pb<sup>-1</sup>
  - (Nominal: Ep=920 GeV)

$$\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} Y_+ \left\{ F_2 - \frac{y^2}{Y_+} F_L \right\}$$

- Experimental challenges to measure cross sections up to large y

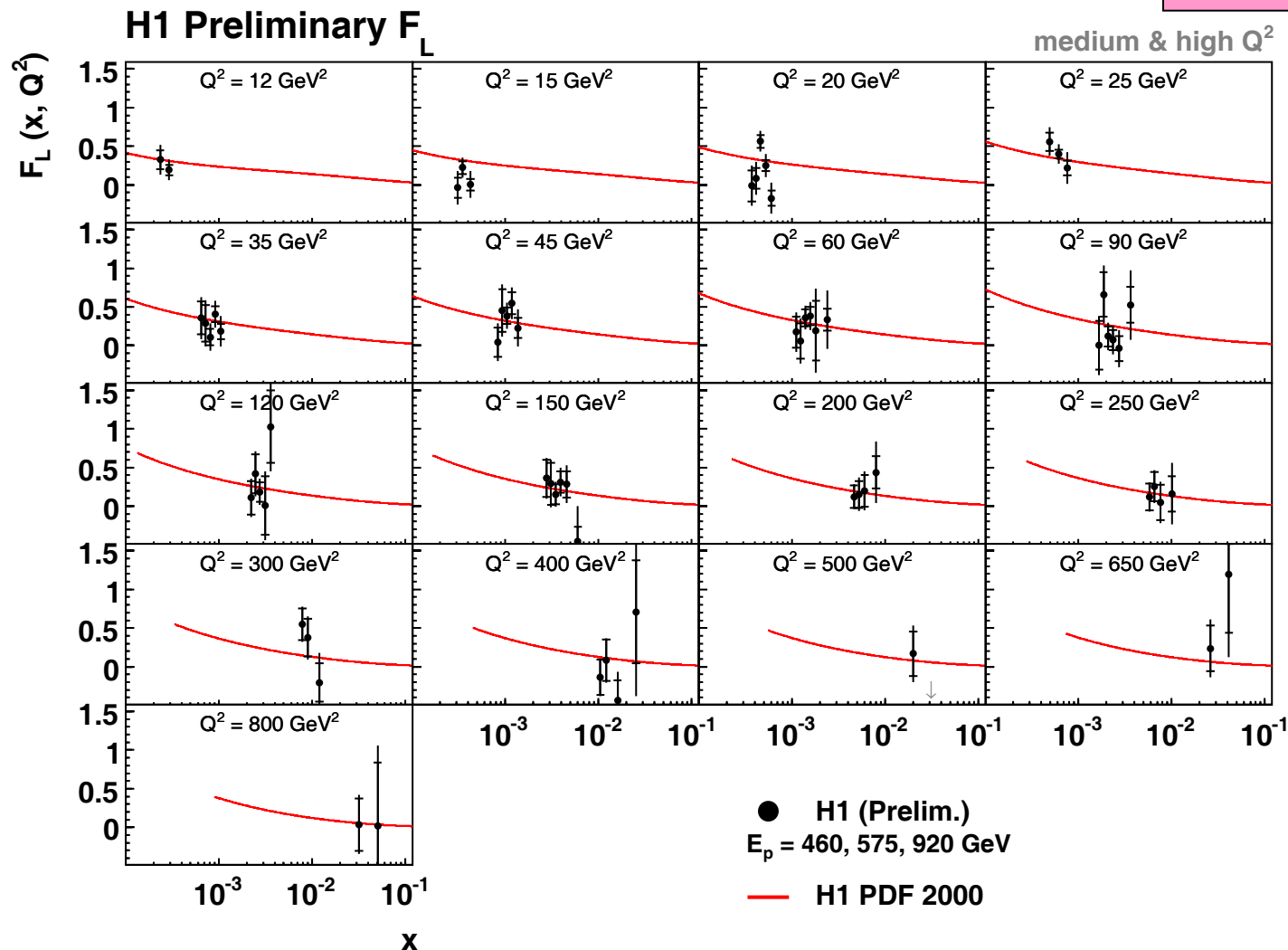
- Large y corresponds to small energy of scattered electron
- Large backgrounds, energy scale, electron identification etc. (see backup slides for details)



- ① Gluon
- ② Small x evolution
- Direct  $F_L$  measurement

# Measured $F_L$

★ NEW Prel.  
@ DIS '08  
("mid-Q2"  
submitted to PLB)

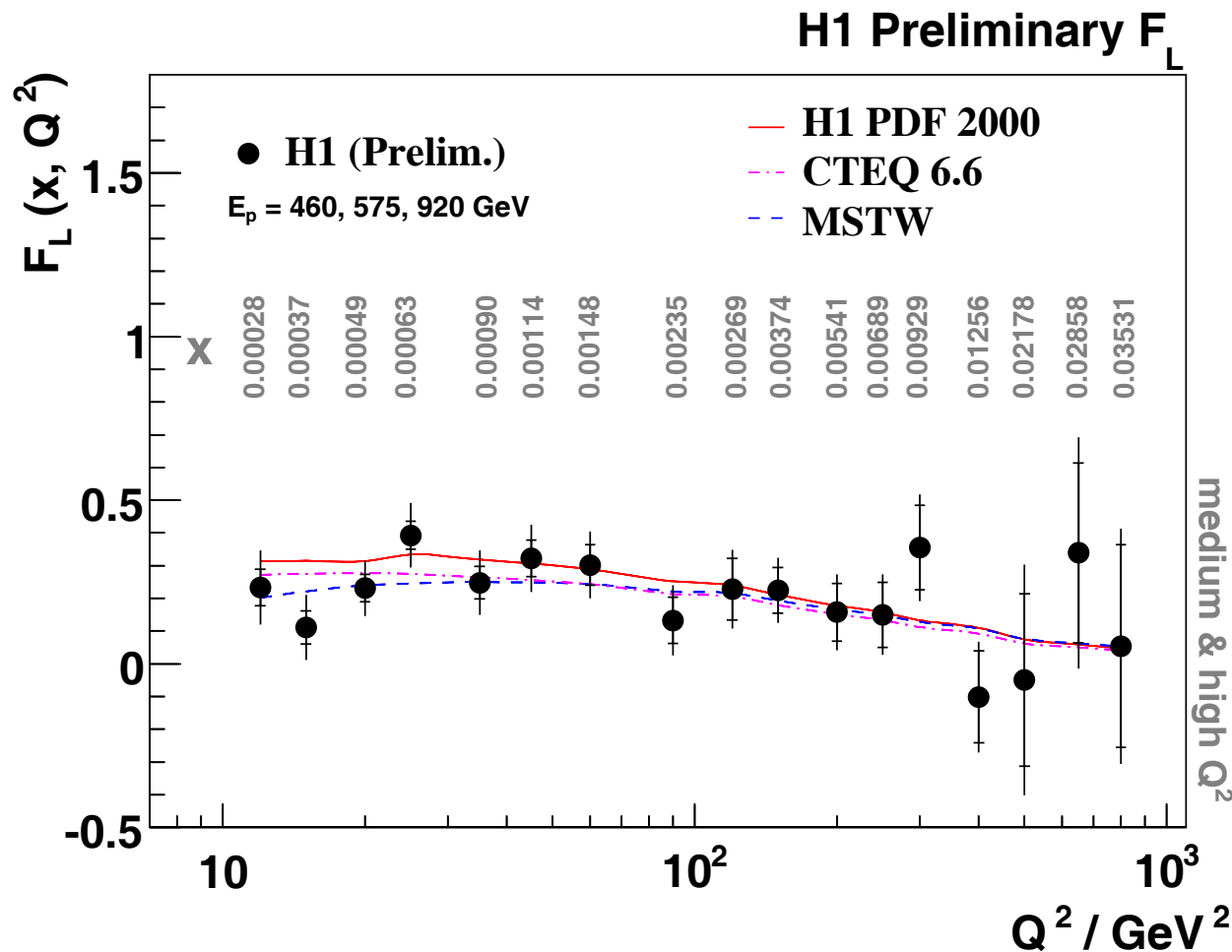


● First direct  $F_L$  measurement at small x

- ① Gluon
- ② Small x evolution
- Direct  $F_L$  measurement

# Measured $F_L$ vs $Q^2$

★ NEW Prel.  
@ DIS '08  
("mid-Q2"  
submitted to PLB)



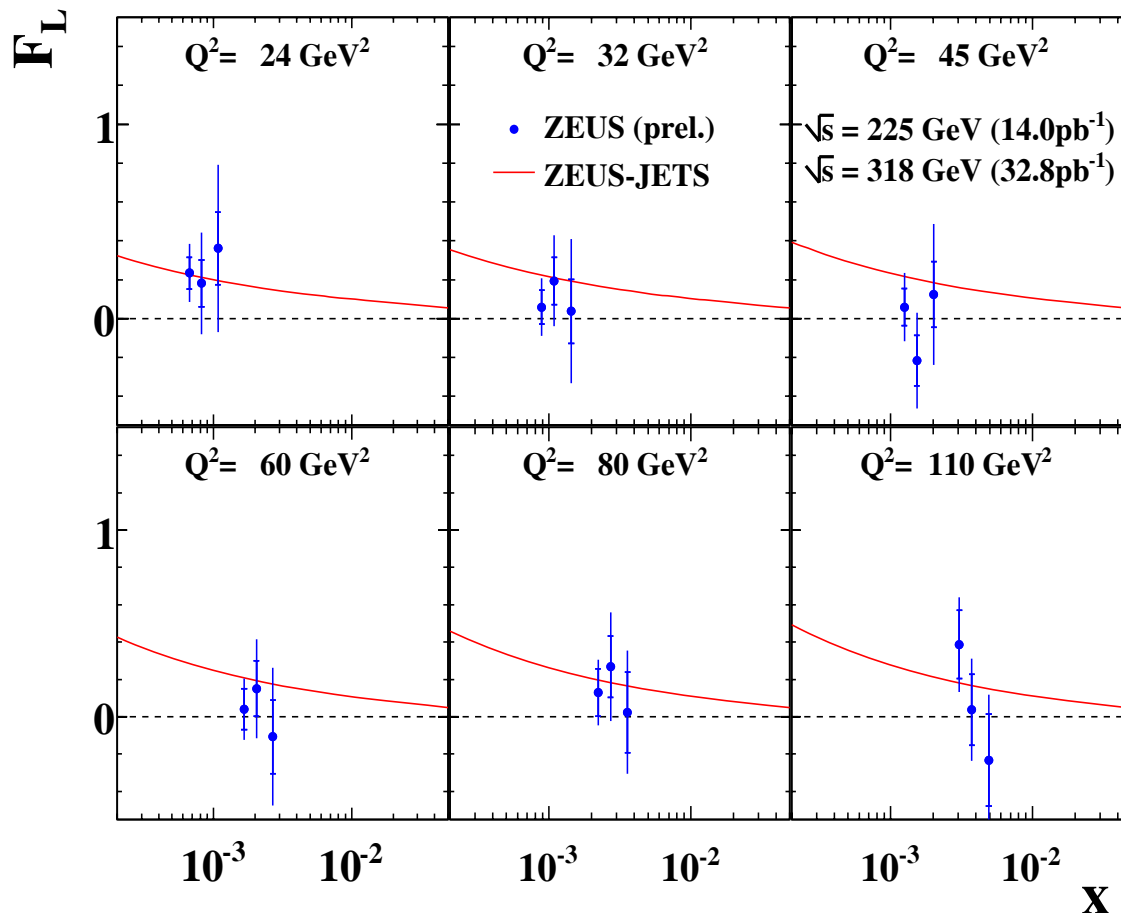
●  $F_L$  predicted by QCD fits using gluon that was derived from scaling violation of  $F_2$  is consistent with the measurement

- ① Gluon
- ② Small  $x$  evolution
- Direct  $F_L$  measurement

★ NEW Prel.  
@ DIS '08

# Measured $F_L$ [ZEUS]

ZEUS



- Measurement consistent with QCD fit  $F_L$  prediction as well as with  $F_L=0$  within large uncertainties

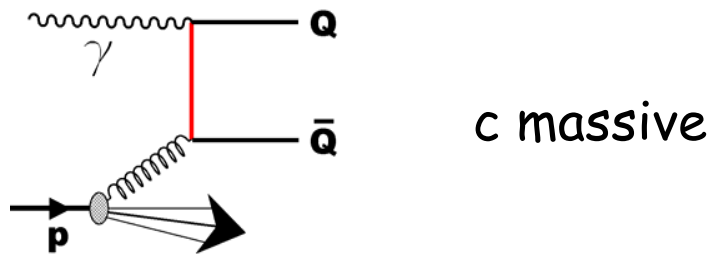
① Gluon

③ Flavor decomposition

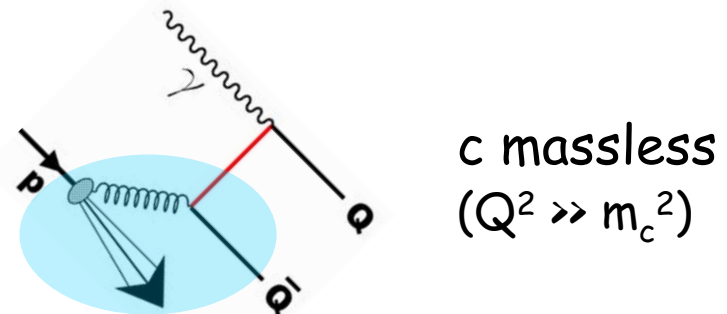
--  $F_2^{cc}, F_2^{bb}$

# Heavy quark PDFs and gluons

- Other cross check of gluons and QCD dynamics : heavy-quarks
  - Sensitive to gluon and heavy-quark PDFs altogether

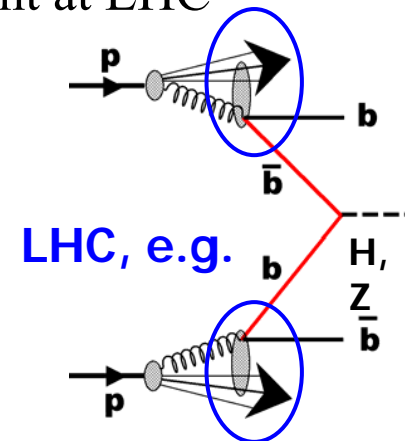


→ Test/determine gluon PDF



→ Determine Heavy quark PDF

- Heavy-quark PDFs at large scale is also important at LHC
  - For particular type of new physics search



① Gluon

③ Flavor decomposition

--  $F_2^{cc}$ ,  $F_2^{bb}$

# $F_2^{cc}$ : Charm contribution to total $F_2$

★ NEW Prel.  
@ LP '07

●  $D^* \rightarrow K \pi \pi$

● H1: Inclusive Impact Parameter tagging in VTX

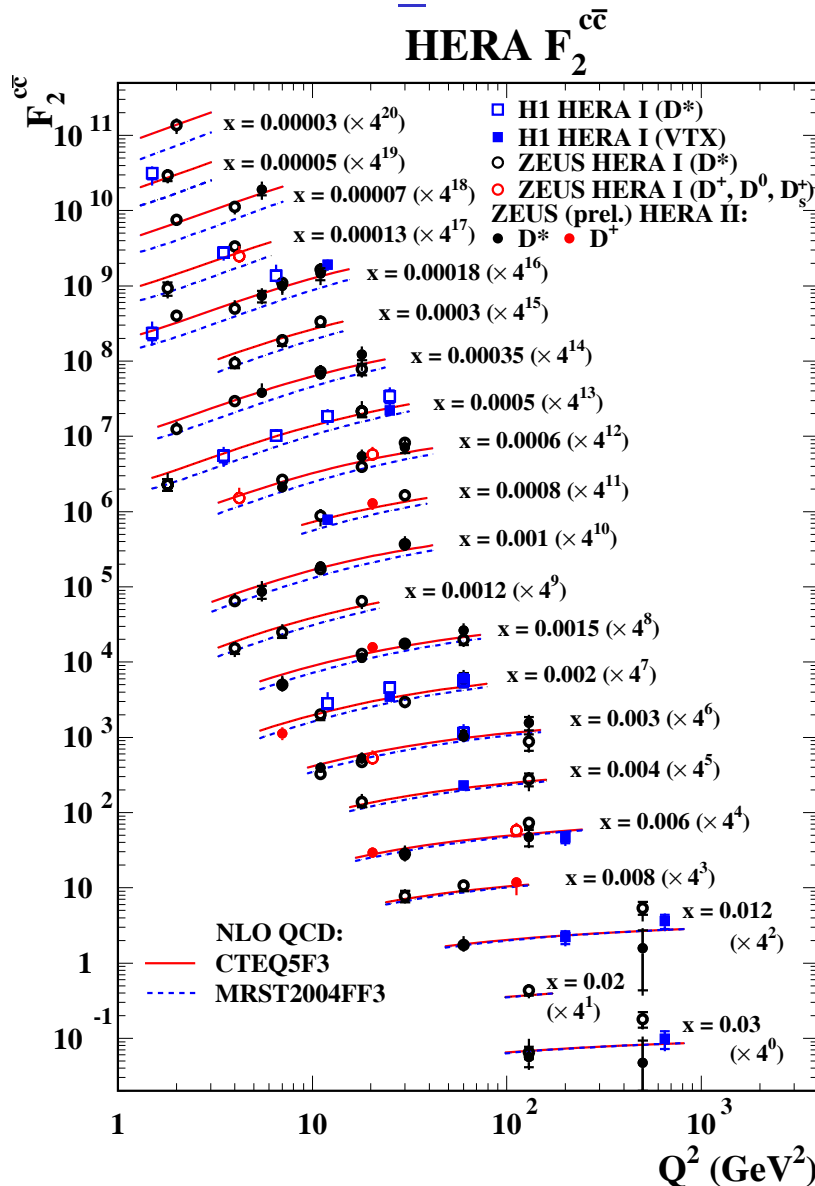
● ZEUS: Signed 2D decay length in MVD to tag  $D^{+-}$ ,  $D^0$

→ Good agreement in different techniques

→ Agree with NLO within uncertainties (expect to start to constrain PDFs)

Note: only partial data is used

→ Precision will improve  
(luminosity  $\sim 2$ -5 times)





① Gluon

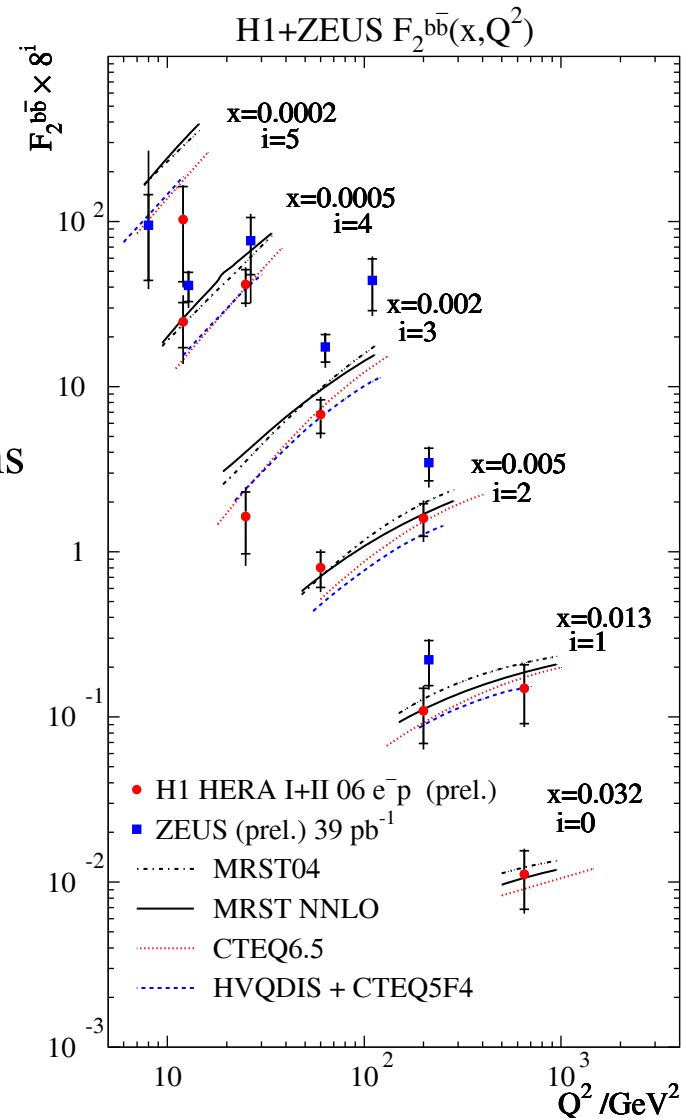
③ Flavor decomposition

--  $F_2^{cc}$ ,  $F_2^{bb}$

# $F_2^{bb}$ : Bottom contribution to total $F_2$

★ NEW Prel.  
@ LP '07

- H1: Impact Parameter tag  
ZEUS:  $\mu + \text{jet}$
  - First measurement of  $F_2^{bb}$
  - Large spread in theoretical predictions
  - Current data is not conclusive  
-- Only partial data is used
- ➔ Prospect in result with full statistics  
(luminosity  $\sim 5$ -10 times)



### ③ Flavor decomposition

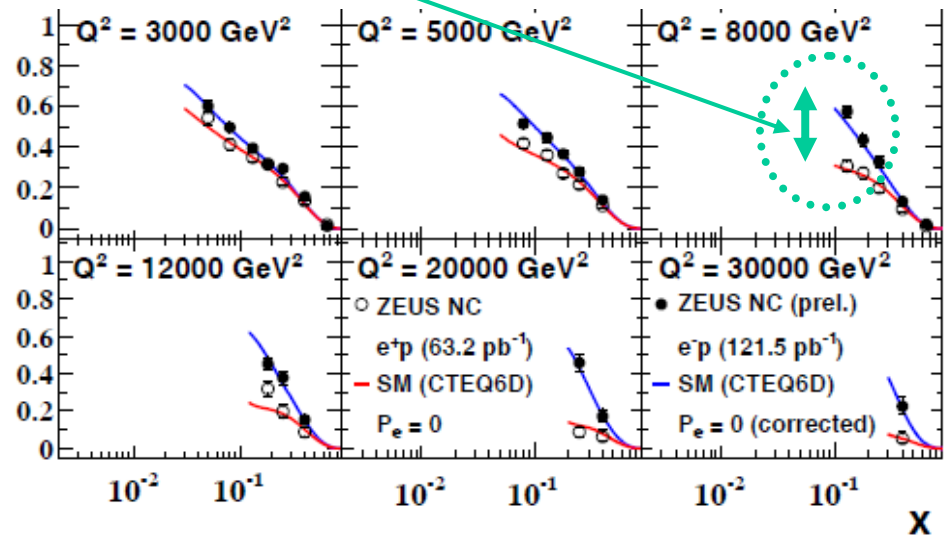
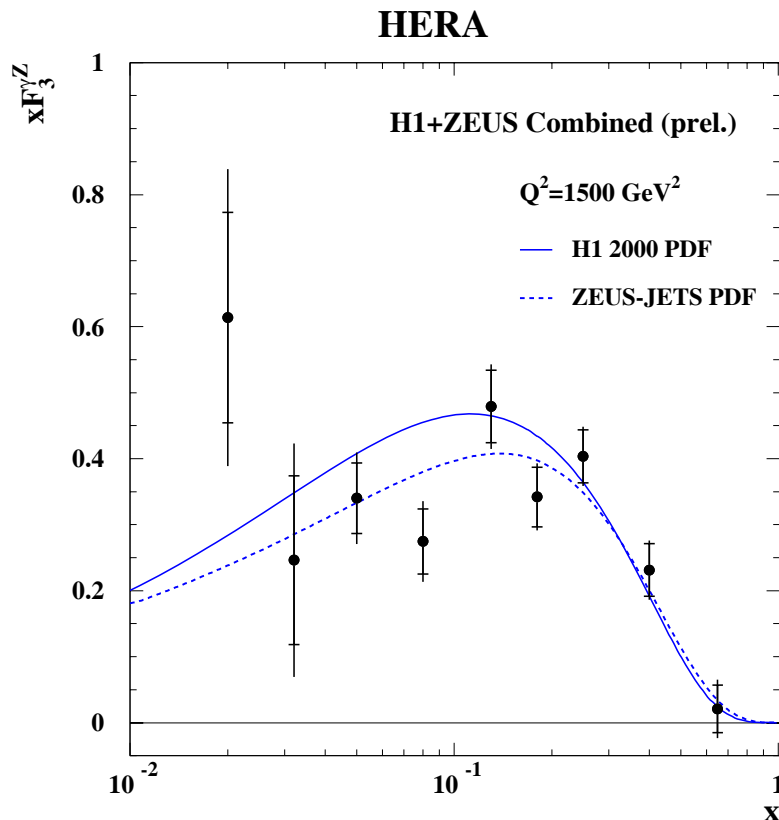
--  $x\mathbf{F}_3$

$x\mathbf{F}_3$

- There is one more SF:  $x\mathbf{F}_3$  which is sensitive to valence quark PDFs

$$\frac{d^2\sigma(e\pm)}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} Y_+ \left\{ F_2 - \frac{y^2}{Y_+} F_L \mp x\mathbf{F}_3 \right\}$$

✱ Why third SF?  
→ As seen also by  $Z^0$



←  $x\mathbf{F}_3^Z \approx \frac{x}{3} (2u_V + d_V)$

- Valence PDFs at small  $x$  which only HERA can access by now

-- Impact to LHC  $W^+/W^-$  asymmetry

Prospect: stat will improve ( $\sim 2$  times) + H1&ZEUS “Combination”

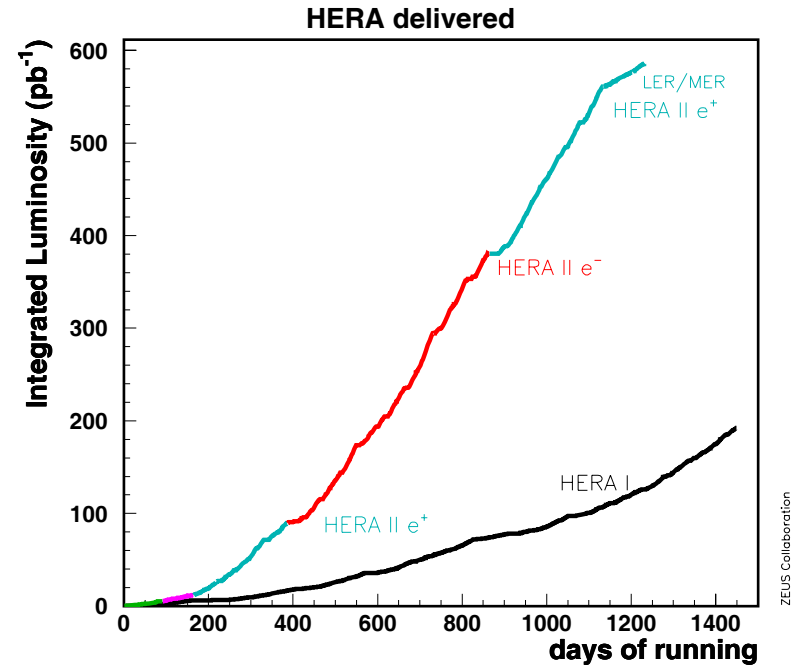
# Summary

- HERA ended its run at June 2007:  $\sim 1 \text{ fb}^{-1}$  collected by H1 and ZEUS
- HERA has provided most precise inclusive structure function measurements, which brought significant improvements to our knowledge on proton structure
  - In particular, a key and essential inputs to LHC, e.g. gluons at small  $x$
- HERA is still providing new high precision results which will further improve our understanding on proton structure
  - Combination of H1 and ZEUS cross-sections brought significantly improved precision of data, and hence best determined gluon
  - First direct measurement of  $F_L$  was performed
  - Precision of measurement on heavy-quark contribution to  $F_2$  is improving
- Final publications with ultimate precision to come in the next years.

# Backup

# HERA Running

- ▶ HERA-I : Until year 2000
  - Unpolarized  $e^+$  and  $e^-$  beams
- ▶ HERA-II : from year 2002 to Mar/2007
  - High luminosity to allow more statistical sensitivity for large  $Q^2$
  - Longitudinally polarized  $e^+$  and  $e^-$  beams to allow direct sensitivity to EW
- ▶ Low Energy Run : Mar – June 2007
  - A special run with low proton beam energy (460, 575 GeV) to measure “ $F_L$ ” structure function



	HERA-I	HERA-II
$e^-$	$\sim 20 \text{ pb}^{-1}$	$\sim 200 \text{ pb}^{-1}$
$e^+$	$\sim 100 \text{ pb}^{-1}$	$\sim 200 \text{ pb}^{-1}$

**1 fb<sup>-1</sup> collected by H1+ZEUS**

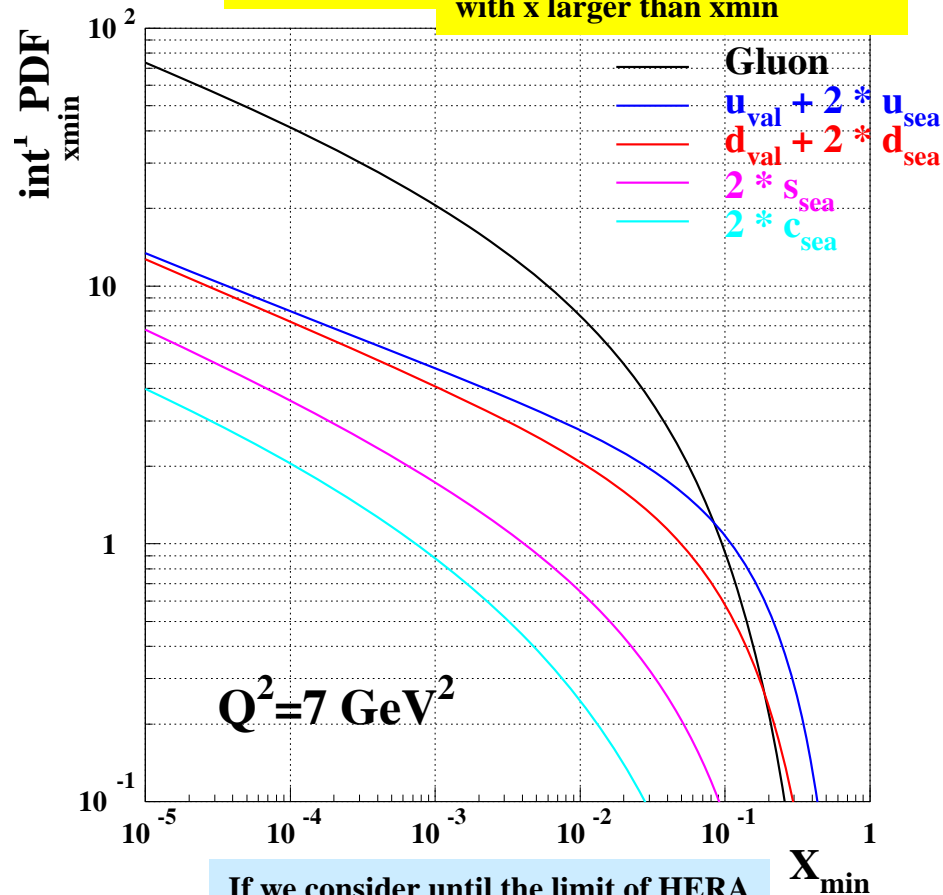
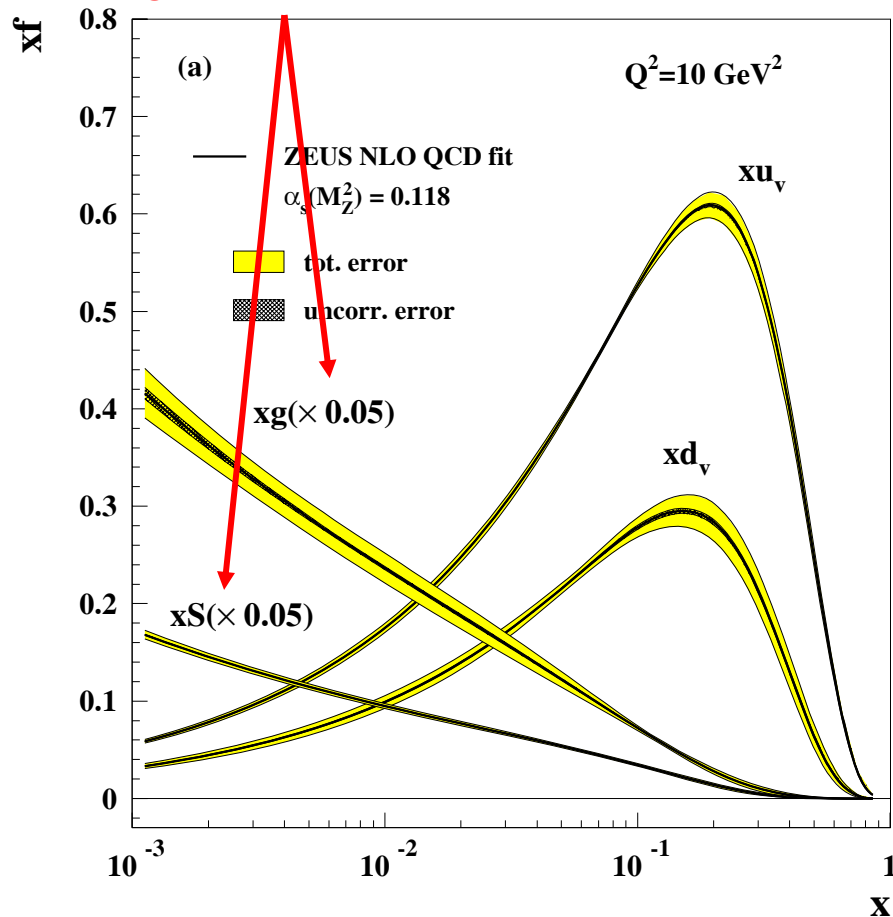
Notice that they  
are plotted with  
scaling factor 1/20

ZEUS

**PDF**

$$\int_{x_{\min}}^1 f_q(x) dx$$

i.e. how many partons are there  
with  $x$  larger than  $x_{\min}$



If we consider until the limit of HERA  
kinematic phase space, there are  
~60 gluons  
~30 quarks and anti-quarks  
in a single proton

There are many of gluons and quarks with small  $x$  in a proton

# ① Gluons

-- “Combined  $F_2$ ”

## Method to combine H1&ZEUS

- An idea to use Hessian method without theory assumption
  - Fit each cross section values at each  $(x, Q^2)$ , rather than to fit theory parameters (PDFs for instance)

554 data points each from ZEUS and H1

Fit for data points (554 of them)

And  $j$  systematic uncertainties

$$\chi_e^2(\{\mu\}, \{r\}) = \sum_{i=1}^N \left( \frac{m_i^e - \mu_i - \sum_{j=1}^{K_e} \beta_{ji}^e r_j^e}{\sigma_i^e} \right)^2 + \sum_{j=1}^{K_e} (r_j^e)^2$$

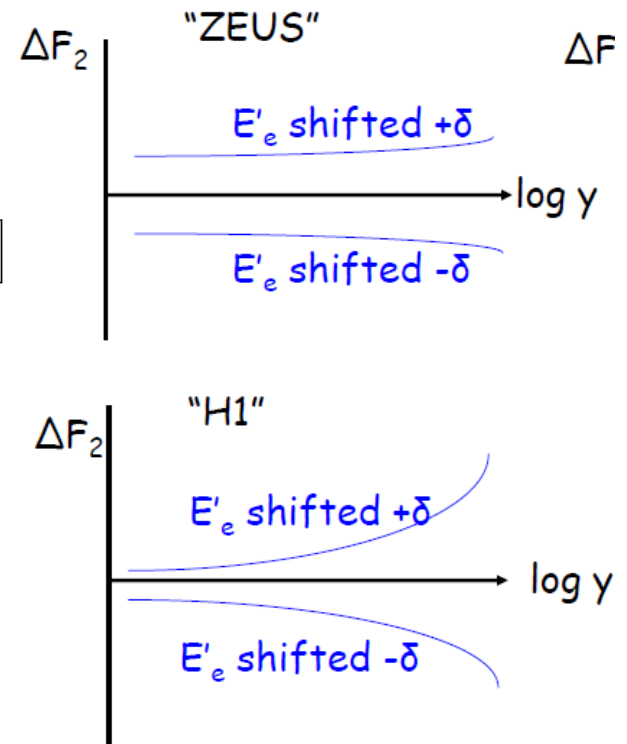
$m_i^e$  = measured cross section in bin  $i$  by exp  $e$

$\mu_i^e$  = true cross section in bin  $i$

$\sigma_i^e$  = statistical uncertainty in bin  $i$  by exp  $e$

$\beta_{ji}^e$  = correlated syst. unc. in bin  $i$  by exp  $e$

$s_i, r_j \sim N(0,1)$



- ➔ Cross calibration. Can get reduction of systematic errors if
  - Similar size of errors but different “shape”

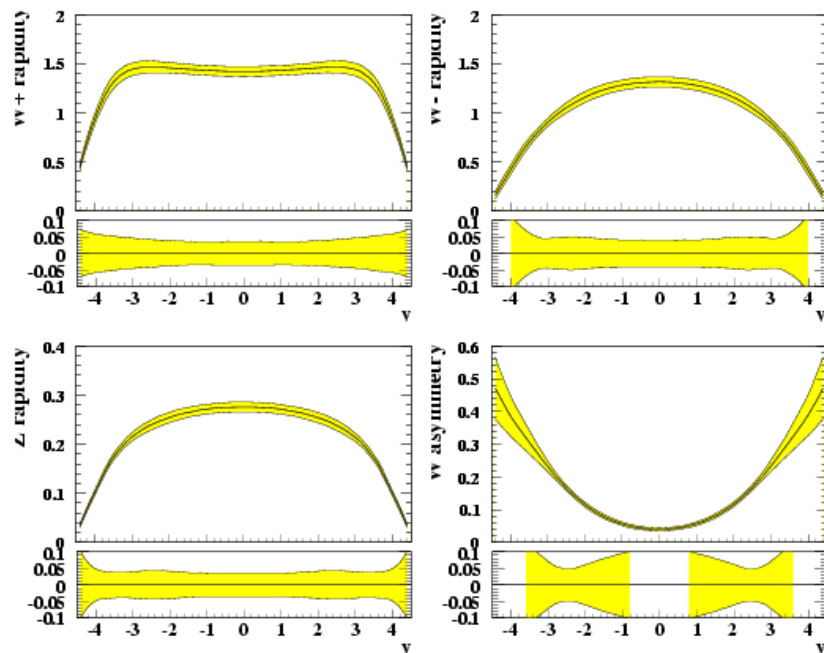


- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

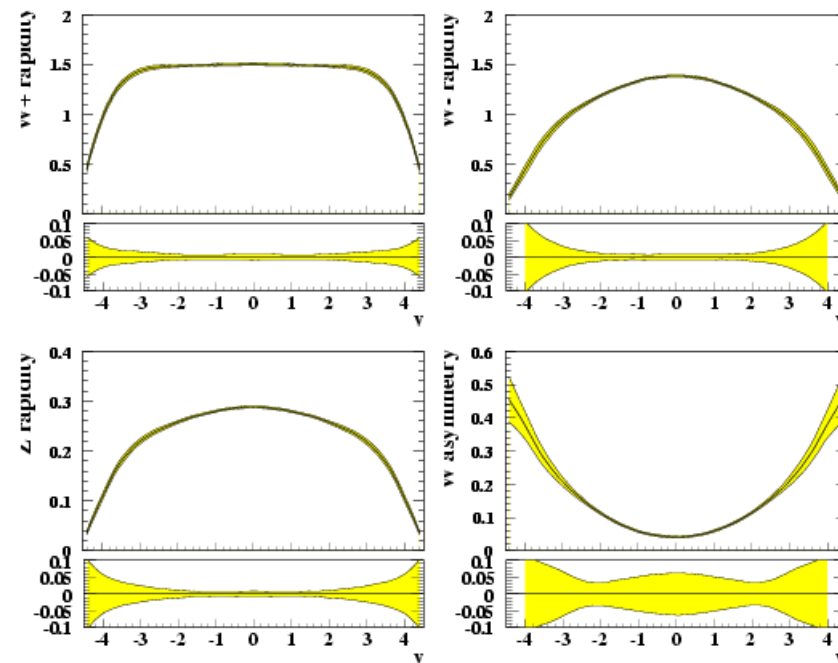
# “HERA PDF”: Impact to LHC W/Z

★ Work by  
A. M. Cooper-Sarkar  
★ NEW  
@ HERA-LHC WS ‘08

W and Z rapidity distributions



W and Z rapidity distributions

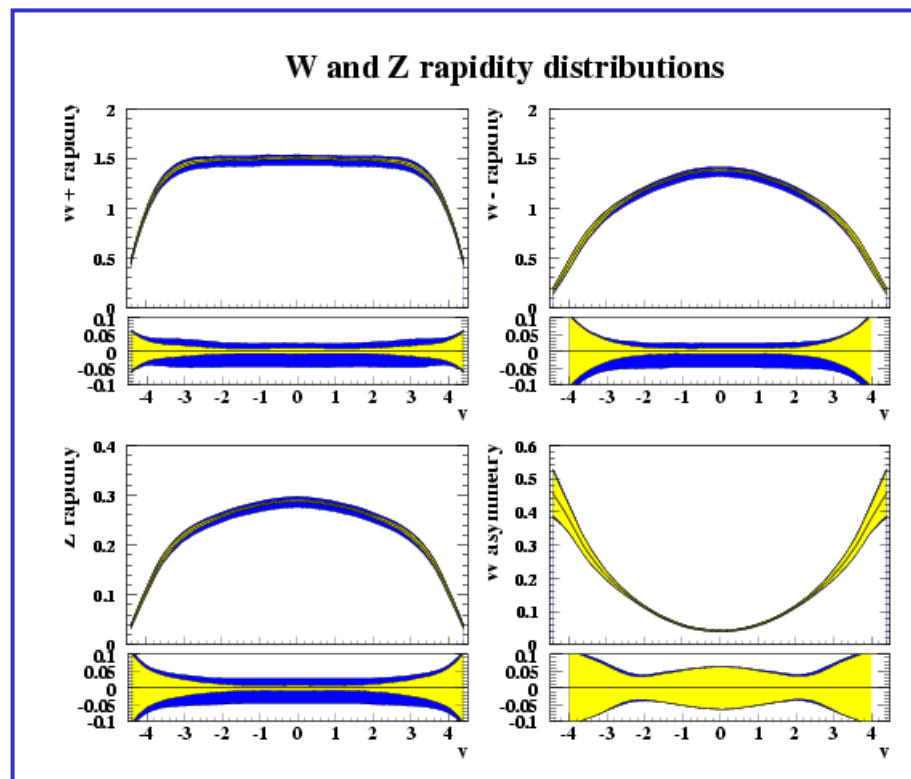
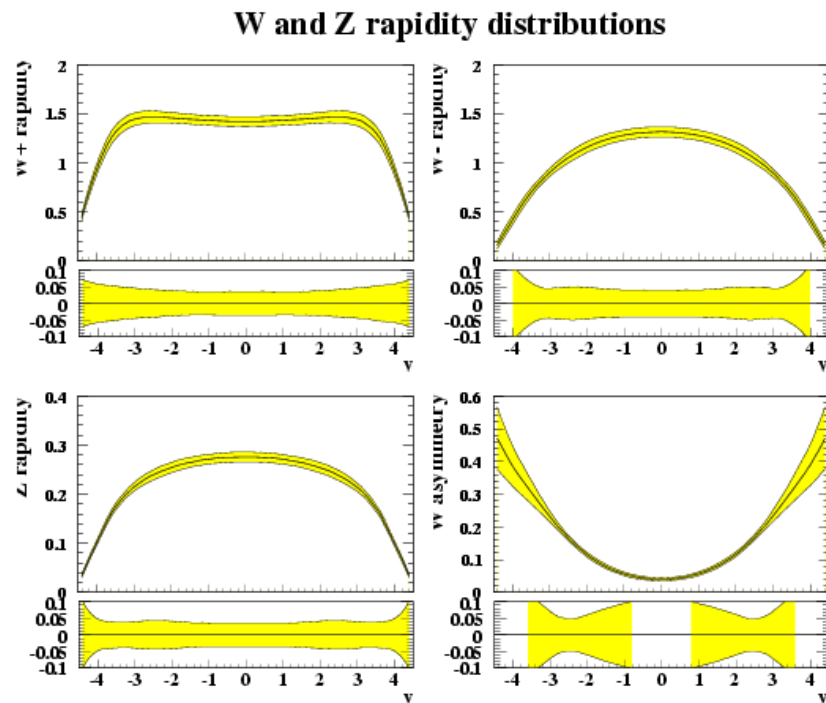


- Experimental uncertainty is now reduced to be  $\sim 1.5\%$
- Note: model uncertainty (systematics of QCD fit) is not yet included in the above figure.  $\rightarrow$  It's time we can think it.(Next slide)

- ① Gluons
- ③ Flavor decomposition
- “Combined  $F_2$ ”

# “HERA PDF”: Impact to LHC W/Z

★ Work by  
A. M. Cooper-Sarkar  
★ NEW  
@ HERA-LHC WS ‘08



Model errors shown in blue

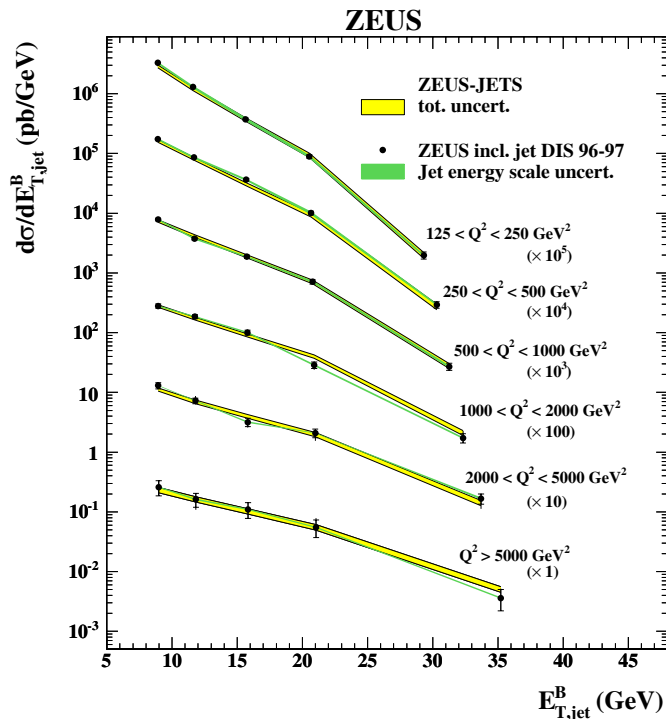
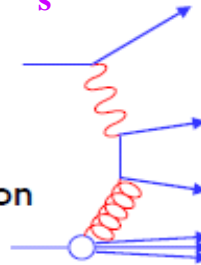
- Experimental uncertainty is now reduced to be  $\sim 1.5\%$ , and they are now smaller than model uncertainty ( $\sim 3\%$ )

# ① Gluons

## -- Gluons from Jets at HERA

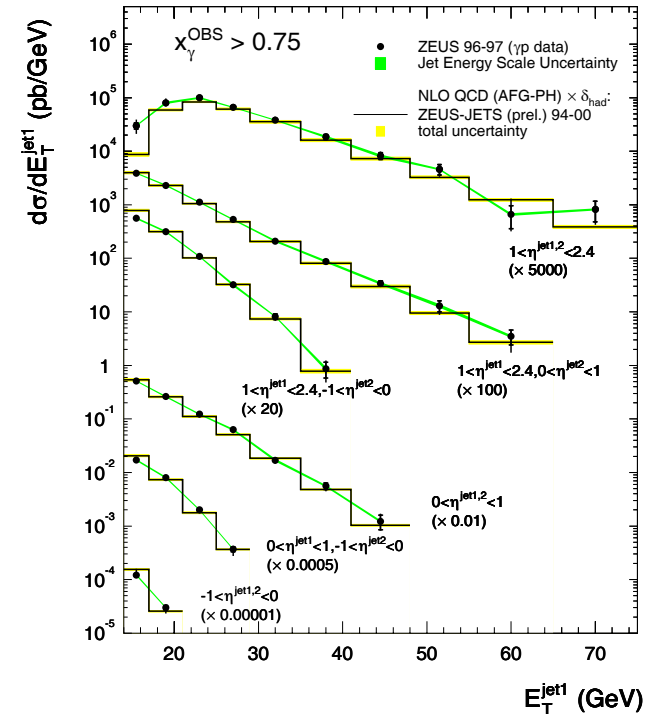
Photoproduction ( $Q^2=0$ ) dijets gives direct access to gluon and  $\alpha_s \rightarrow$

BGF  
Boson Gluon Fusion



# NLO QCD fit including Jets

ZEUS



← DIS Inclusive jet gives general sensitivity to gluon and  $\alpha_s$

✱ A first fit to use HERA data only but HERA SFs + HERA Jets

# ① Gluons

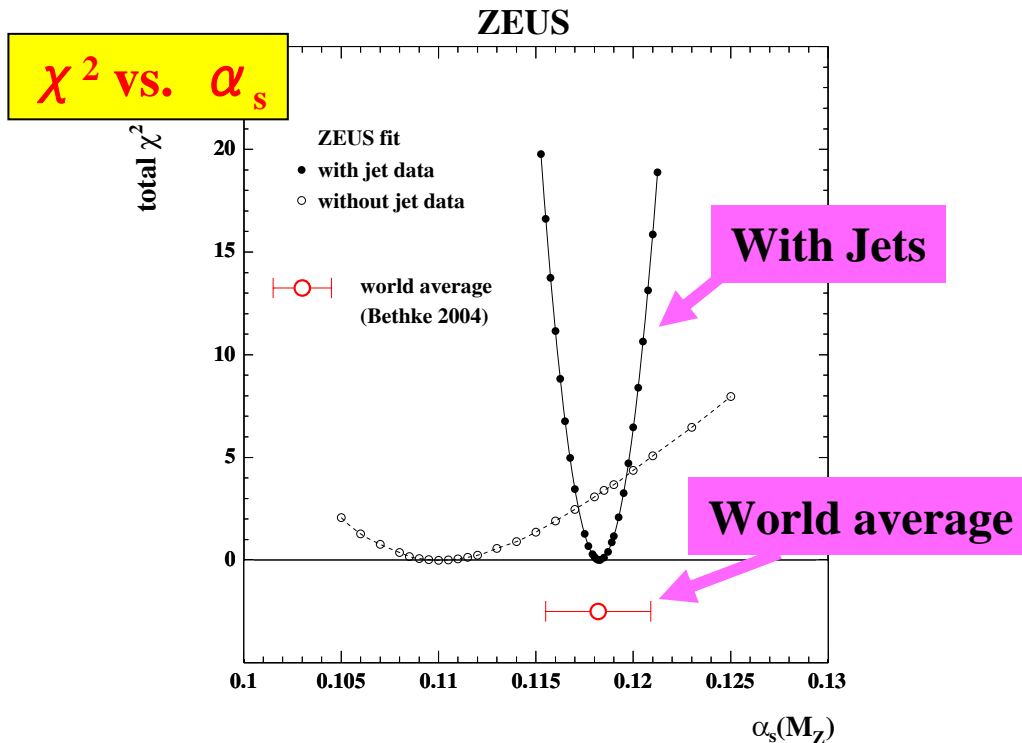
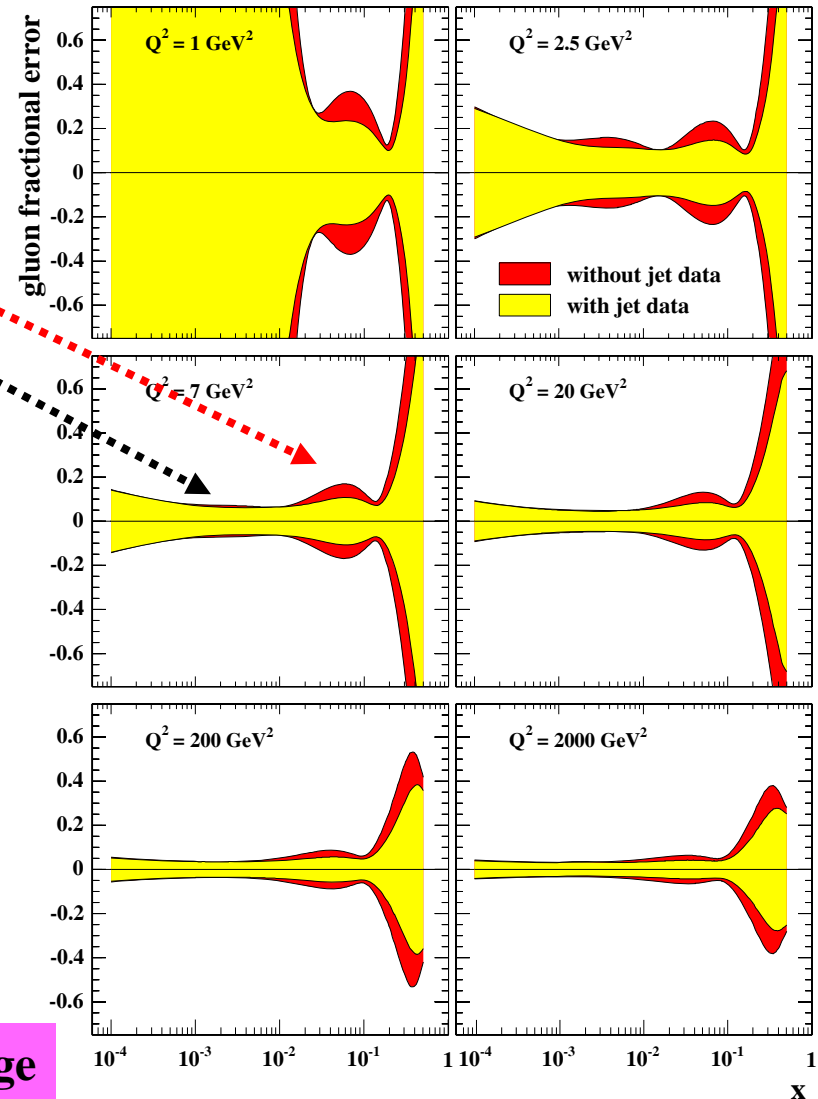
## -- Gluons from Jets at HERA

► Gluon determination improved at Medium- $x$ : 0.01-0.3 owing to Jets

► Also, jet helps to constrain  $\alpha_s$ :  
 →  $\alpha_s$  was determined precisely compatible as the world average!

## Errors of Gluon PDFs

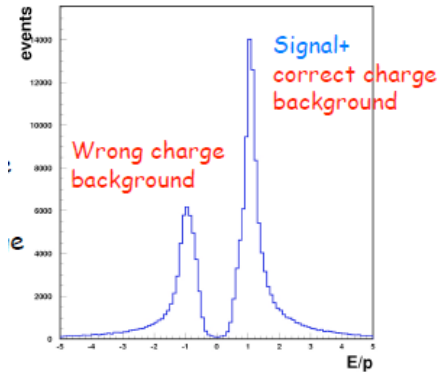
ZEUS



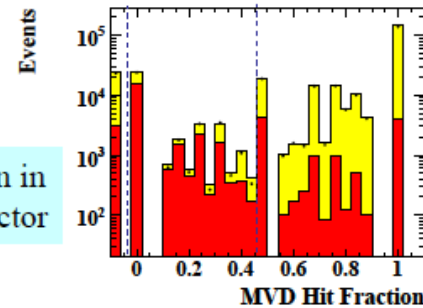
- ① Gluon
- ② Small  $x$  evolution
- Direct  $F_L$  measurement

# Technical issues in measuring $F_L$

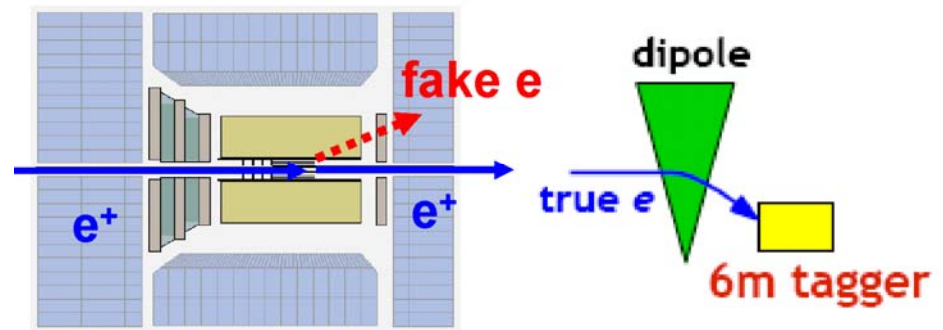
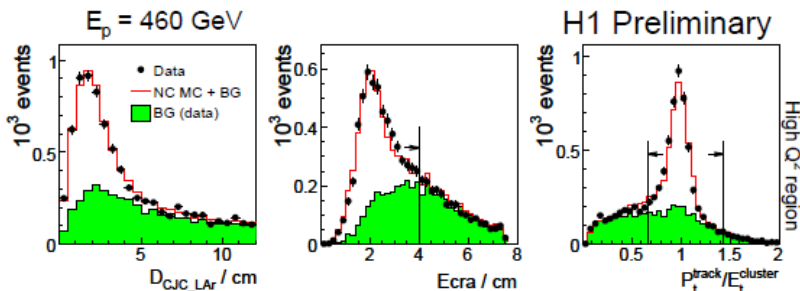
- High- $y$  events are also experimentally very challenging, as huge photoproduction ( $Q^2=0$ ) backgrounds are foreseen.



Hit Fraction in vertex detector



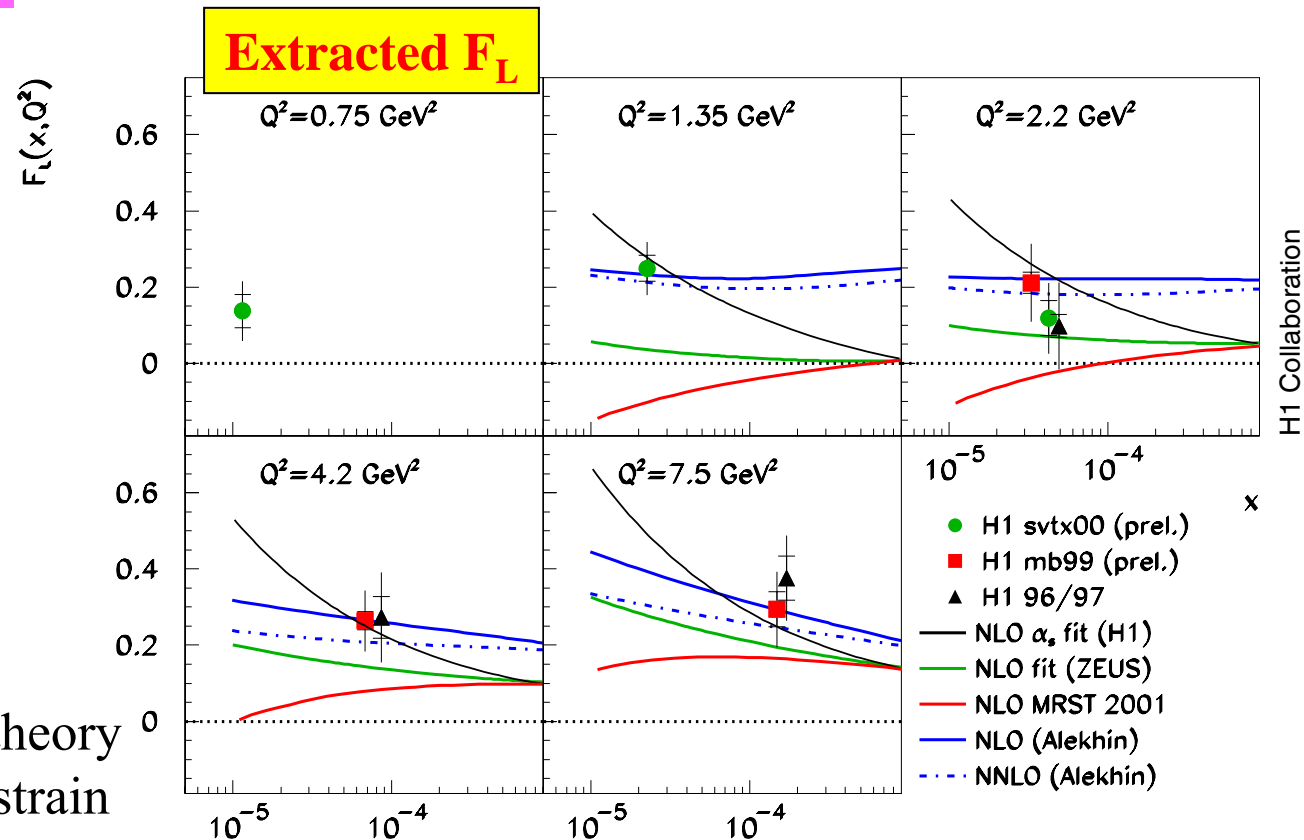
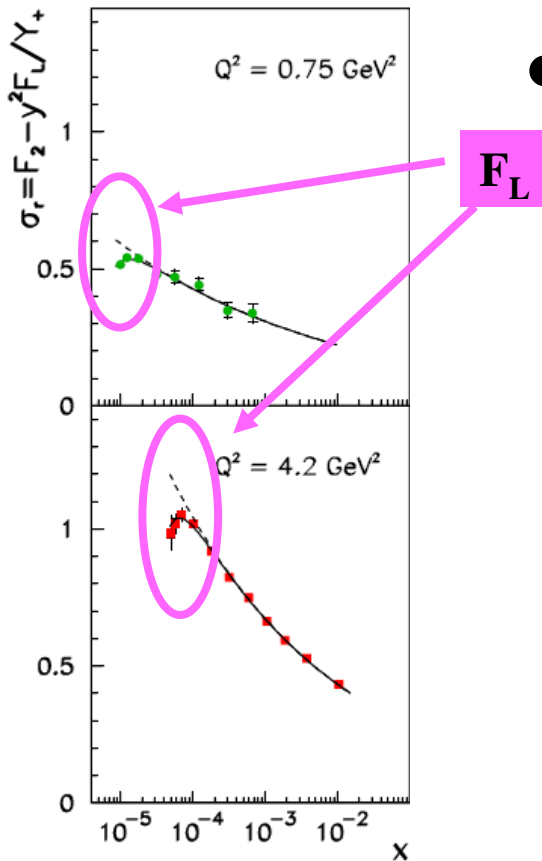
Slides still under construction



- ① Gluons
- ② Small x evolution
- Direct  $F_L$  measurement

# Model-dependent extraction of $F_L$

- “Shape Method” : Fit cross sections with:  $\sigma = F_2 - \frac{F_L}{Y_+}$
- $\lambda$  is extrapolation from low-y  $F_2 = x^{-\lambda}$

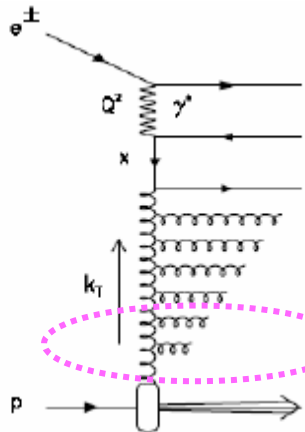


- Large uncertainty in theory
- ➔ Data will help to constrain

✂ This is not a model-independent extraction

### ③ Small $x$ -- Forward Jet

## Small $x$ and Forward Jet

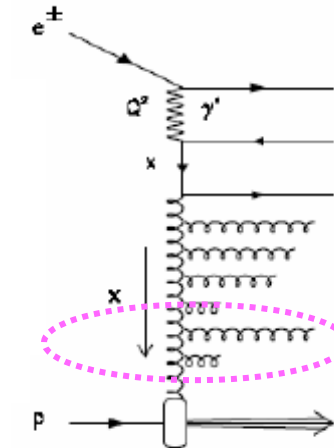


### DGLAP

Evolution & resummation  
in powers of  $\ln Q^2$

$$Q^2 \gg k_{T,n}^2 \gg \dots \gg k_{T,2}^2 \gg k_{T,1}^2$$

The DGLAP gluon cascade  
is strongly ordered in  $k_T$   
and ordered in  $x$



### BFKL

Evolution & resummation  
in powers of  $\ln(1/x)$

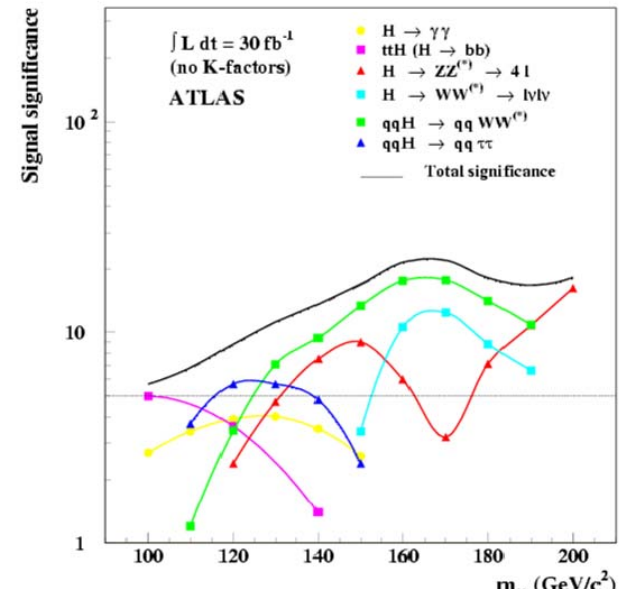
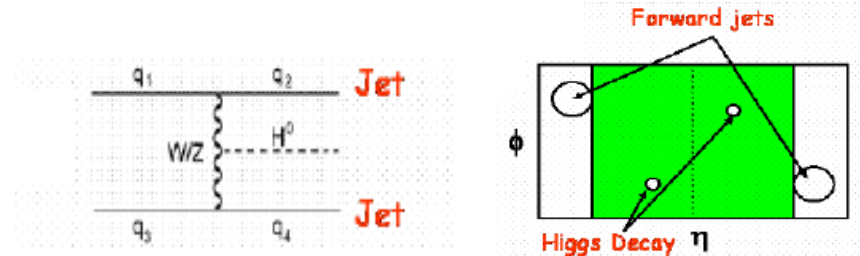
$$x_1 \gg x_2 \gg \dots \gg x_n \gg x$$

The BFKL is only  
strongly ordered in  $x$

Forward jet:

$$x_{\text{jet}} = E_{\text{jet}} / E_p, \text{ large if BFKL}$$

► How it relates to LHC?



VBF is a most promising channel for  
Light Higgs search

-- Forward high  $p_T$  jet



① Gluon

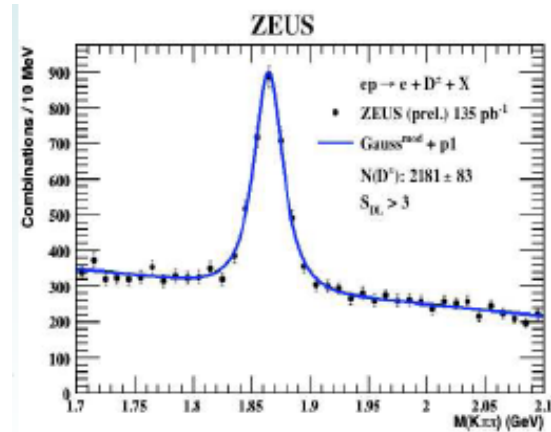
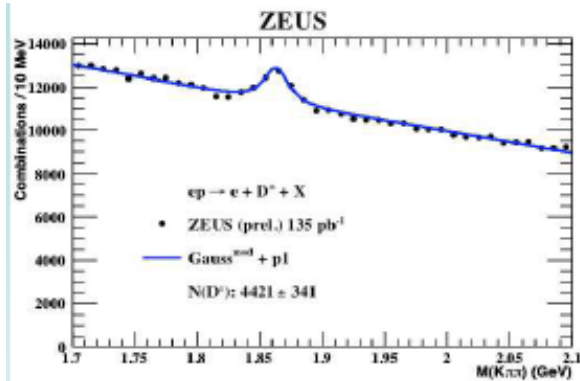
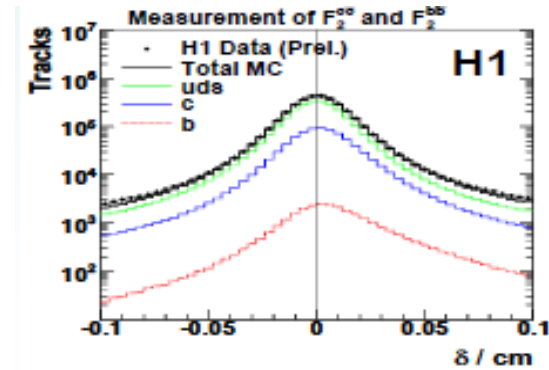
③ Flavor decomposition

--  $F_2^{cc}$ ,  $F_2^{bb}$

$$\underline{F}_2^{cc}$$

● H1: Inclusive Impact Parameter tagging in VTX

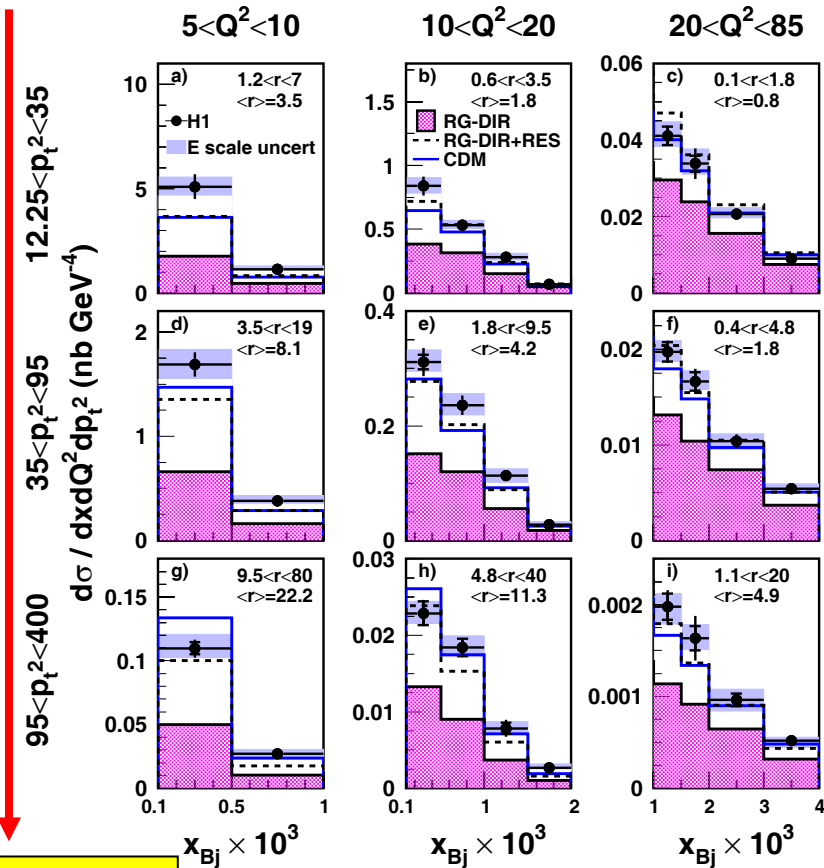
● ZEUS: Signed 2-D decay length in MVD for  $D^{\pm}$ ,  $D^0$  identification



③ Small  $x$   
-- Forward Jet

# Forward Jet @ HERA

$Q^2$

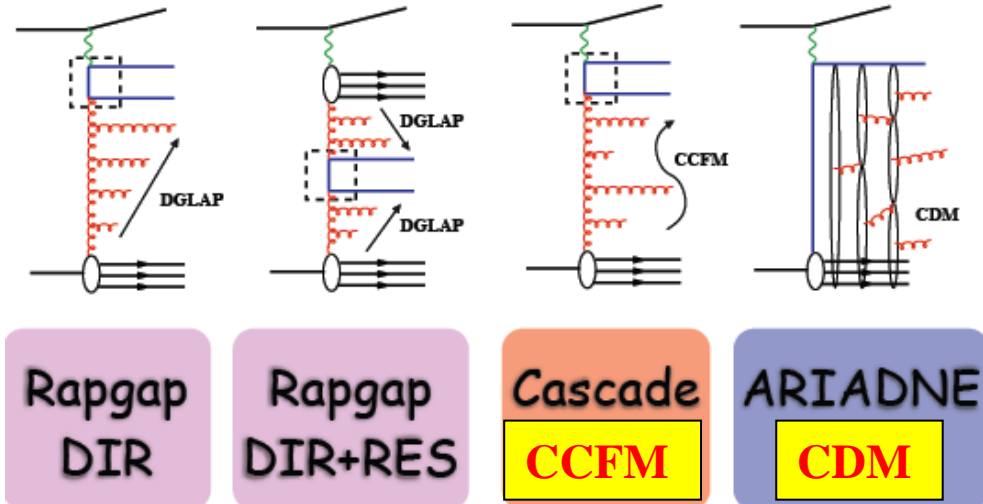
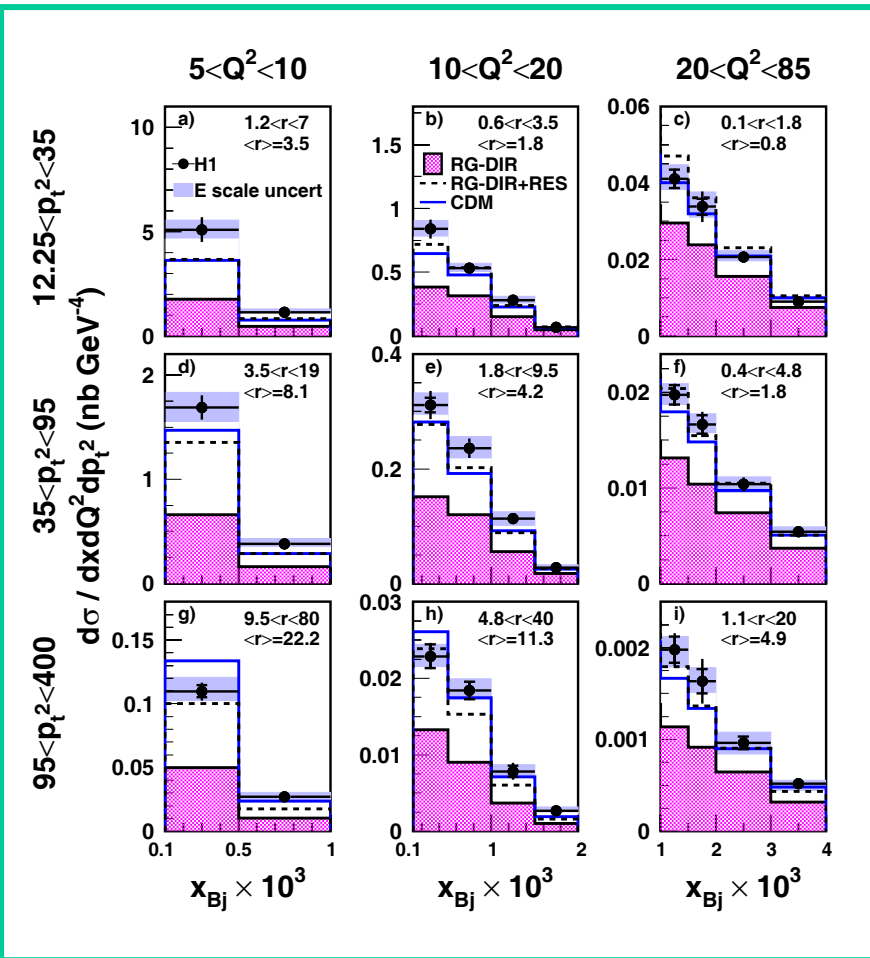


$P_t^2$  Jet

$$d^3\sigma / dx_{Bj} dQ^2 dp_{t,jet}^2$$

- Large K-Factor
  - Not sufficient yet with NLO
- ➔ How QCD Models describe (Next slide)

# Forward Jet @ HERA: QCD Models



- Resolved: Supply part of missing phase space as evolution from photon
  - CDM: Emit gluons isotropically
  - CCFM: Angular ordering evolution
- CDM best describes data.

(the same plot as in previous page)

Higher order effects missing in NLO can be recovered by appropriate QCD models to some extent, but no conclusive theories / models