

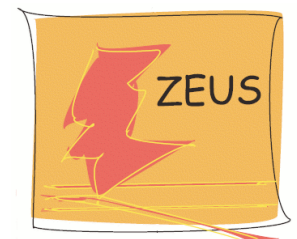
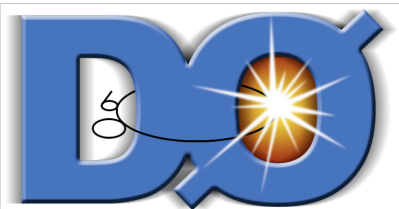
# Tevatron and HERA Forward Physics Results and Implications for the LHC

Paul Laycock



*17<sup>th</sup> November 2009*

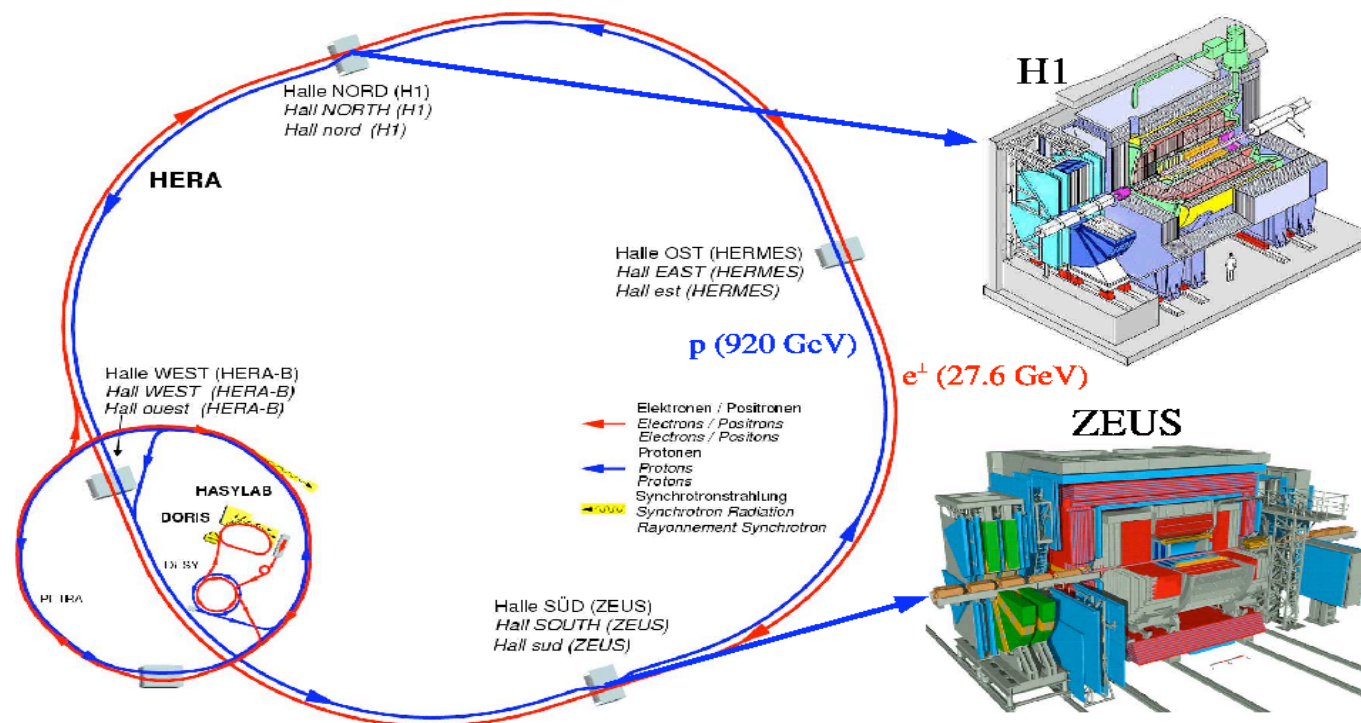
**HCP, Evian**



# Overview

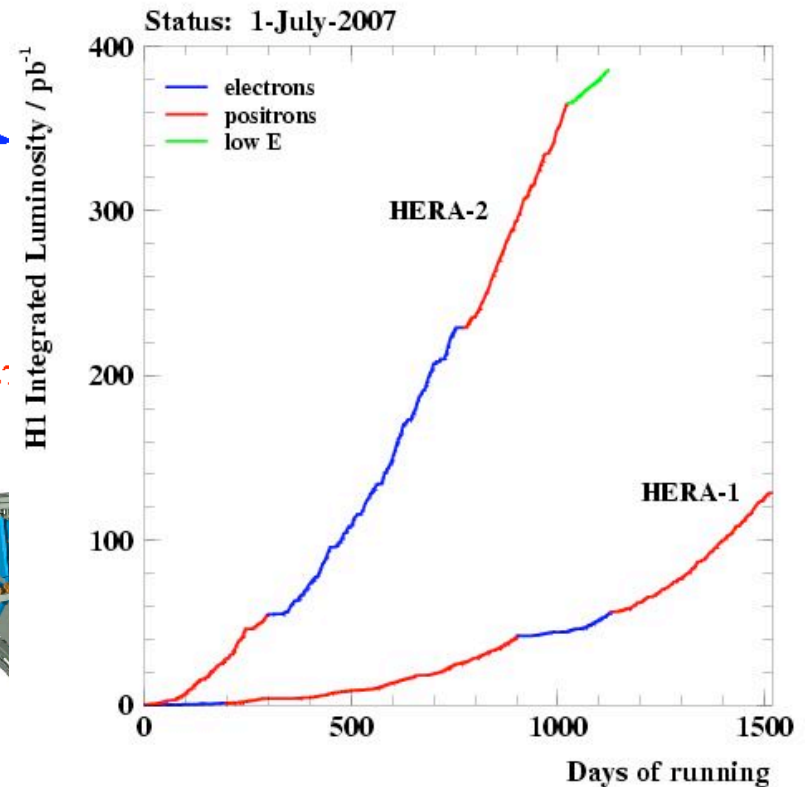
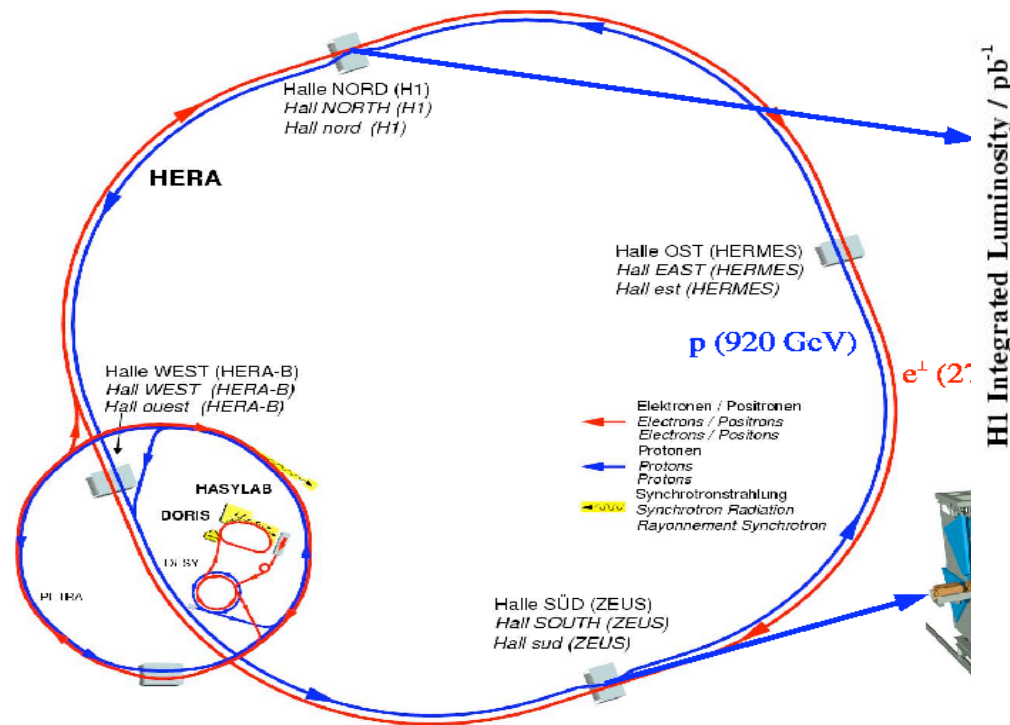
- Inclusive Diffraction
- QCD Fits and Diffractive PDFs
- Diffractive Dijets and Factorisation
- Exclusive Central Production
- Beyond DGLAP
- Vector Mesons, Double Parton Scattering and DVCS
- Summary

# The HERA Harvest



- The unique HERA machine collided 27.5 GeV electrons/positrons with protons of 460, 575, 820 and 920 GeV providing  $0.5 \text{ fb}^{-1}$  to H1 and Zeus
- Precision tests of QCD and a PDF machine in exactly the right range of parton fractional momentum for LHC experiments
- The final precision analyses of HERA data are underway **now**

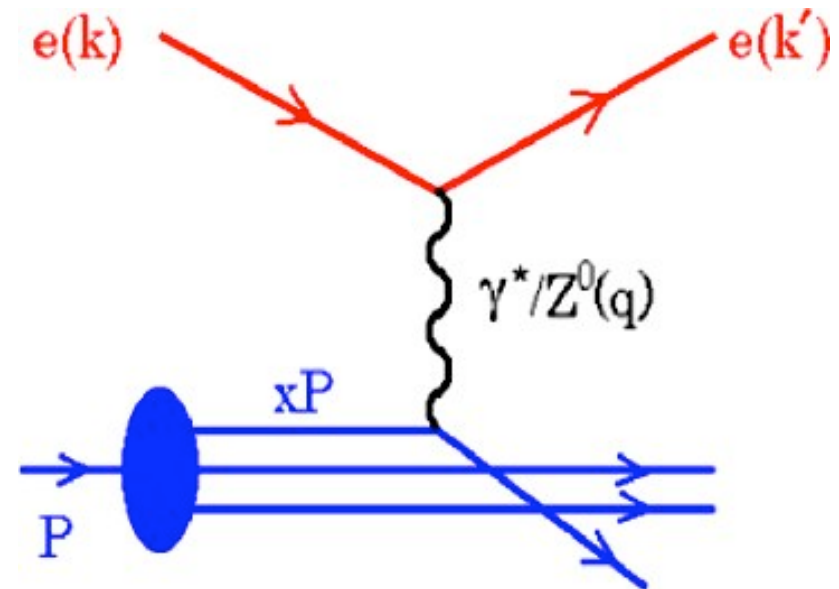
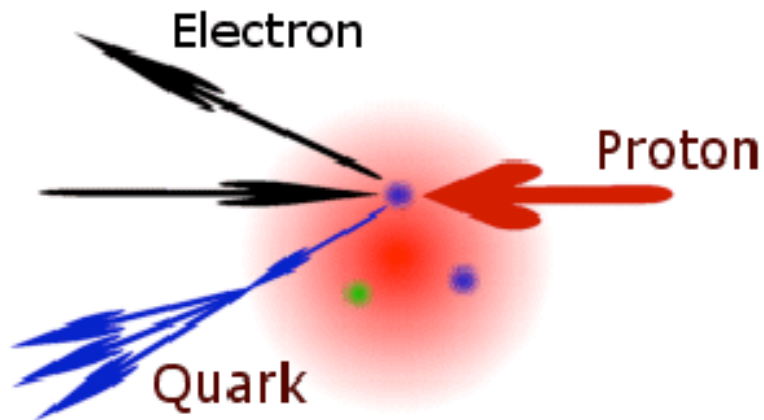
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# Deep-Inelastic Scattering at HERA



$$Q^2 = -q^2 = -(k - k')^2$$

*Virtuality / resolving power of the photon*

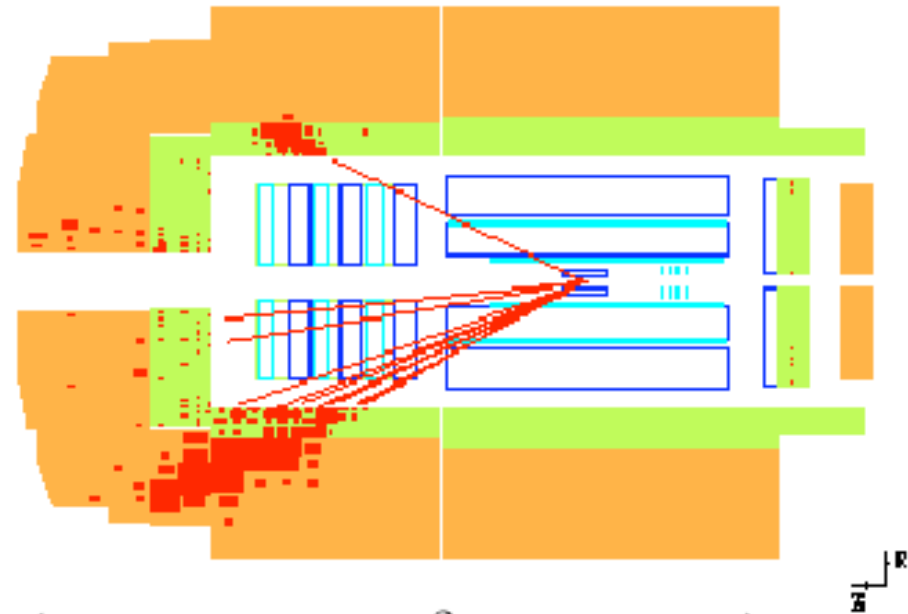
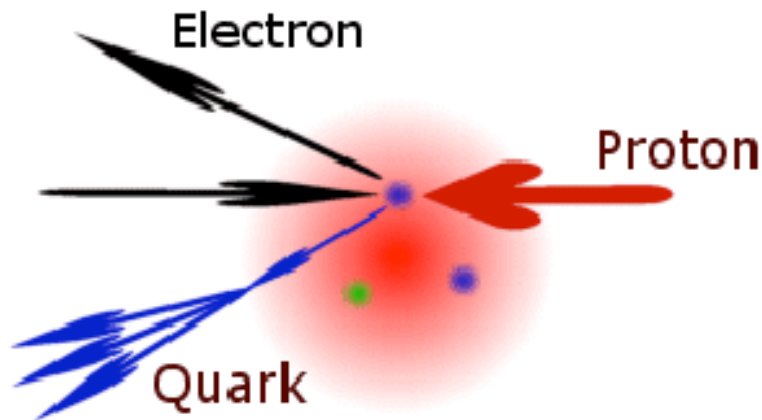
$$x = \frac{Q^2}{2p \cdot q}$$

*Momentum fraction of the struck quark*

$$y = \frac{p \cdot q}{p \cdot k}$$

*Inelasticity of the event*

# Deep-Inelastic Scattering at HERA

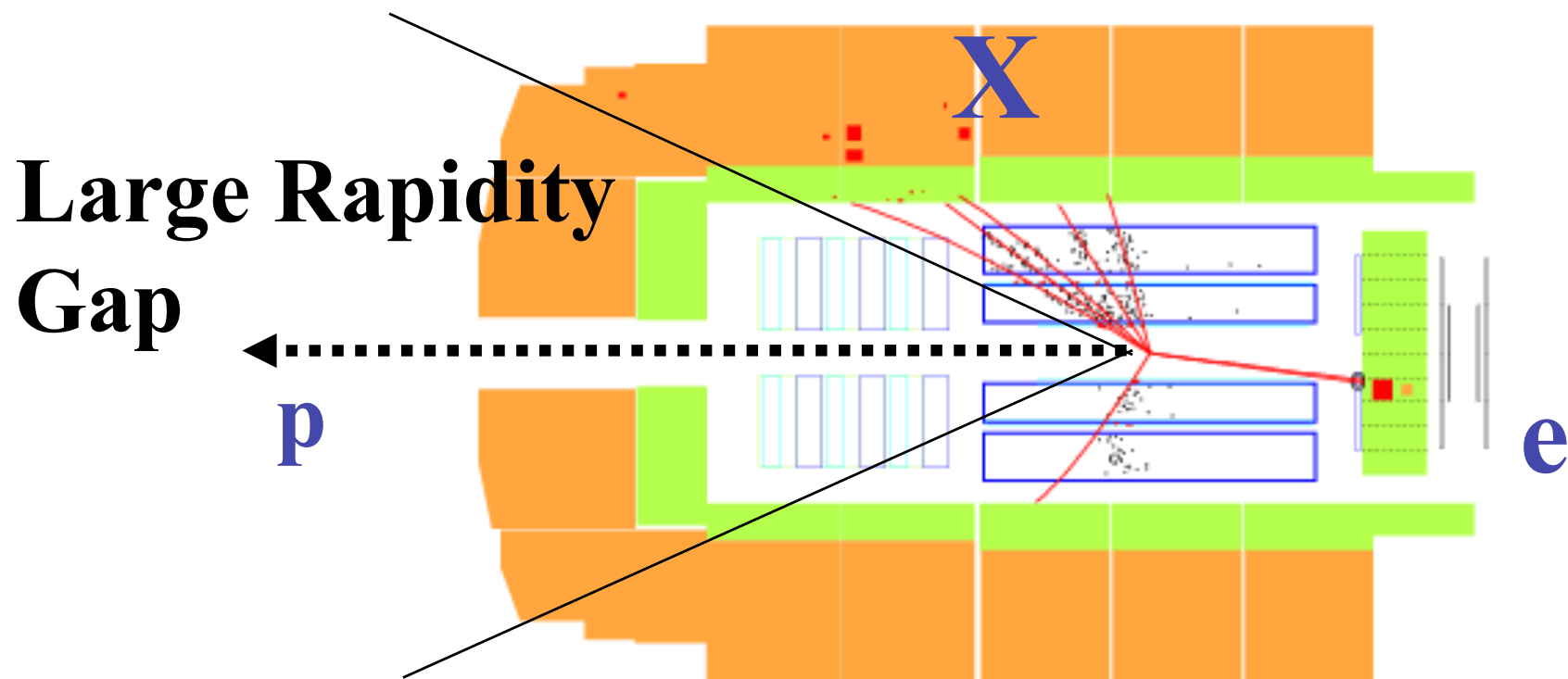


Measure:

$$\frac{d^2\sigma_{NC}^{ep}}{dx dQ^2} = \frac{2\pi\alpha^2 Y_+}{xQ^4} \left( F_2(x, Q^2) - \frac{y^2}{Y_+} F_L(x, Q^2) \right)$$

- Extract:
- $F_2$  directly related to (PDFs) quark content:  $F_2 \sim x \sum e^2 (q + \bar{q})$
  - $dF_2/d\ln Q^2$  (scaling violations) sensitive to gluon content
  - $F_L$  only non-zero in higher order QCD – independent access to gluon density and QCD dynamics

# Diffractive DIS: $ep \rightarrow eXp$



Unexpectedly (in 1990) we saw that in 10% of DIS events, there is a large gap where there are NO particles produced between the struck quark and the proton

Diffractive DIS was born - electron-Pomeron scattering

Be prepared for the unexpected!

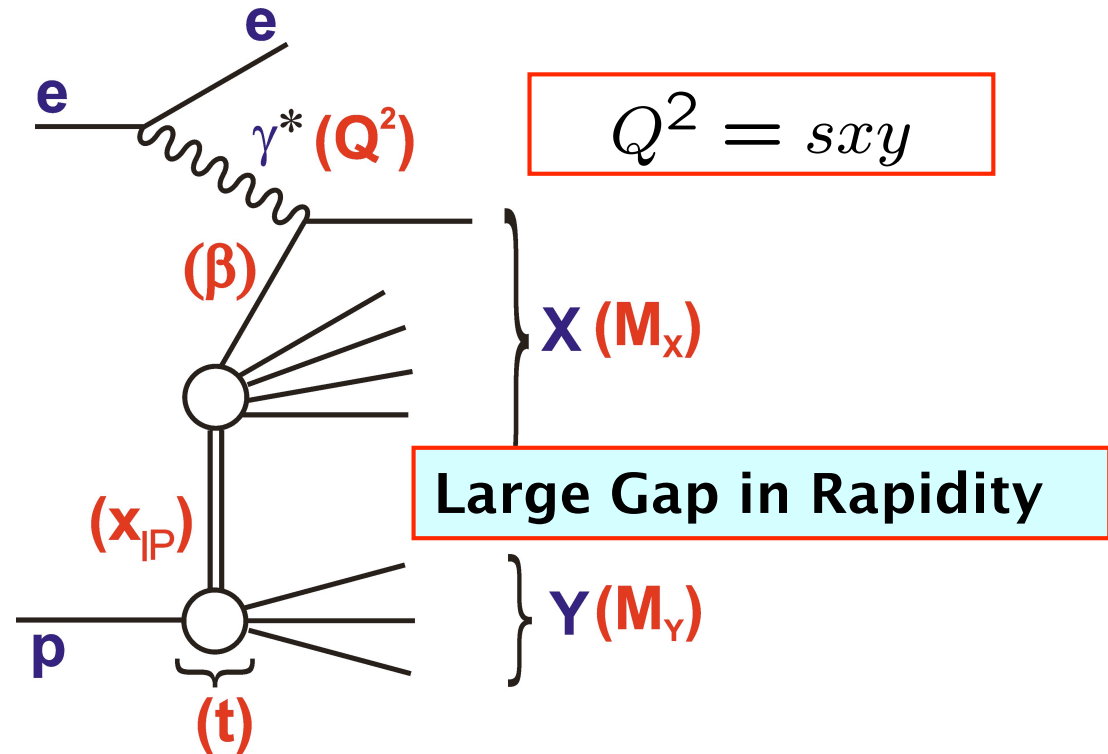
# Diffractive DIS Kinematics and Observables

$$x = x_{IP} \beta$$

$$\beta = \frac{Q^2}{Q^2 + M_X^2}$$

$$x_{IP} = \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

$$Y_+ = 1 + (1 - y)^2$$



Cross section: 
$$\frac{d^4 \sigma^{ep \rightarrow eXp}}{dx dQ^2 dx_{IP} dt} = \frac{4\pi\alpha^2}{xQ^4} Y_+ \sigma_r^{D(4)}(x, Q^2, x_{IP}, t)$$

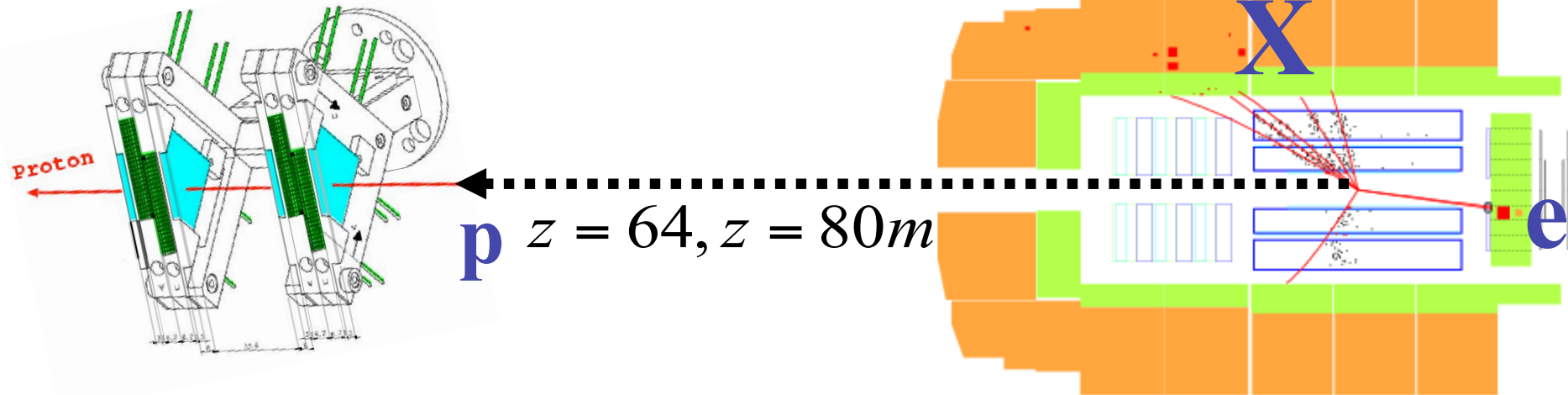
$$\sigma_r^{D(4)} = F_2^{D(4)} - \frac{y^2}{Y_+} F_L^{D(4)}$$

$$\sigma_r^{D(3)} = \int_{-1}^{t_{min}} \sigma_r^{D(4)} dt$$

# Experimentally selecting $ep \rightarrow eXp$

**I** Forward/Leading  
Proton Spectrometer

Central H1 Detector



Measure Leading Proton (FPS/LPS)

No proton dissociation (pdiss)

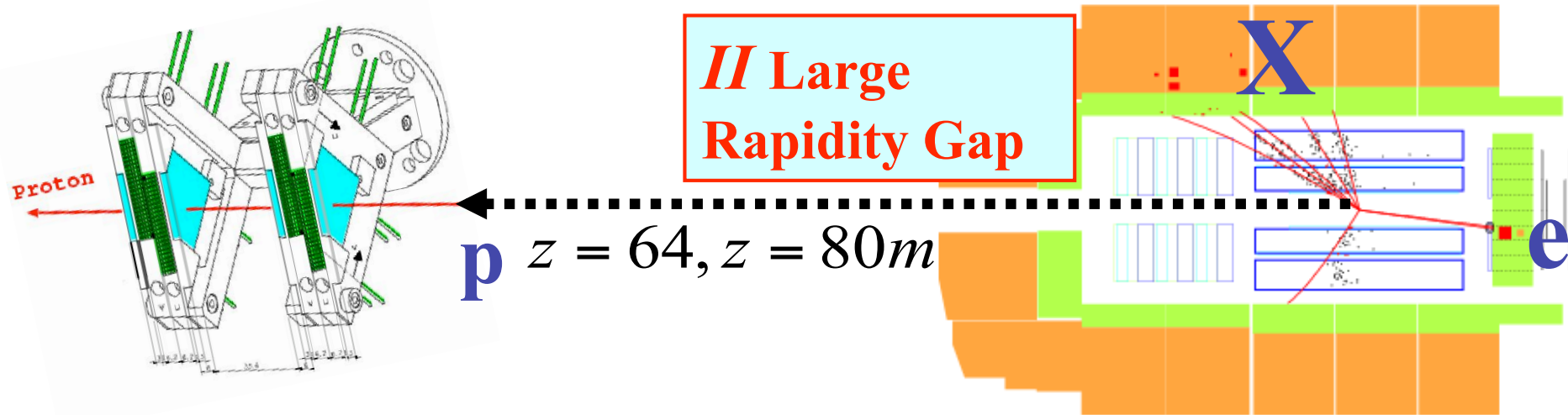
Measure the  $t$  dependence

Low detector acceptance

# Experimentally selecting $ep \rightarrow eXp$

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Central H1 Detector



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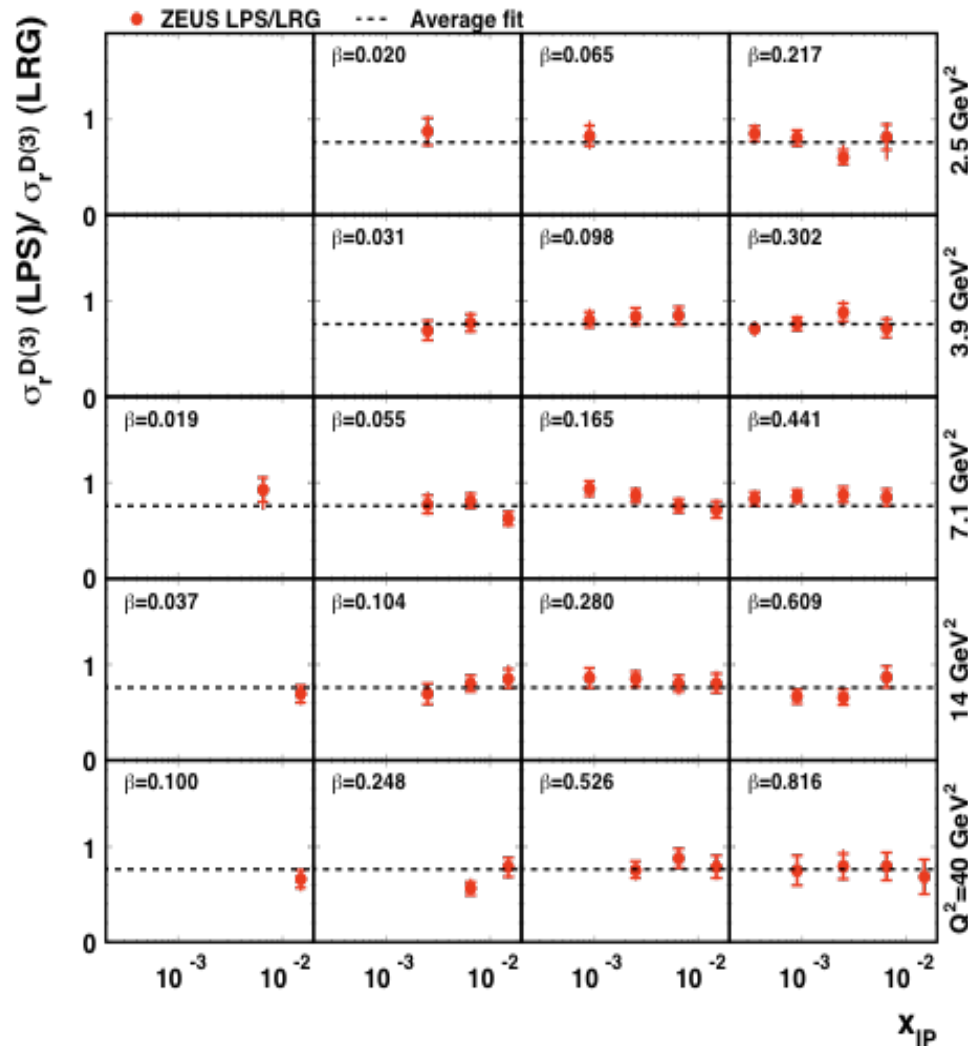
Low detector acceptance

Require Large Rapidity Gap (LRG)  
spanning at least  $3.3 < \eta < \sim 7.5$

Kinematics measured from  $X$  system,  
integrate  $|t| < 1.0 \text{ GeV}^2$ ,  $M_Y < 1.6 \text{ GeV}$

High detector acceptance  $\rightarrow$  precision

# Ratio of Leading Proton / Large Rapidity Gap



The LRG data contains a sizeable proton dissociation background (estimated to be 24% at ZEUS, H1)

**The ratio of leading proton /LRG cross sections is *independent* of  $Q^2$ ,  $x_{IP}$ ,  $\beta$**

LRG equivalent to Leading Proton up to a normalisation correction to account for pdiss

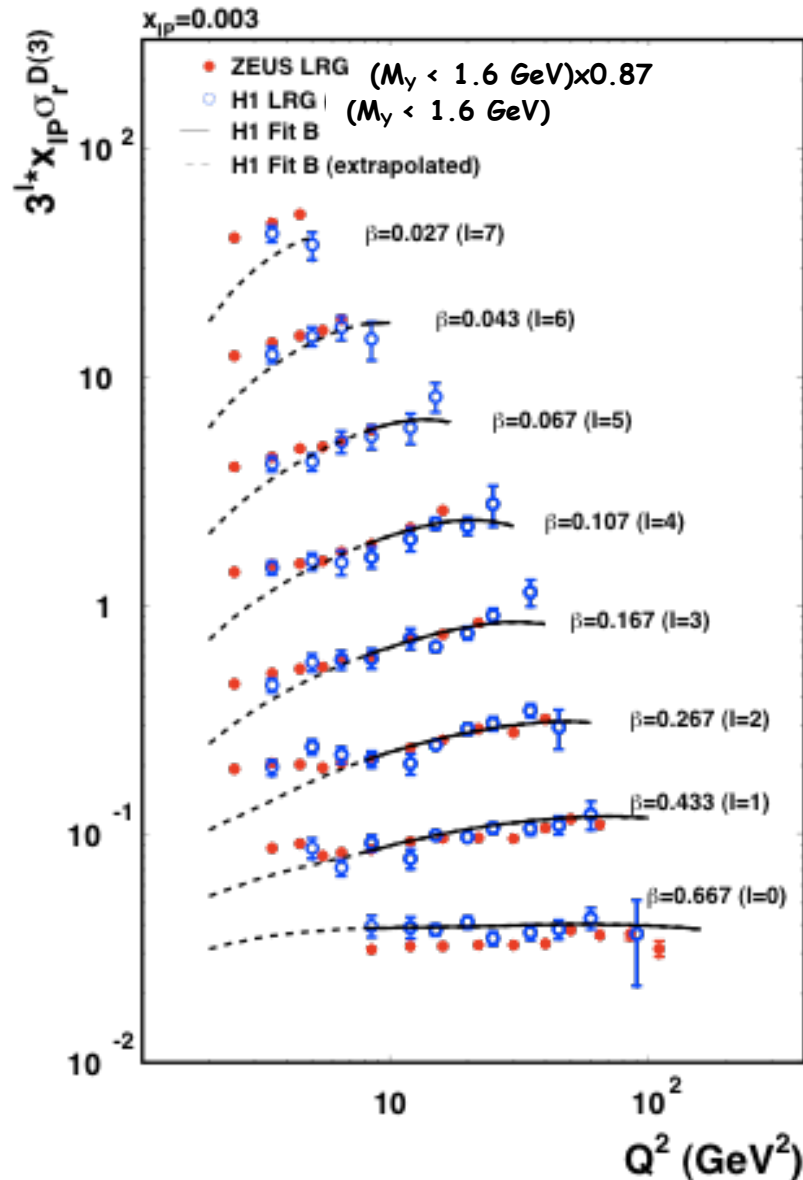
ZEUS LPS / ZEUS LRG =  $0.76 \pm 0.01(\text{stat}) + 0.03-0.02(\text{sys}) + 0.08-0.05(\text{norm})$

→ pdiss background in LRG data:  $[24 \pm 1(\text{stat}) + 2-3(\text{sys}) + 5-8(\text{norm})]\%$

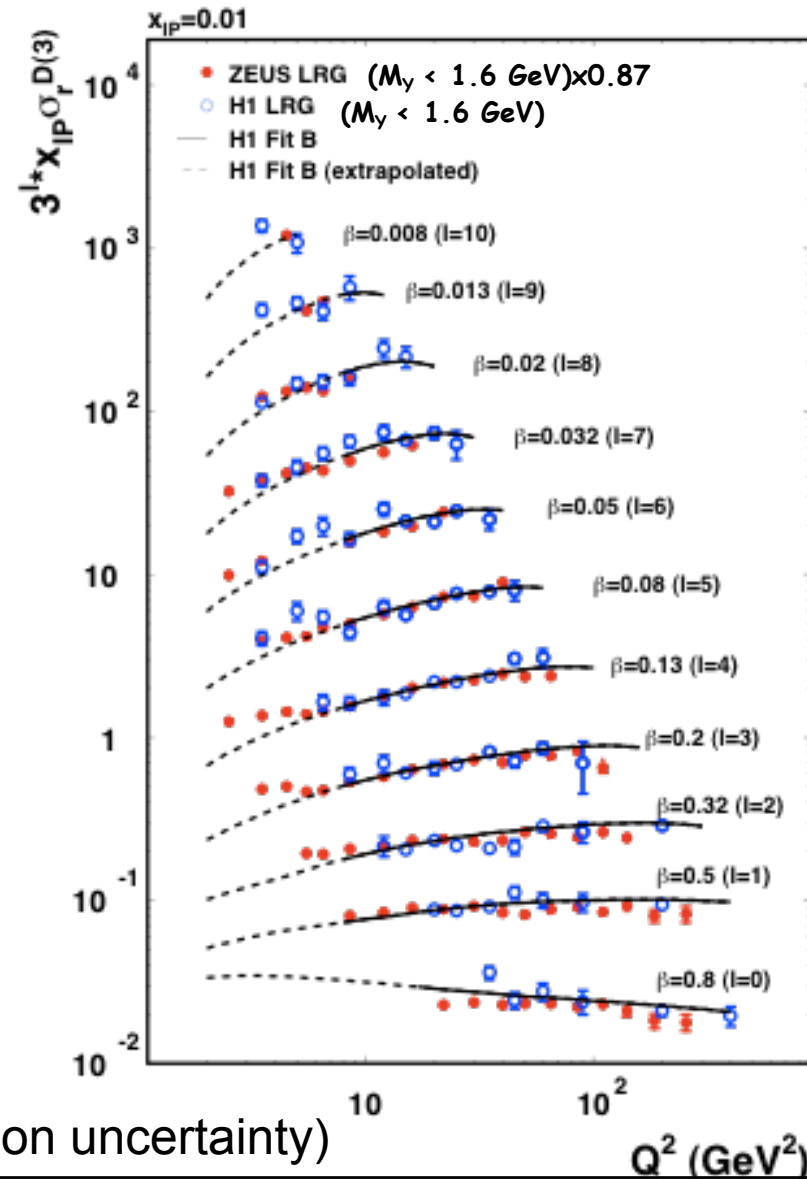


# HERA Large Rapidity Gap Data

HERA inclusive diffraction

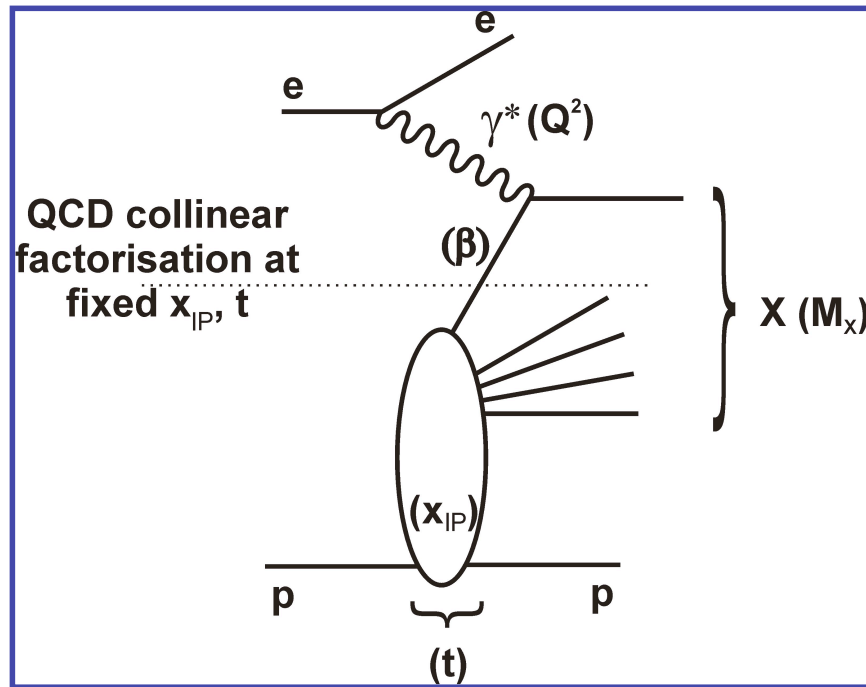


HERA inclusive diffraction



Good agreement between H1 and ZEUS  
(ZEUS scaled by 0.87, covered by normalisation uncertainty)

# Factorisation in Diffractive DIS

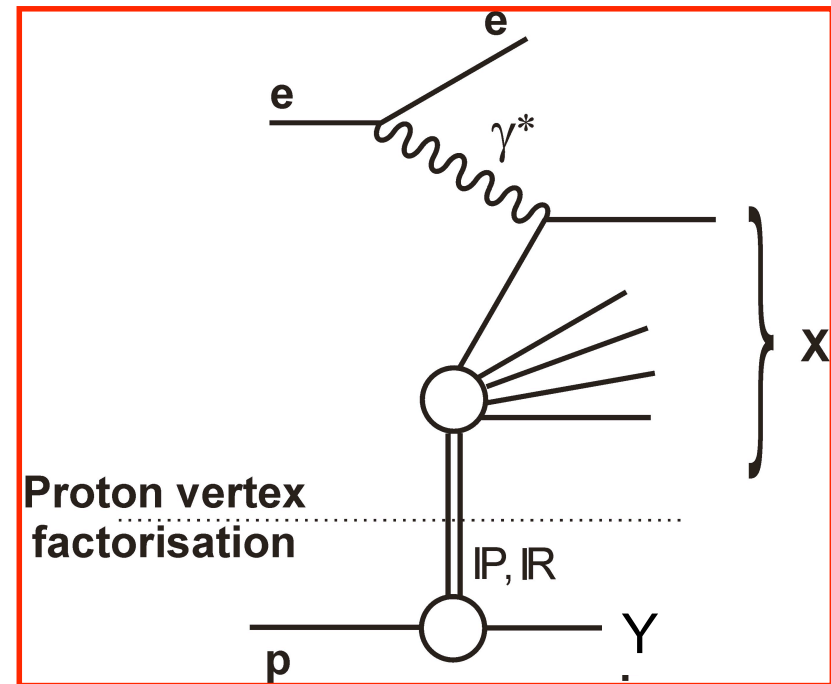
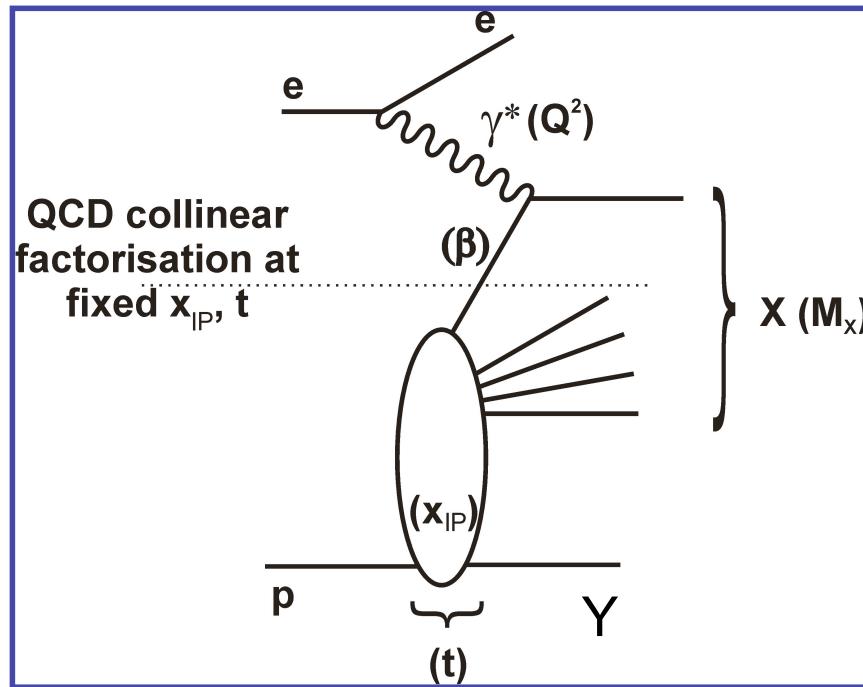


QCD hard scattering collinear factorisation (Collins) at fixed  $x_{IP}$  and  $t$

$$d\sigma_{partoni}(ep \rightarrow eXY) = f_i^D(x, Q^2, x_{IP}, t) \otimes d\sigma^{ei}(x, Q^2)$$

Applied after integration over measured  $M_Y$  and  $t$  ranges

# Factorisation in Diffractive DIS



QCD hard scattering collinear factorisation (Collins) at fixed  $x_{IP}$  and  $t$

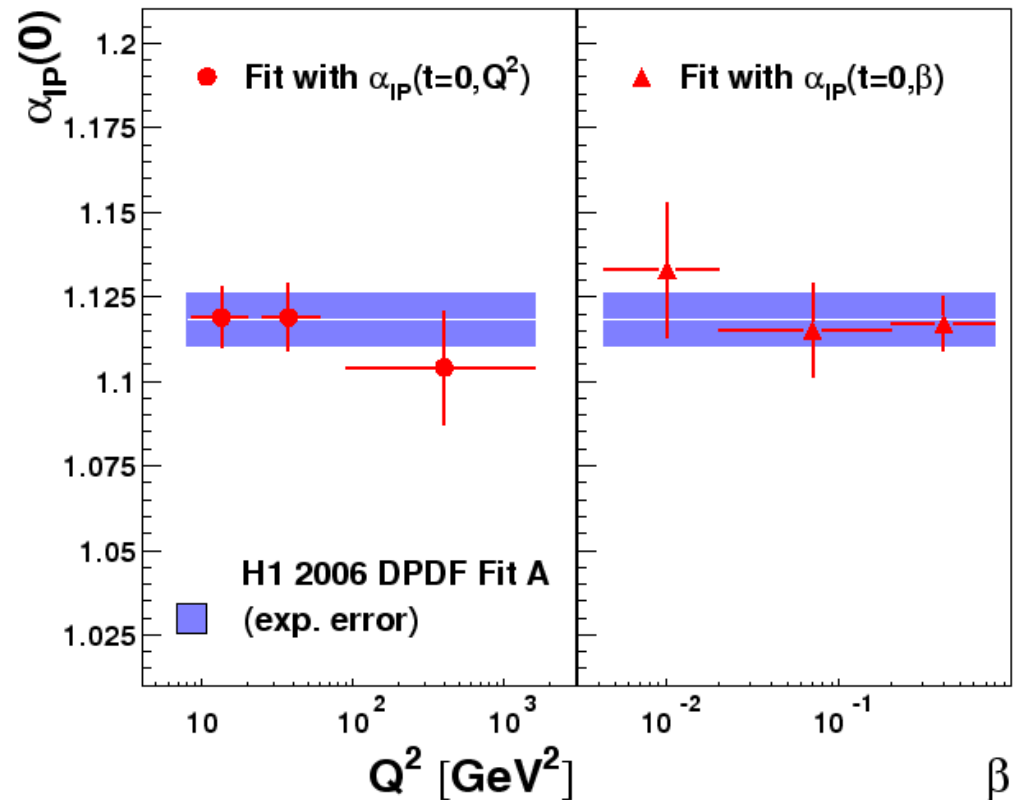
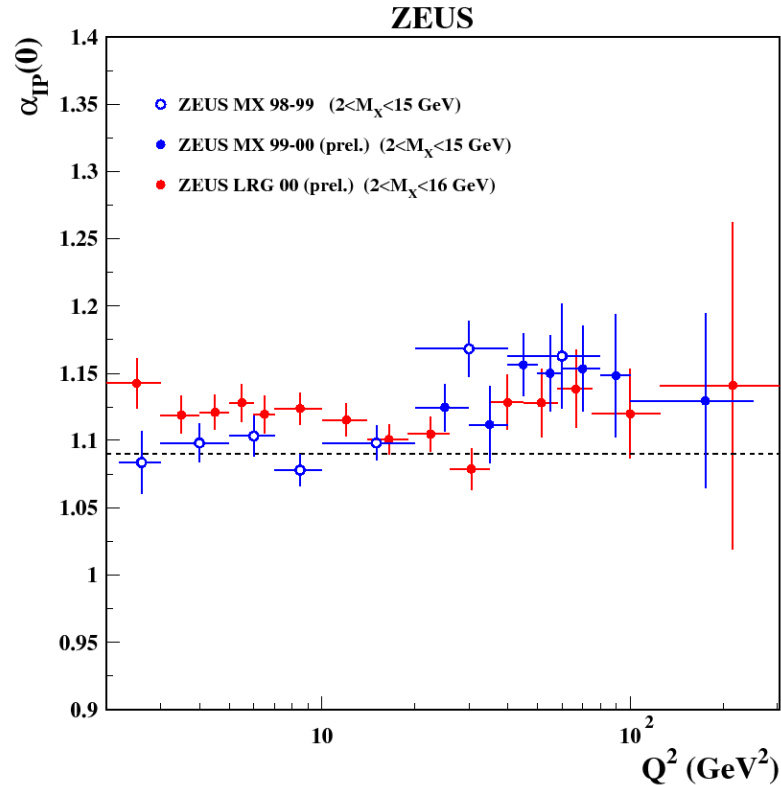
$$d\sigma_{partoni}(ep \rightarrow eXY) = f_i^D(x, Q^2, x_{IP}, t) \otimes d\sigma^{ei}(x, Q^2)$$

Applied after integration over measured  $M_Y$  and  $t$  ranges

'Proton vertex' factorisation of  $\beta$  and  $Q^2$  from  $x_{IP}$ ,  $t$ , and  $M_Y$  dependences

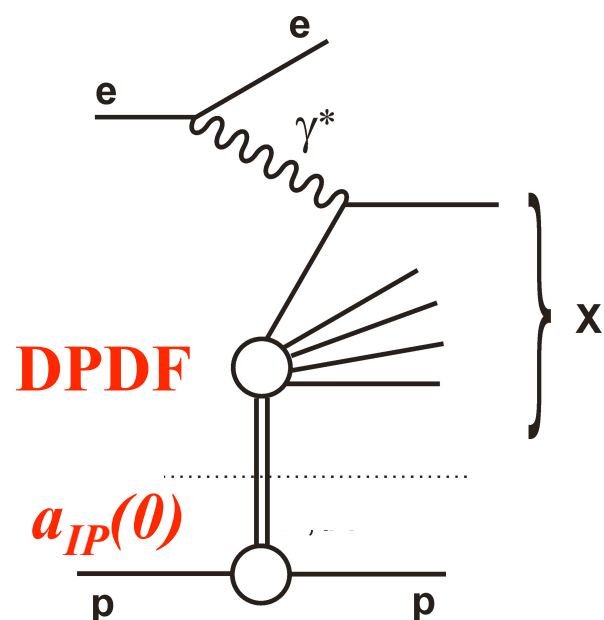
$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = \frac{x}{x_{IP}}, Q^2)$$

# Proton Vertex Factorisation Tests



- Measure the  $x_{IP}$  dependence of the data as a function of  $\beta$  and  $Q^2$
- The proton vertex factorisation approximation holds within the experimental precision
- This allows an NLO QCD analysis of the  $\beta$  and  $Q^2$  dependences

# NLO QCD Fits

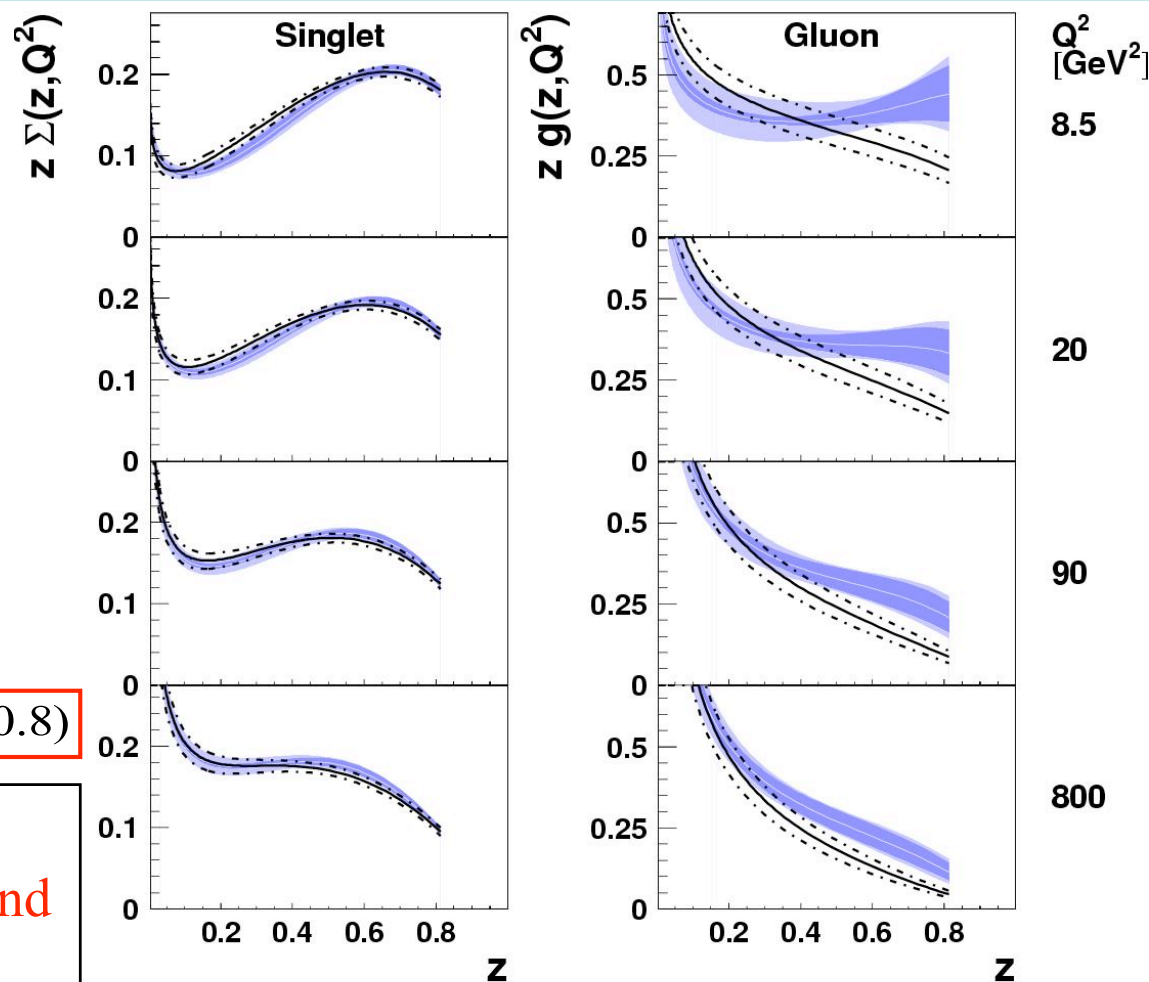


$Q^2 \geq 8.5 \text{ GeV}^2$  (and  $M_X > 2 \text{ GeV}$ ,  $\beta \leq 0.8$ )

- Fit  $\alpha_{IP}(0)$  ( $x_{IP}$  dependence).
- Fit 5(4) parameters of DPDFs ( $\beta$  and  $Q^2$  dependences) using NLO QCD

Singlet:  $z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$

Gluon: 2 solutions, Fit A and Fit B:



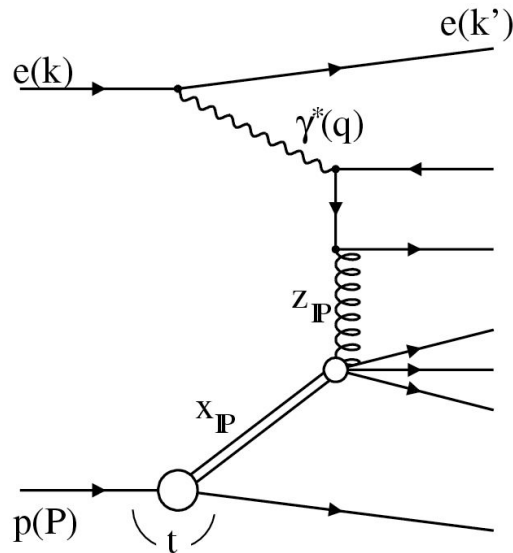
H1 2006 DPDF Fit A  
 (exp. error)  
 (exp.+theor. error)

H1 2006 DPDF Fit B  
 (exp.+theor. error)

$z_g(z, Q_0^2) = A_g (1-z)^{C_g}$

$z_g(z, Q_0^2) = A_g$

# Comparison with diffractive dijet data in DIS

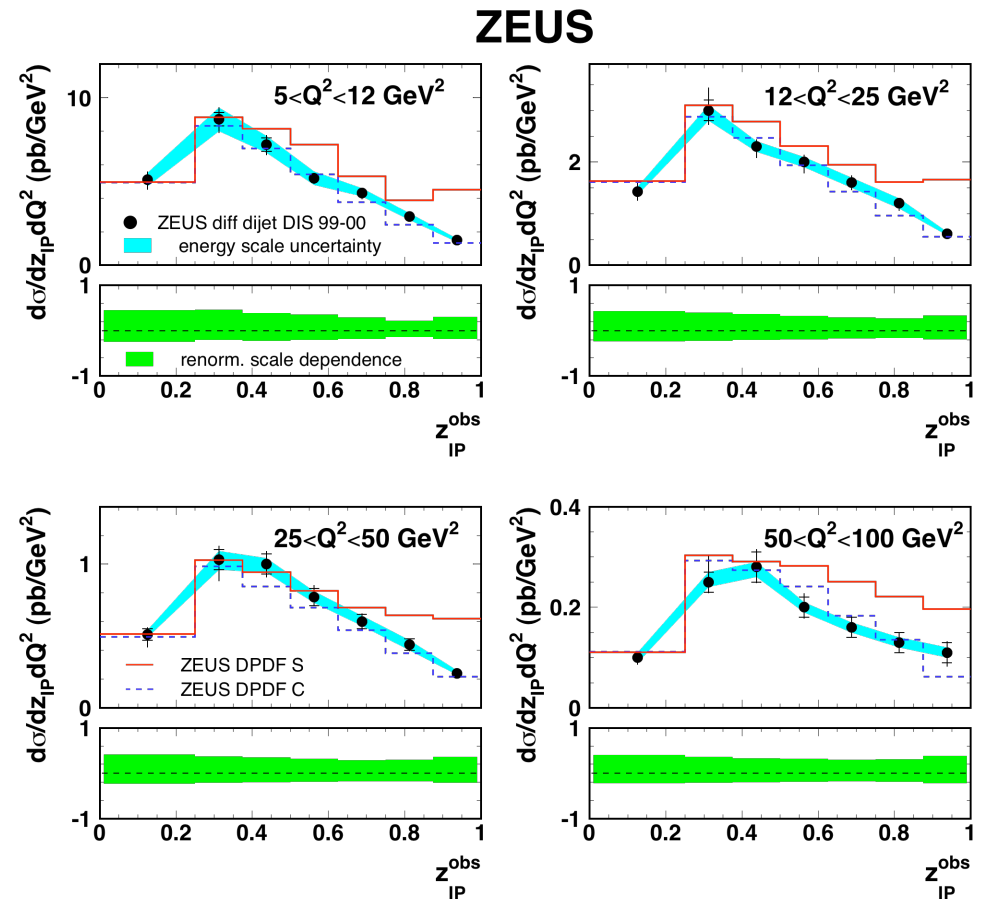


$$z_{IP} = \frac{M_{12}^2 + Q^2}{M_X^2 + Q^2}$$

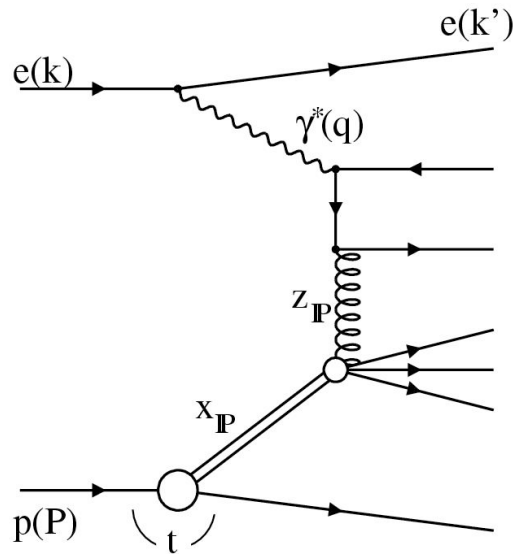
ZEUS collaboration use similar procedure with improved heavy flavour treatment  
Compare fits to diffractive dijet data (sensitive to the gluon)

- Dijet data agree with DPDF C (constant gluon at starting scale)

Factorisation holds in diffractive DIS at HERA



# Comparison with diffractive dijet data in DIS

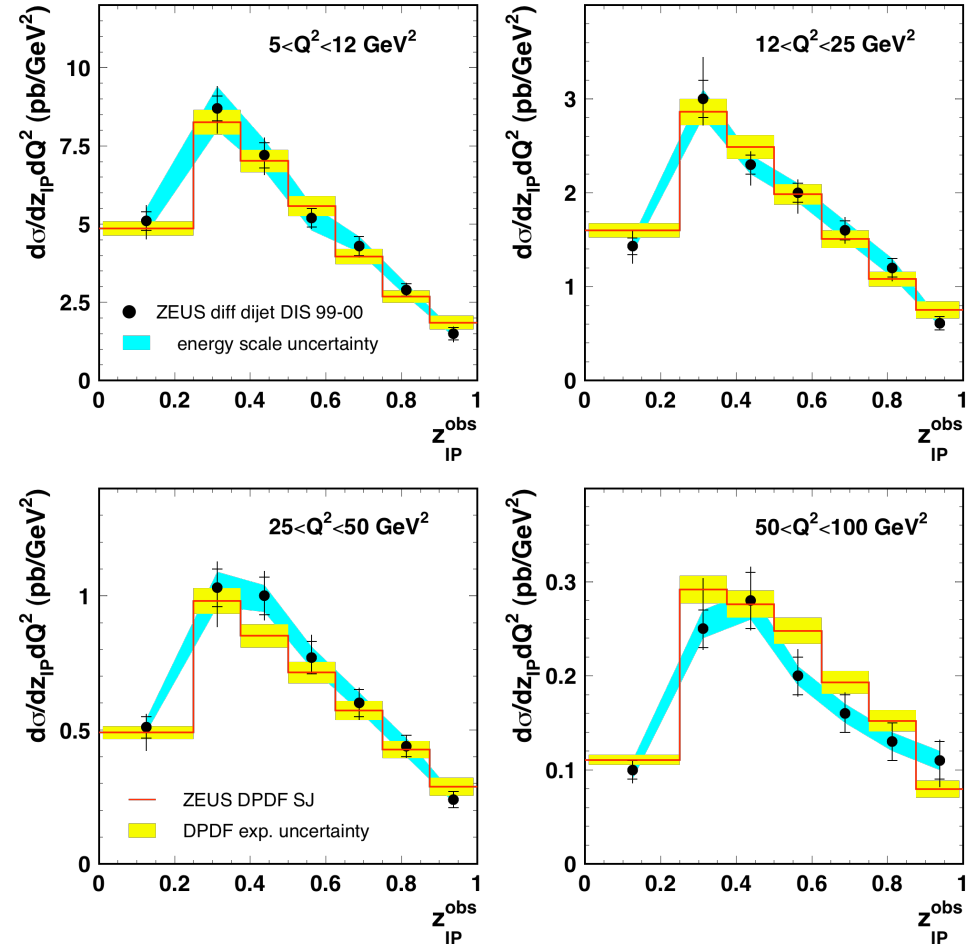


$$z_{IP} = \frac{M_{12}^2 + Q^2}{M_X^2 + Q^2}$$

Inclusive data lose sensitivity to the gluon above  $z_{IP} \sim 0.4$

Use the diffractive dijet data as an extra constraint in the fit

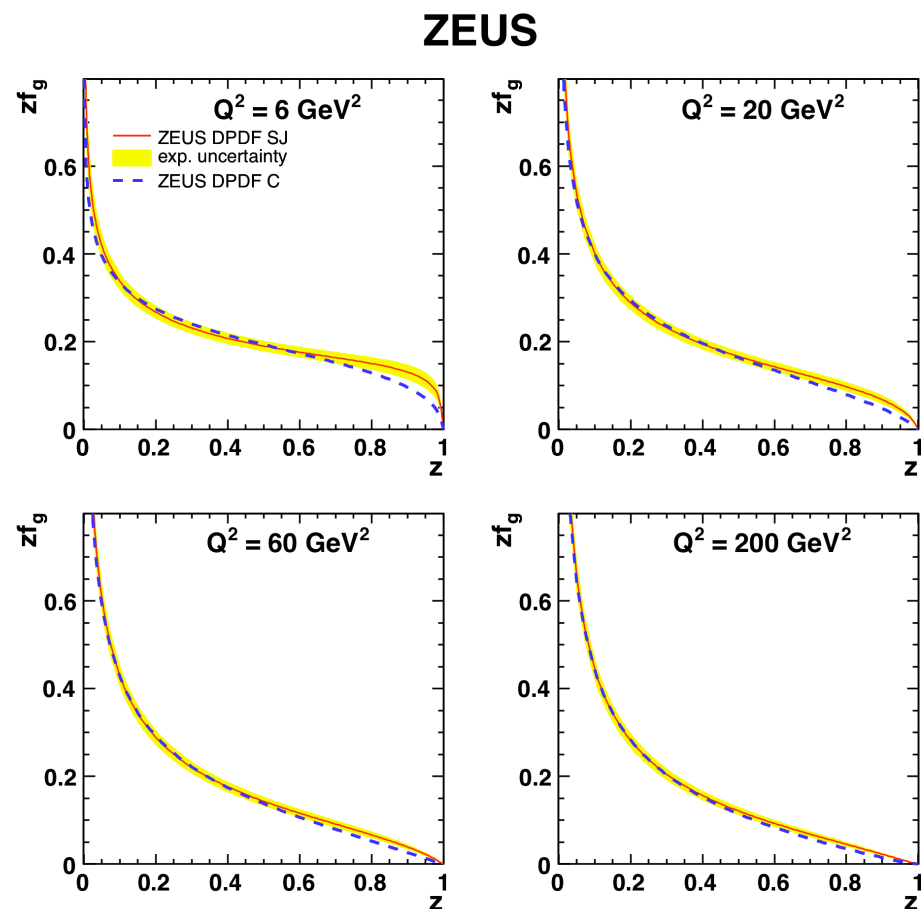
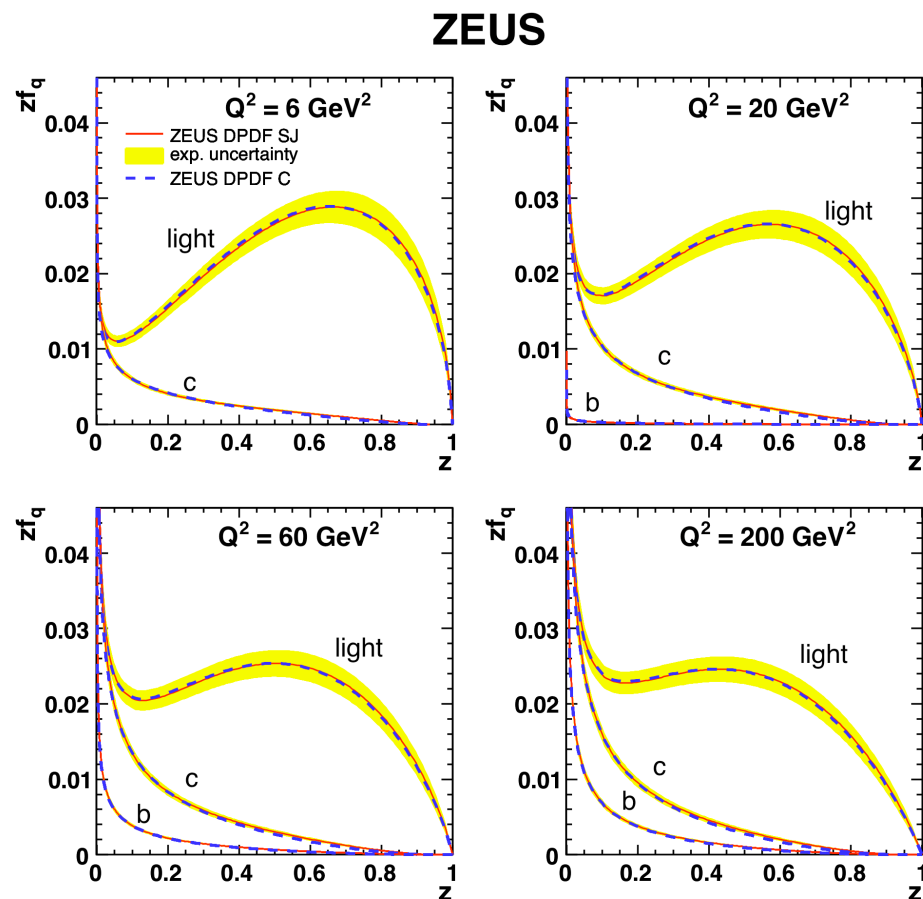
ZEUS



**Very good simultaneous fit of both inclusive and dijet data achieved**



# New DPDFs from ZEUS

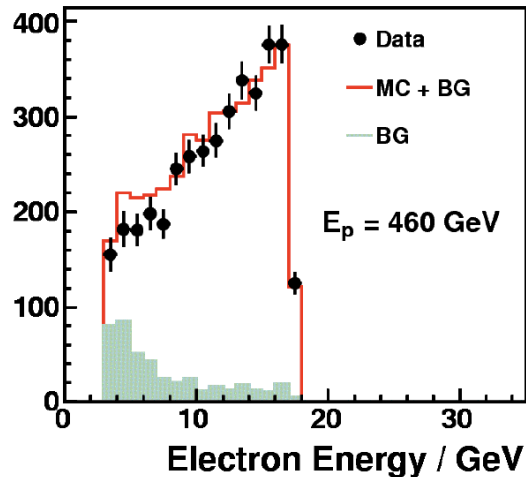


**The singlet and gluon are constrained with similar precision across the whole kinematic range – The ZEUS results will be published very soon!!!**

$$\sigma_r^D = F_2^D - \frac{y^2}{Y_+} F_L^D$$

$$F_L^D$$

## H1 Preliminary

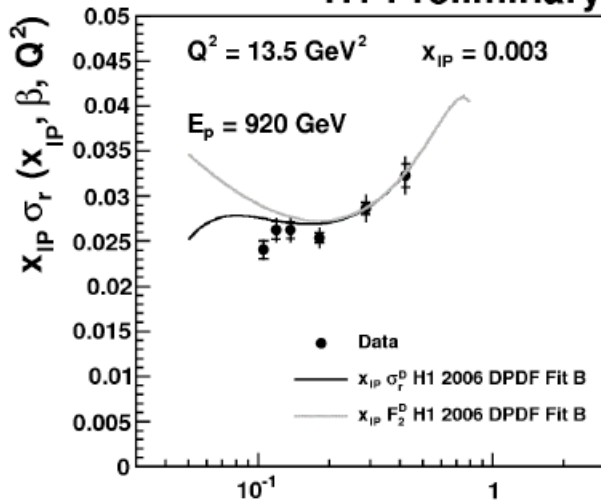


Very challenging measurement, requiring very good understanding of the detector down to low energies

Photoproduction background estimated from the data

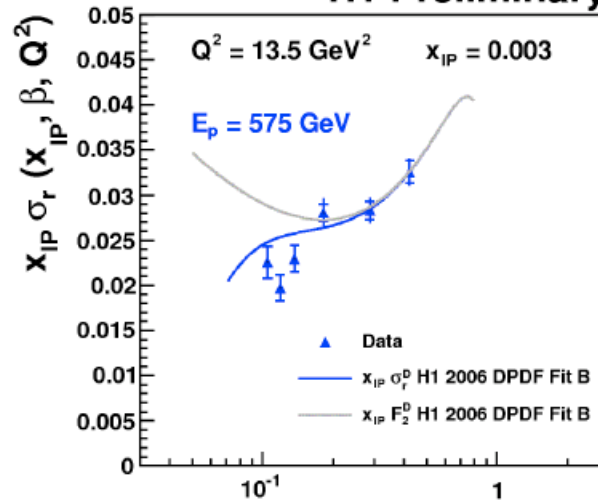
Reduced cross section measurements at three proton beam energies,  $E_p=920$ ,  $575$  and  $460$  GeV normalised to  $E_p=920$  at low  $y$  (1 and 3%, smaller than the normalisation uncertainties and  $\sim$  the statistical precision)

## H1 Preliminary



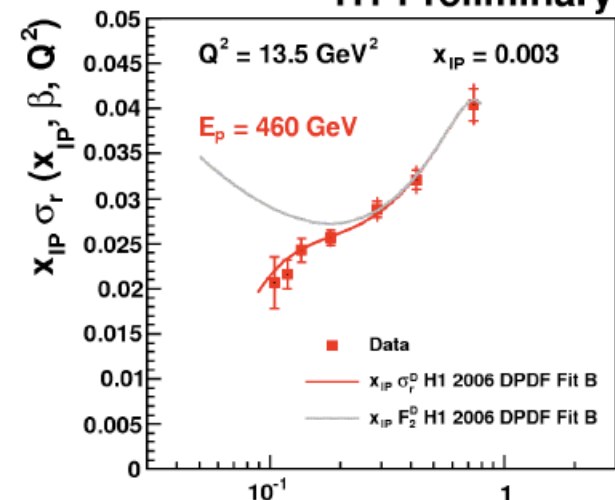
$\beta$

## H1 Preliminary



$\beta$

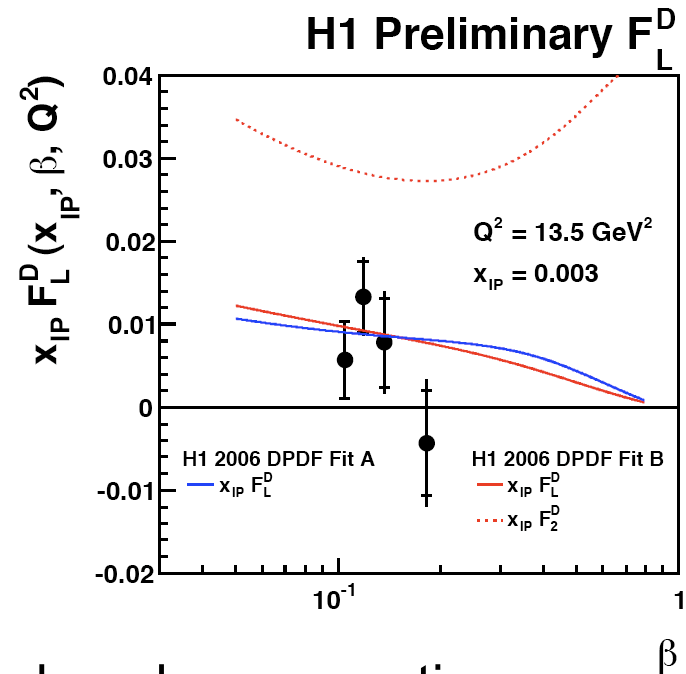
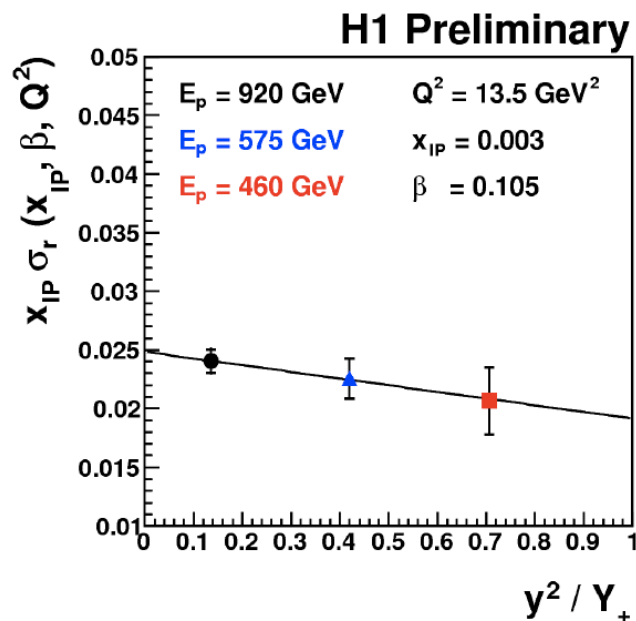
## H1 Preliminary



$\beta$

$$\sigma_r^D = F_2^D - \frac{y^2}{Y_+} F_L^D$$

$$F_L^D$$



Rosenbluth plot (left) shows the three reduced cross section measurements from three beam energies at fixed  $Q^2$  and  $\beta$

The measurements of  $F_L^D$  agree well with the predictions of NLO QCD fits (H1 Fit A and B shown here)

**Demonstrates the validity of NLO QCD applied to diffractive DIS**

# Diffraction at hadron colliders

## Single diffractive dissociation (SDD)

$$p \bar{p} \rightarrow [p' + \text{IP}] + p \rightarrow p' X$$

$$\xi = 1 - p'_L/p_L \quad \text{fractional longitudinal momentum loss of proton}$$

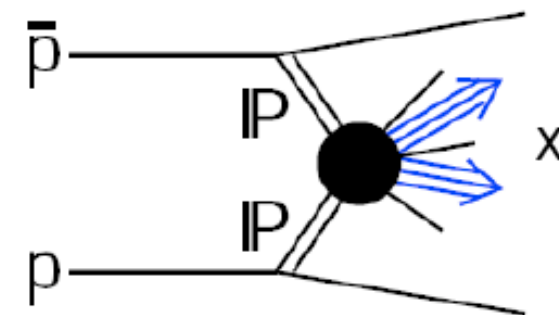
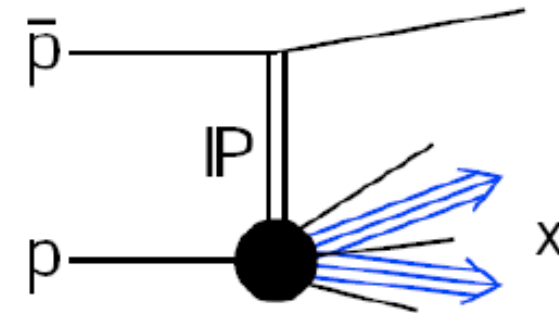
$$t = (p - p')^2 \quad \text{four-momentum transfer squared at proton vertex}$$

$$M_X = \sqrt{X^2} \quad \text{invariant mass of } X$$

## Double Pomeron exchange (DPE)

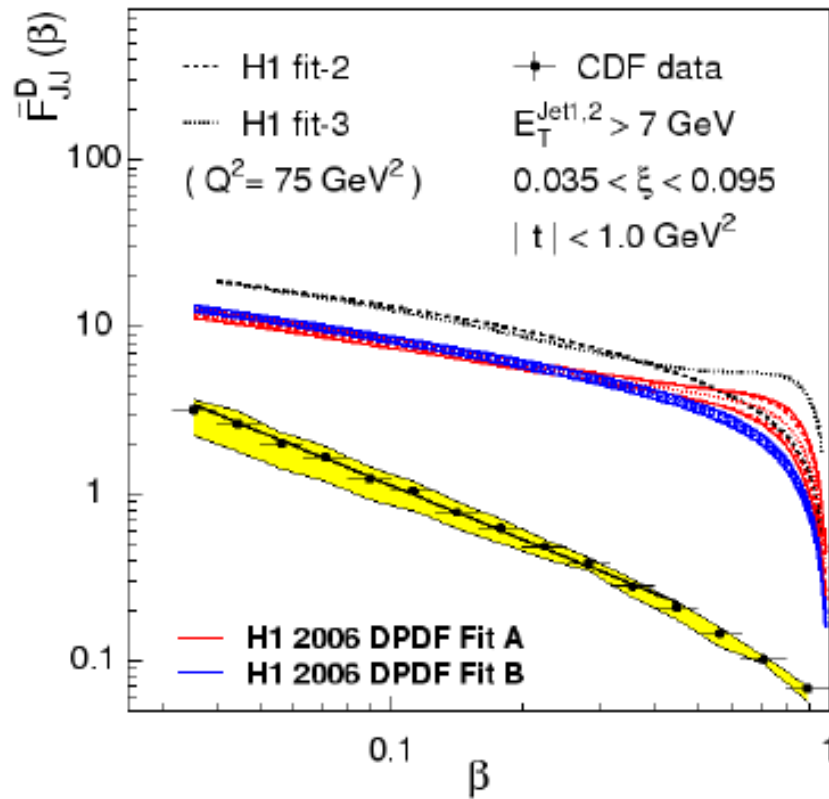
$$p_1 p_2 \rightarrow [p'_1 + \text{IP}] + [p'_2 + \text{IP}] \rightarrow p'_1 X p'_2$$

$$\xi_1, \xi_2, t_1, t_2, M_X$$



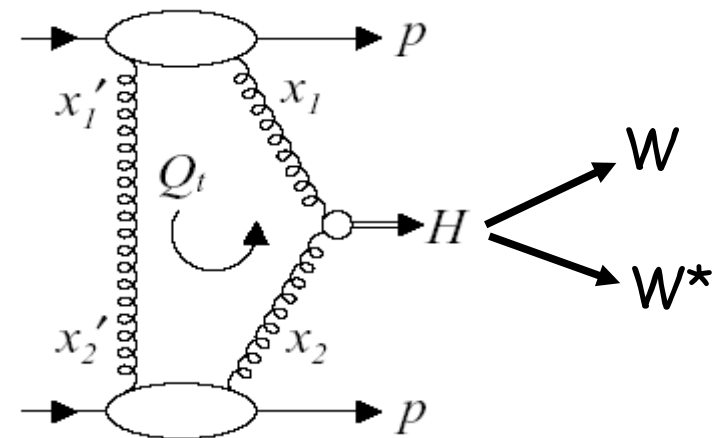
IP = colourless combination of gluons and quarks with vacuum quantum numbers

# Exporting DPDFs to Hadron-Hadron machines



When trying to use DPDFs extracted at HERA to predict diffractive dijets at CDF we find...

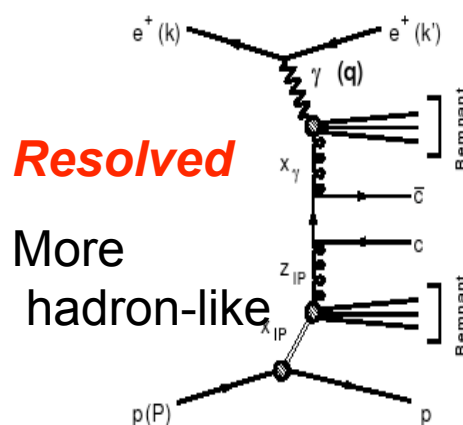
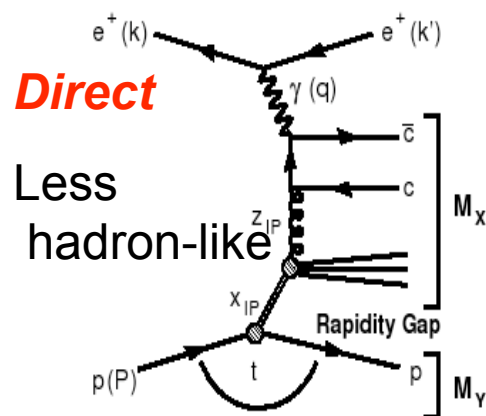
*...a large suppression factor*



Effect can be qualitatively understood in terms of a survival probability **S**, soft interactions induced by coloured spectators destroy the rapidity gap

If we want to understand diffraction at the LHC then we need to understand the mechanism behind this in detail

# Factorisation tests at HERA

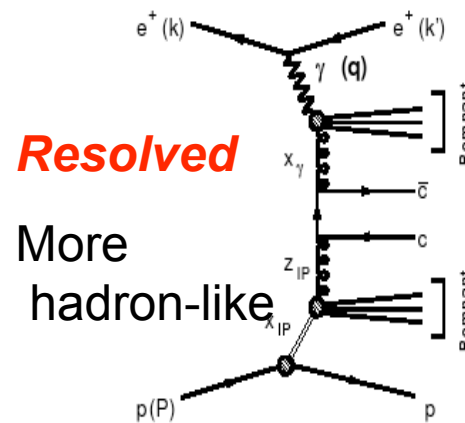
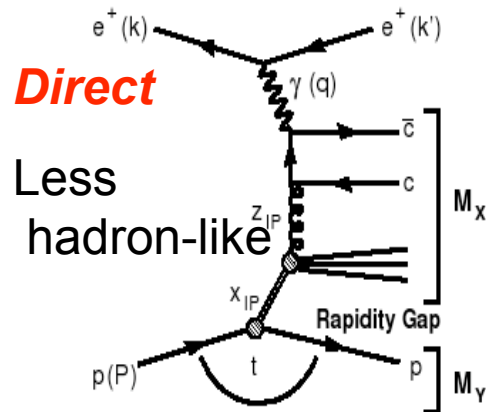


Use photoproduction at HERA to mimic a hadron-hadron collider

The  $x_\gamma$  variable (photon momentum fraction entering the hard scatter) determines how hadron-like an event is

Expect *Resolved* events (low  $x_\gamma$ ) to be more suppressed than *Direct* events (high  $x_\gamma$ )

# Factorisation tests at HERA



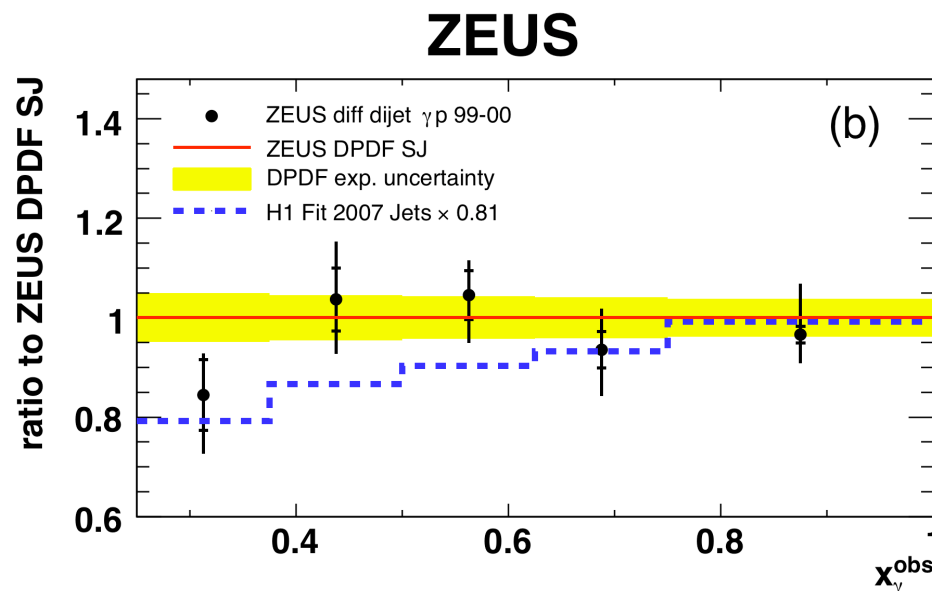
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Expect *Resolved* events (low  $x_\gamma$ ) to be more suppressed than *Direct* events (high  $x_\gamma$ )

Study diffractive dijets in photoproduction and look at the ratio of data / theory

No indication of an  $x_\gamma$  dependence



Dominating uncertainty from renormalisation scale not shown



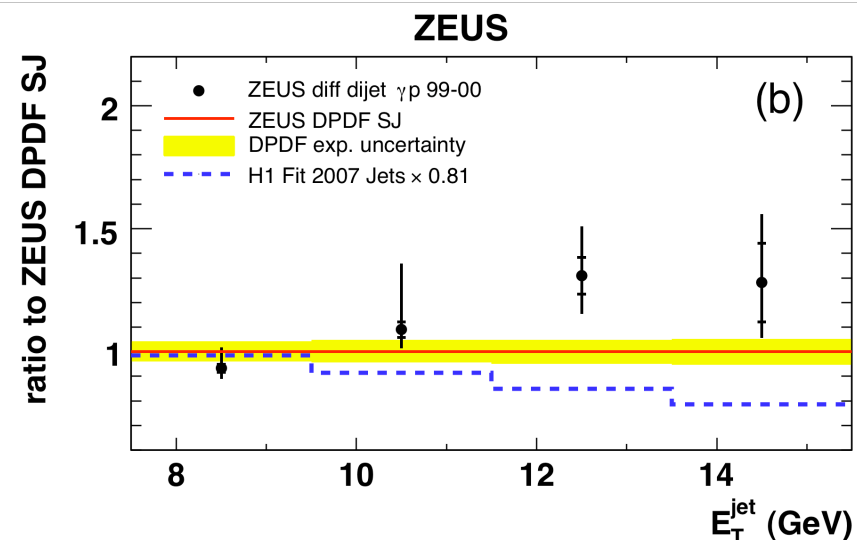
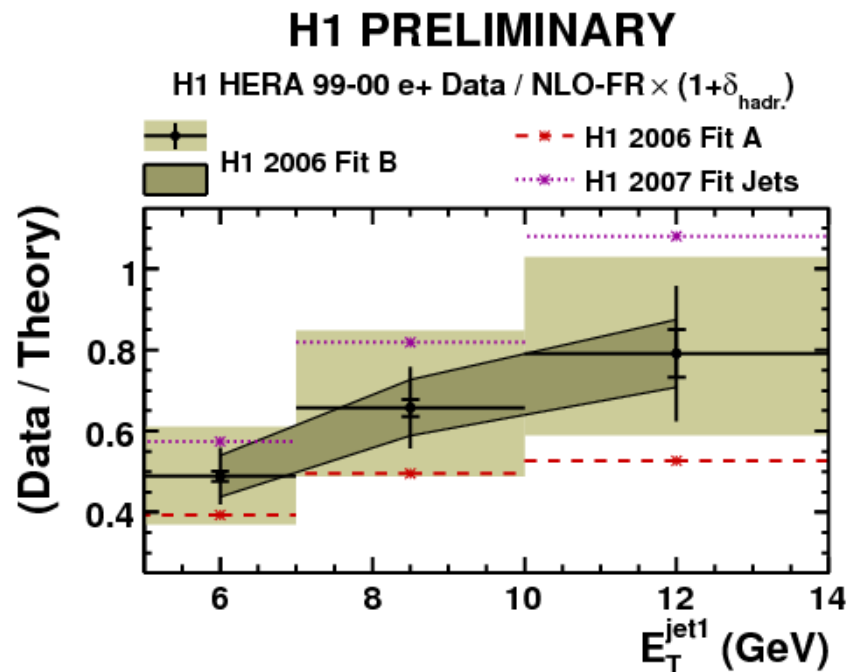
# $E_T$ dependence of the suppression

The H1 and ZEUS dijets in photoproduction analyses have different analysis cuts on jet  $E_T$  with ZEUS being at higher  $E_T$  than H1

Look at the Data/Theory ratio as a function of the  $E_T$  of the leading jet

H1 sees a suggestion of an  $E_T$  dependence of the suppression

*ZEUS does not* see an  $E_T$  dependence in a smaller range of  $E_T$



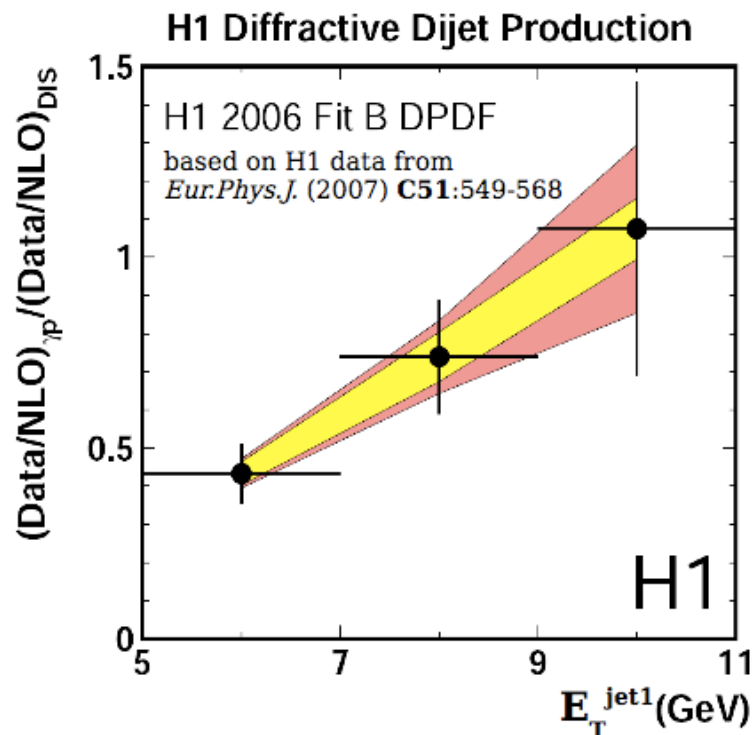
Dominating uncertainty from renormalisation scale not shown in ZEUS plot

# Summary on Suppression Studies at HERA

The ratio of data / theory depends on many things including the DPDF set used (H1, ZEUS, with/without DIS dijets, etc.)

It would be interesting to see the Tevatron plot (slide 23) as a function of  $E_T$

Most robust measurement so far comes from the double ratio of data/theory for photoproduction/DIS where some systematics e.g. DPDFs cancel



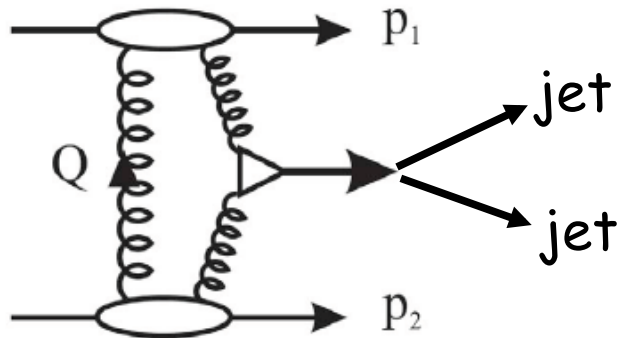
The double ratio does suggest an  $E_T$  dependence of  $\mathcal{S}$

There is no evidence for an  $x_\gamma$  dependence of  $\mathcal{S}$

Not understood!

Aside: Looking at the ratio of diffractive to inclusive dijet cross-sections suffers from uncertainties arising from MI effects

# Central Exclusive Production at CDF

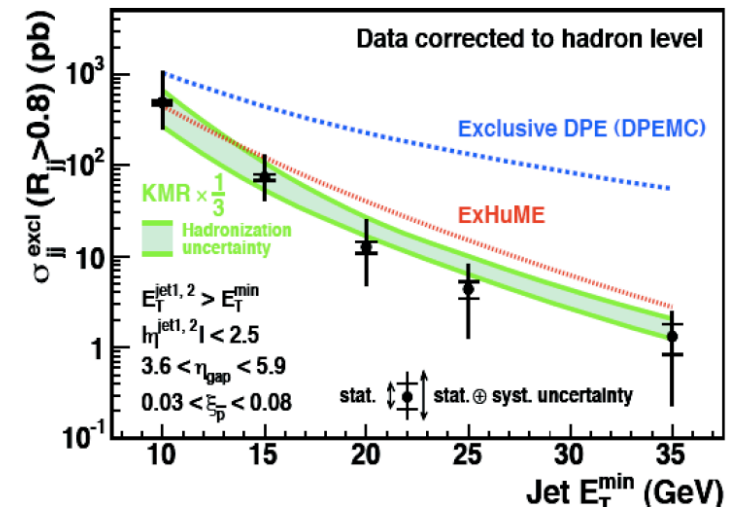
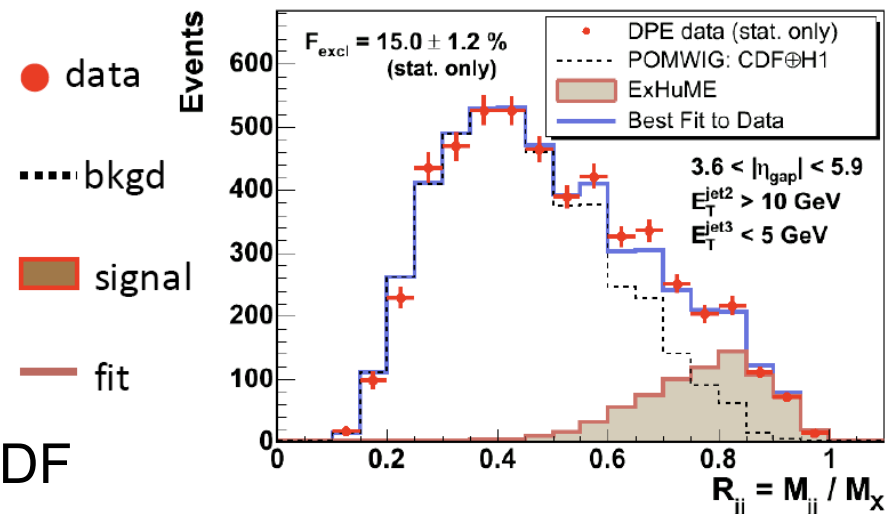


Exclusive dijet production observed at CDF

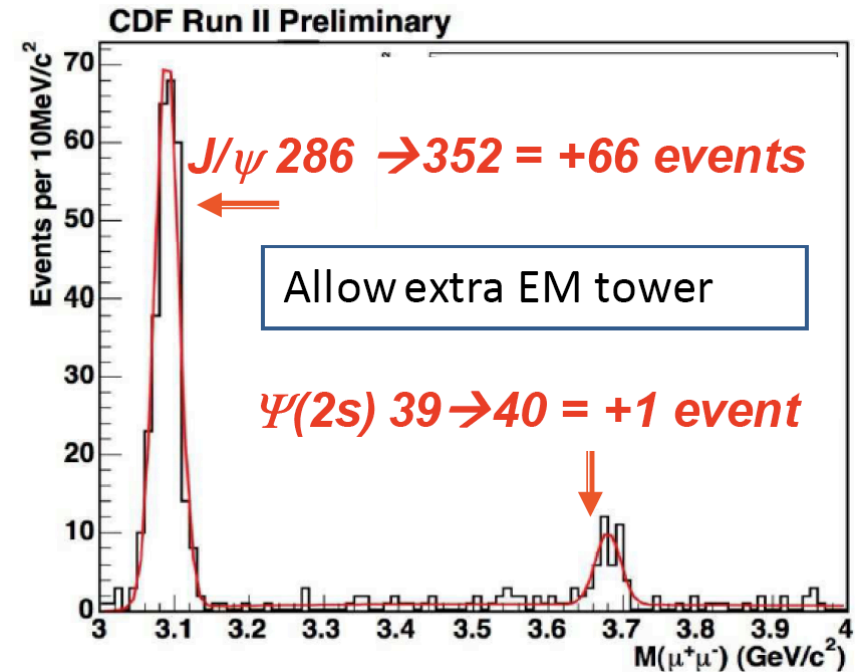
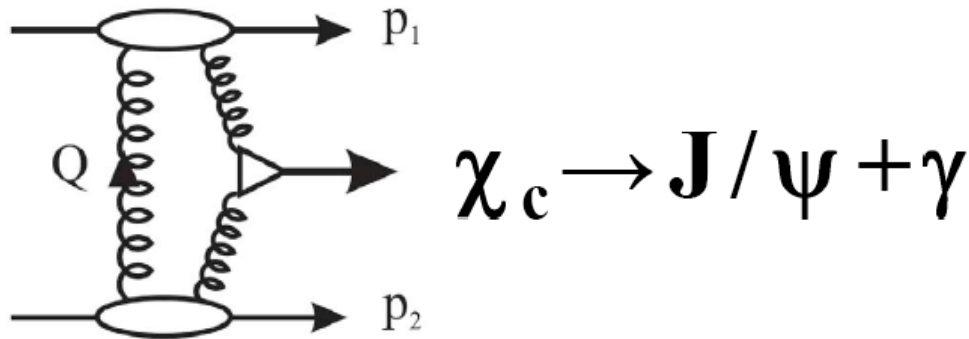
Perturbatively calculable component in excess of the inclusive diffractive background predicted from HERA DPDFs \*  $S$

Data in good agreement with theoretical predictions (KMR) and DPE MC

Bolsters confidence in predictions for Central Exclusive Higgs production



# Central Exclusive Production at CDF



Very rare decay observed at CDF

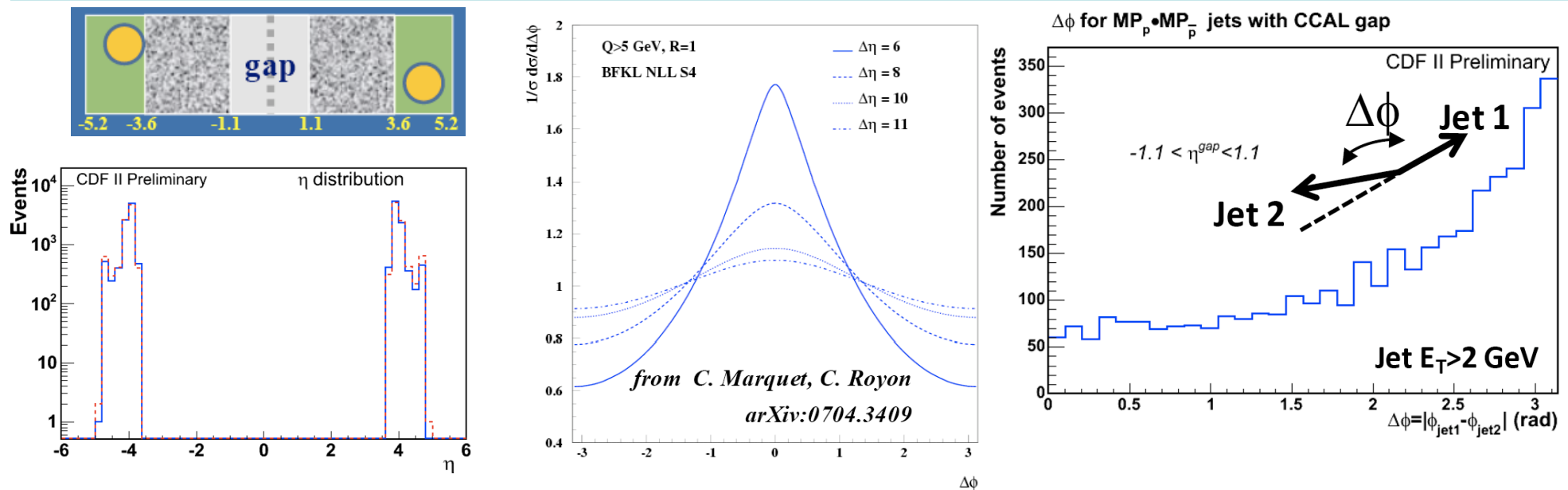
Rate in good agreement with theoretical predictions

Supports calculations of suppression for hadron colliders

Bolsters confidence in predictions for Central Exclusive Higgs production

Message from the Tevatron is that the suppression factor calculation works!

# Forward Jets with Rapidity Gaps at CDF



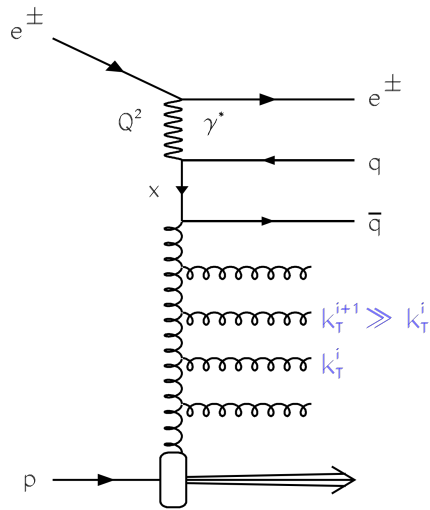
Large Rapidity Gap configuration means BFKL radiation is enhanced

Expect to see large azimuthal decorrelations of the jets

First attempt to look at this at CDF looks promising, although on edge of acceptance with their forward detectors

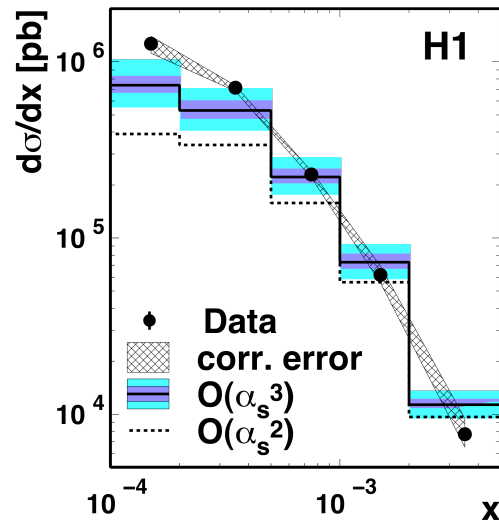
LHC experiments well equipped with forward detectors → more sensitive

# 3 and 4 Jet Events at Low $x$ at HERA

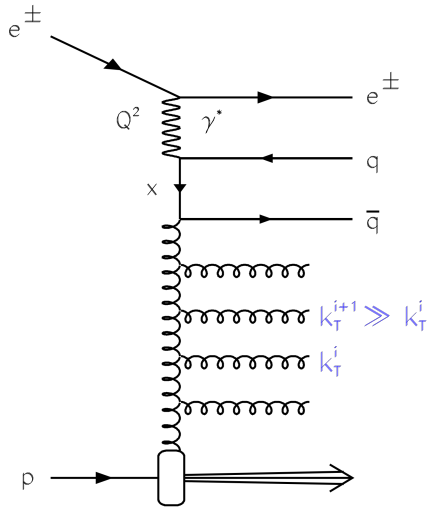


3 (or more) jet events an ideal testing ground to see if DGLAP approximation ( $k_T$  ordering of jets) is valid for all available phase space

Compare to NLO  $O(\alpha_s^3)$  calculation using NLOJET++



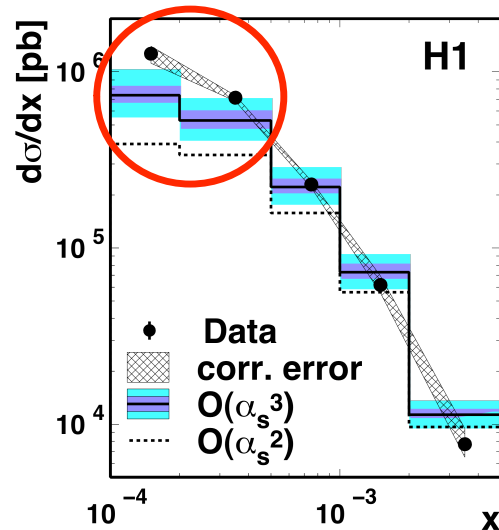
# 3 and 4 Jet Events at Low x at HERA



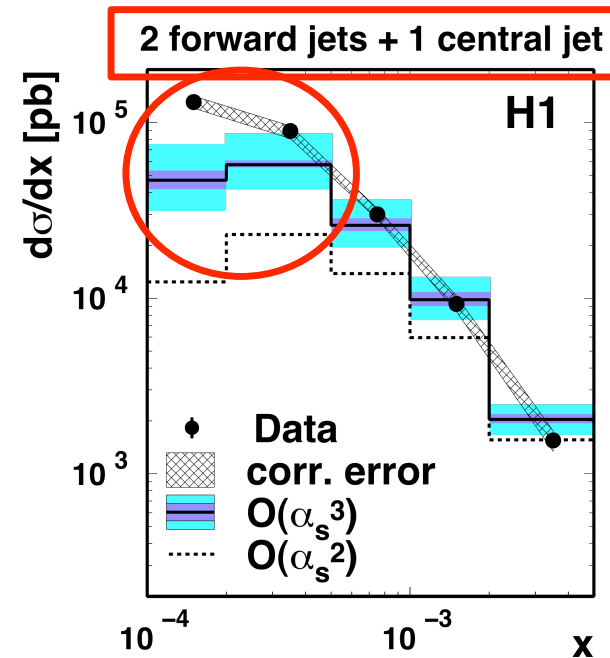
3 (or more) jet events an ideal testing ground to see if DGLAP approximation ( $k_T$  ordering of jets) is valid for all available phase space

When asking that 2 of the 3 jets are forward, the discrepancy between data and theory increases

Compare to NLO  $O(\alpha_s^3)$  calculation using NLOJET++

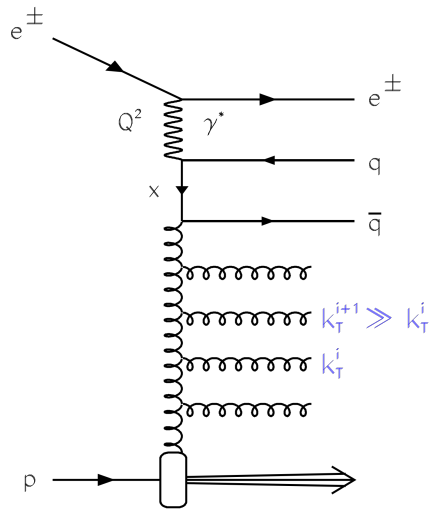


NLO fails at low x





# 3 and 4 Jet Events at Low $x$ at HERA

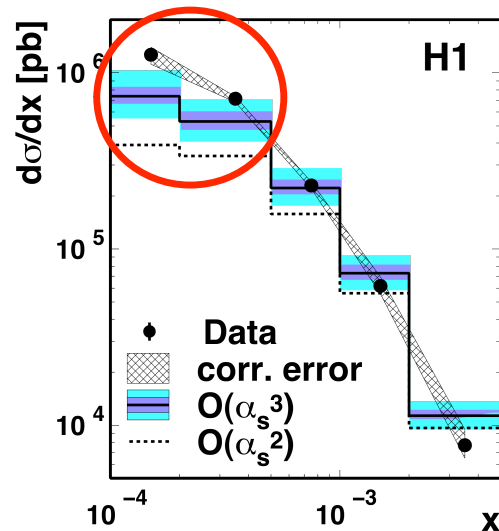


3 (or more) jet events an ideal testing ground to see if DGLAP approximation ( $k_T$  ordering of jets) is valid for all available phase space

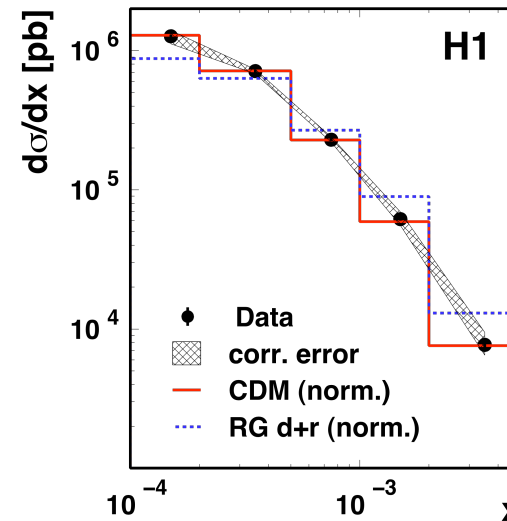
Also test the Colour Dipole Model (CDM) which has no  $k_T$  ordering

Compare to NLO  $O(\alpha_s^3)$  calculation using NLOJET++

Compare to MC with (RG) and without  $k_T$  ordering (CDM)

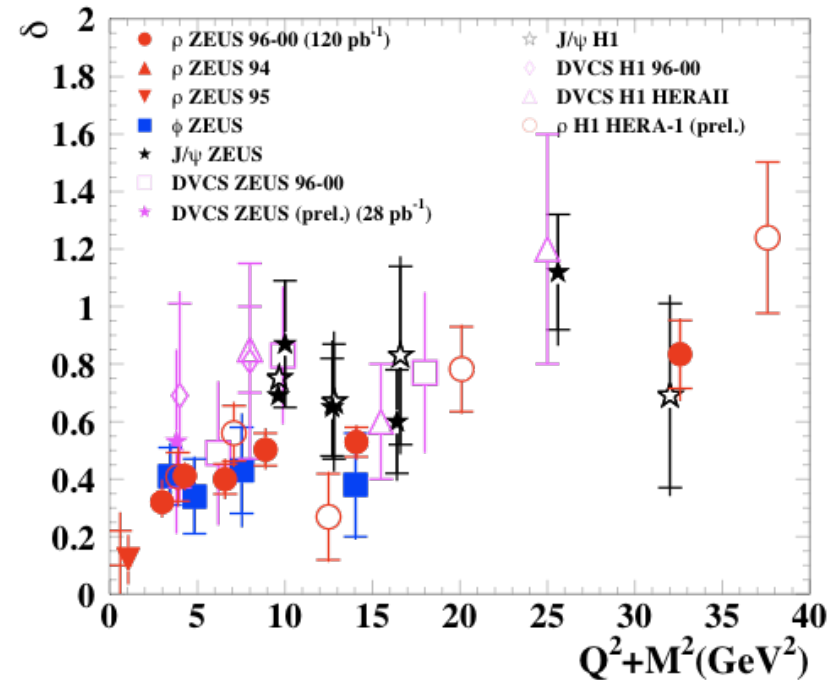
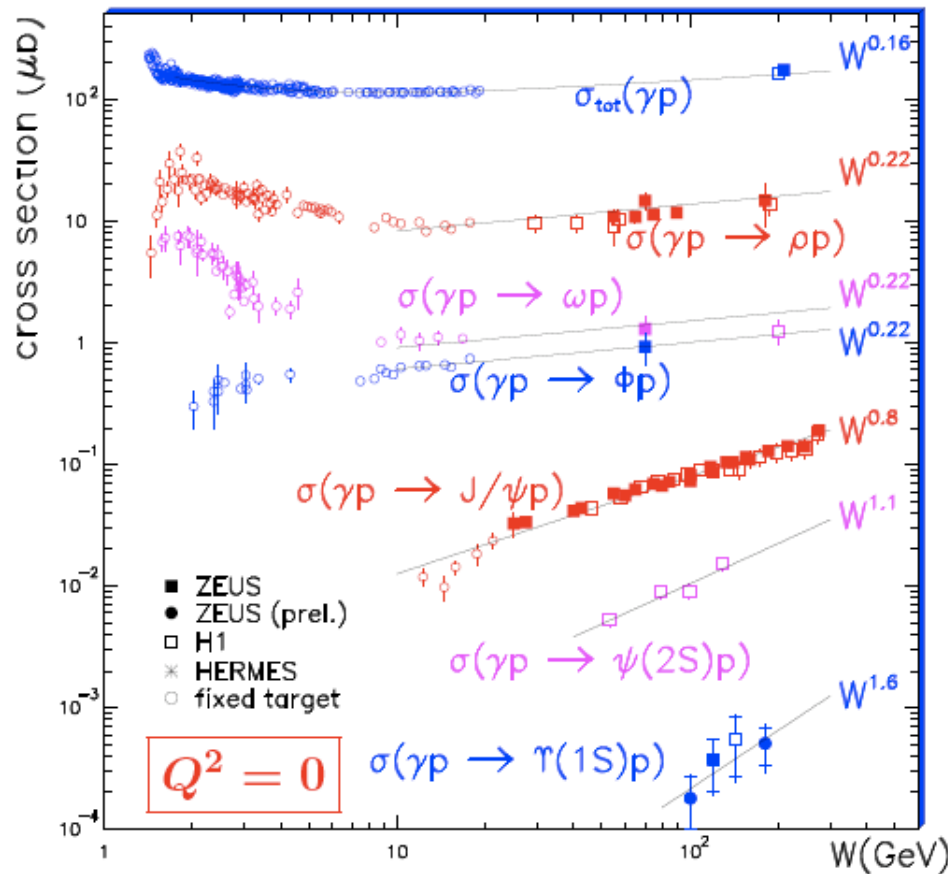


NLO fails at low  $x$



CDM works at all  $x$

# Exclusive Diffraction at HERA: $ep \rightarrow ep \text{ VM}$

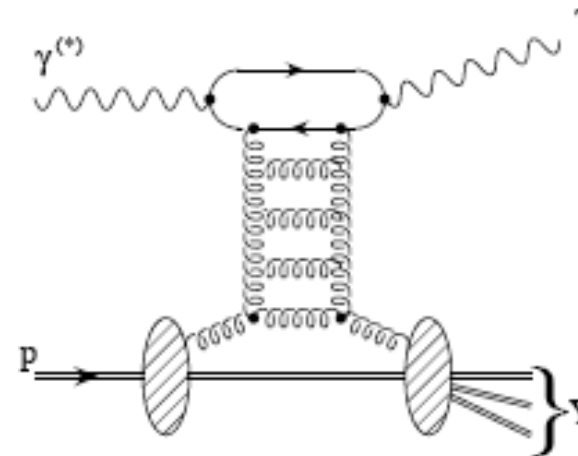
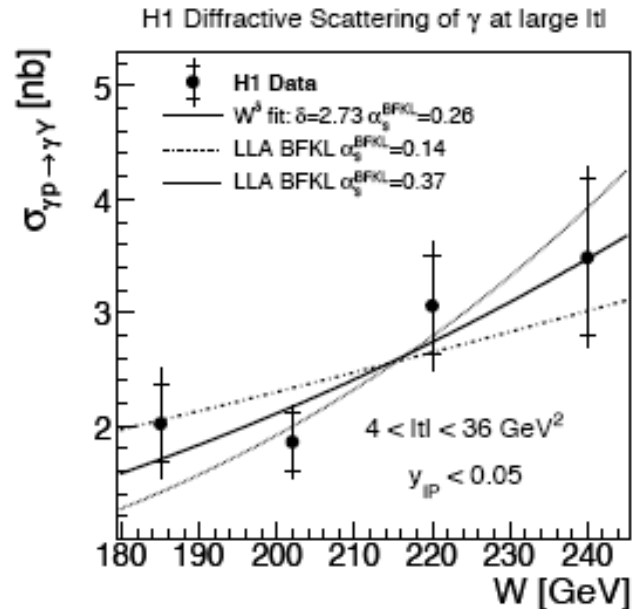


As the VM mass increases, the process gets harder

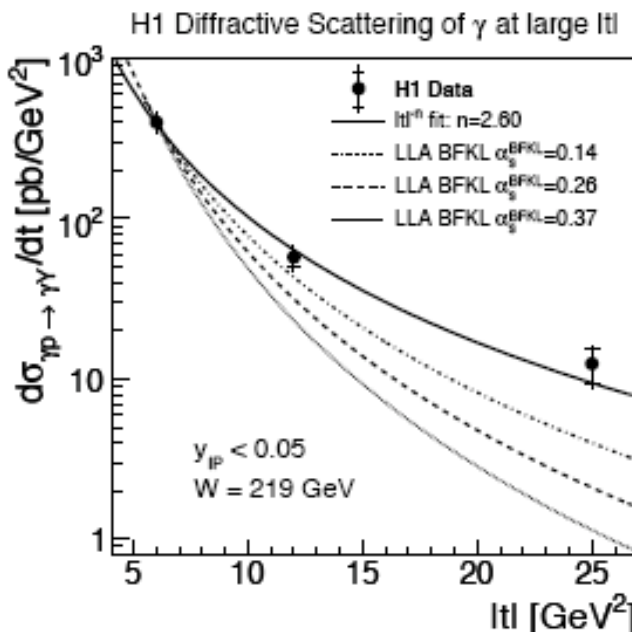
As  $Q^2$  increases, the process gets harder

Many more features, all compatible with predictions of QCD, but no single model can describe them all (sorry, no time to do this justice!)

# Diffractive high $p_T$ photons



Large  $t$  provides a scale for perturbative (BFKL) calculations

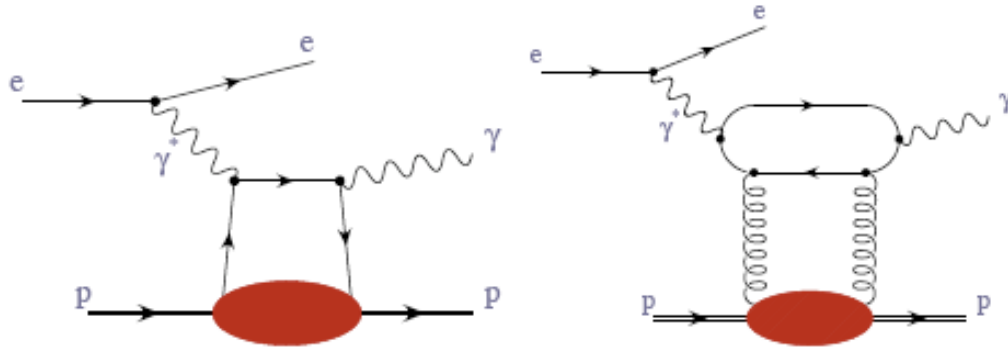


Very hard  $W$  dependence

$$W^\delta \text{ fit} \rightarrow \delta = 2.73 \pm 1.02^{+0.56}_{-0.78}$$

BFKL prediction describes the data to some extent

# Deeply Virtual Compton Scattering



Extract a parton with momentum  $p_1$  and reinsert it with  $p_2$

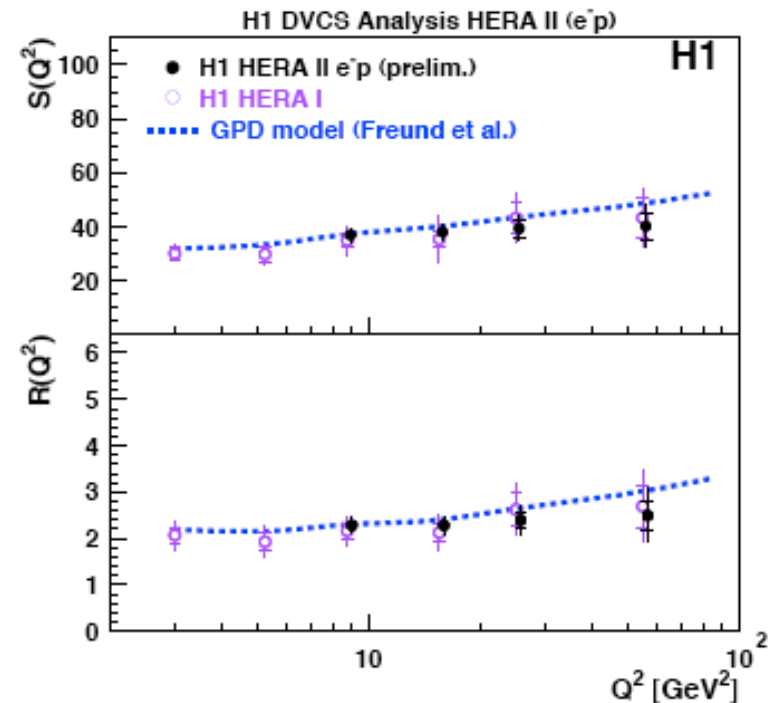
A fully calculable process sensitive to the transverse correlations of partons - GPDs

$Q^2$  evolution of GPD:

$$S = \sqrt{\frac{\sigma_{DVCS} Q^4 b(Q^2)}{(1 + \rho^2)}}$$

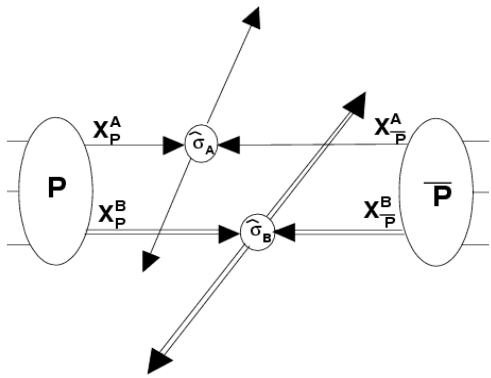
Ratio of GPD to PDF:

$$R = \frac{\text{Im } A(\gamma^* p \rightarrow \gamma p)}{\text{Im } A(\gamma^* p \rightarrow \gamma^* p)}$$

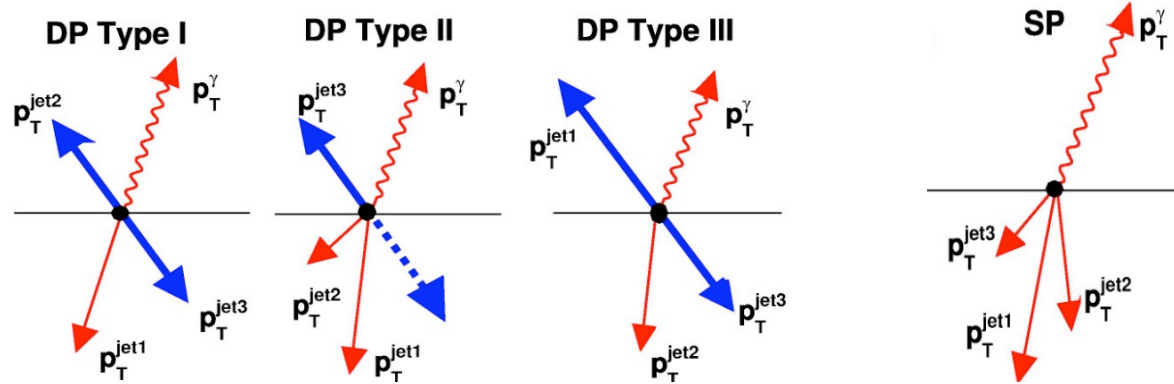


*The data are well reproduced by the GPD model prediction*

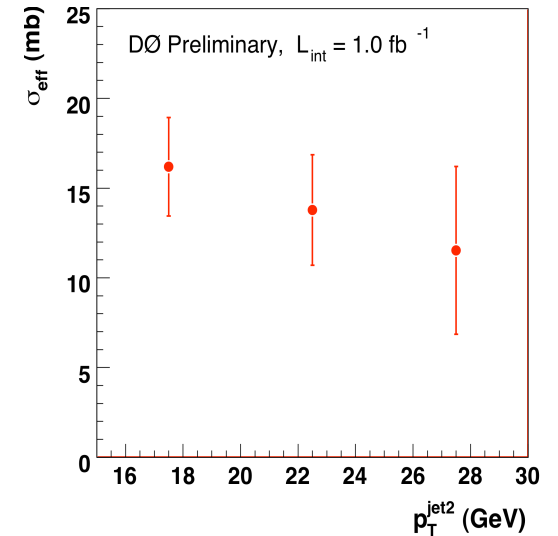
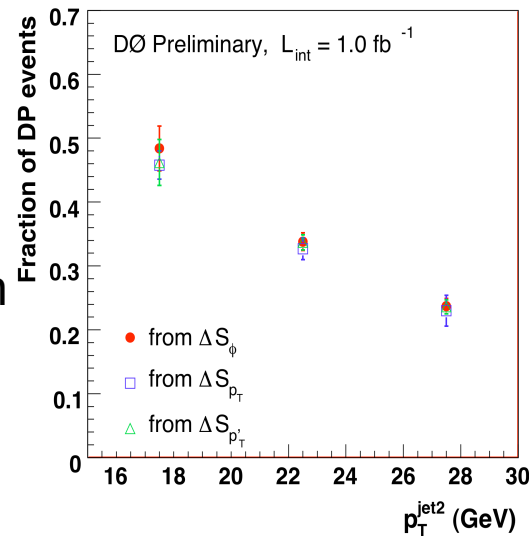
# Double-Parton Scattering: $\gamma + 3\text{-jet}$



$$\sigma_{DP} = \sigma_{\gamma j} \sigma_{jj} / \sigma_{eff}$$



- $\geq 2$  partons interact / hadron collision
- Provides info on spatial distribution of partons in proton
- May impact PDFs
- Background to many rare processes
- DP fraction drops from  $0.47 \pm 0.04$  in  $15 < p_{T2} < 20$  GeV to  $0.23 \pm 0.03$  in  $25 < p_{T2} < 30$  GeV
- Effective cross section  $\sim$  constant: averages to  $\sigma_{eff} = 15.1 \pm 1.9$  mb
- In agreement with CDF Run I measurement



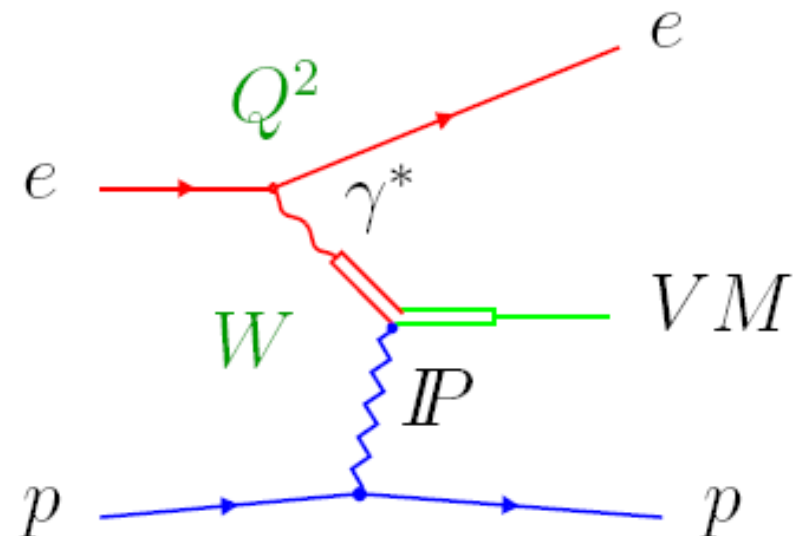
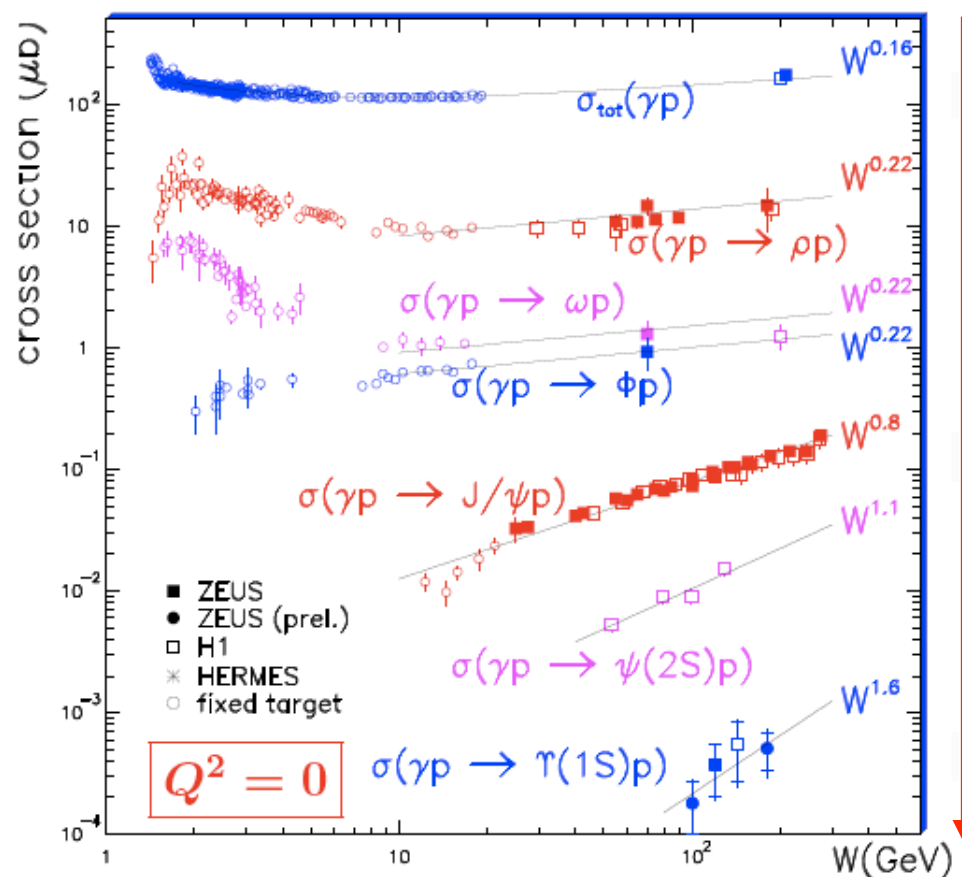
# Summary

- A wealth of data from H1, ZEUS CDF and D0 and no time to do it all justice
- HERA data shows is that diffractive DIS is understood in terms of DPDFs extracted from NLO QCD fits to  $\beta$ ,  $Q^2$  dependences of inclusive data
  - Diffractive dijet data in DIS agree well with predictions of fits to inclusive data
  - Combined fit to inclusive and dijet data constrains both the quark and gluon PDFs to similar good precision
- Diffractive dijet data in photoproduction is not as well understood. Comparing to predictions from NLO QCD, the data is consistent with
  - No  $x_\gamma$  dependence, but a possible  $E_T$  dependence
- Tevatron CEP measurements bolster confidence in calculations of  $\mathcal{S}$
- Searches for effects beyond the DGLAP approximation show that other models (CDM, BFKL-based) are more successful in some regions
  - LHC will typically be at low  $x$  where these effects are expected to be significant
- Vector Meson production consistent with predictions of QCD, but no model capable of explaining all of the features
- DVCS measurements shed light on the parton correlations within the proton
  - Double parton scattering cross section is large - important to understand at LHC

BACK-UP SLIDES FOLLOW



# Exclusive Diffraction: $ep \rightarrow ep \text{ VM}$



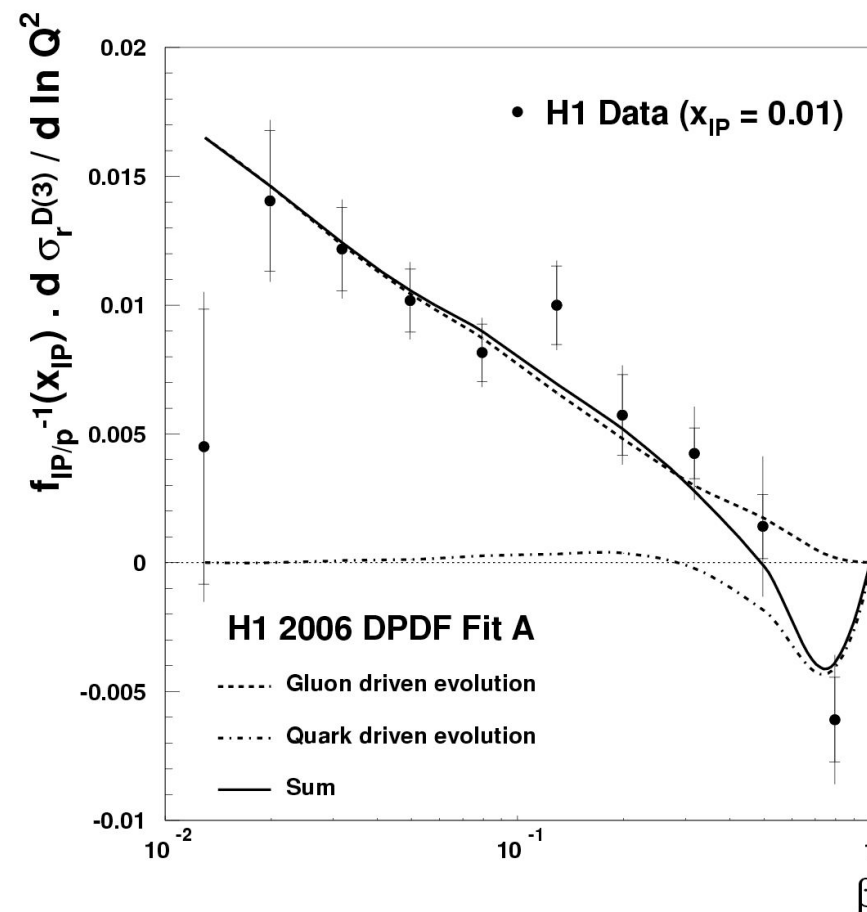
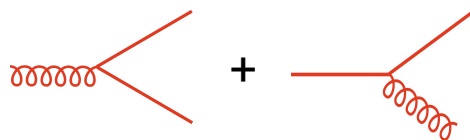
$$\sigma \sim W^\delta$$

As the VM mass increases, the process gets harder

# A Closer Look at the High $z$ Region

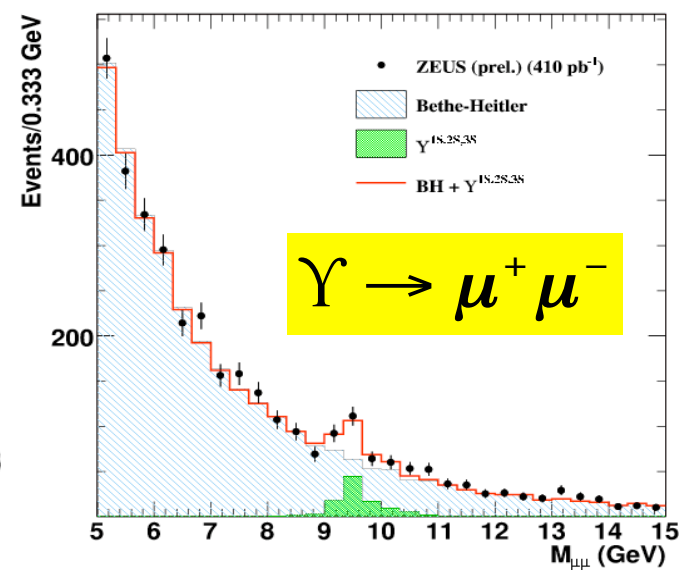
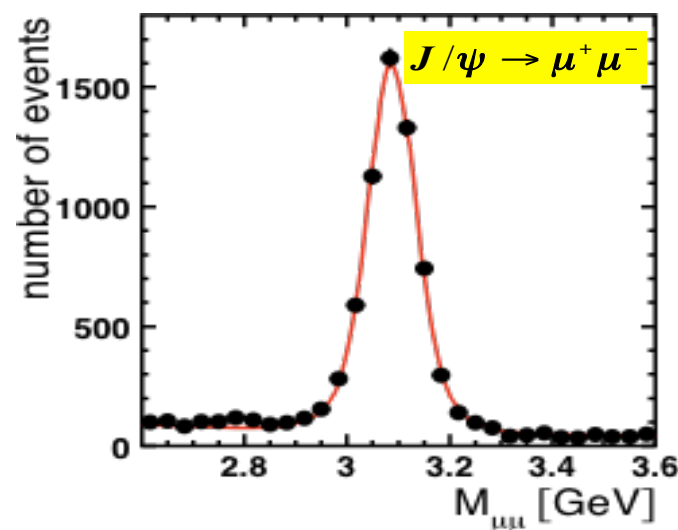
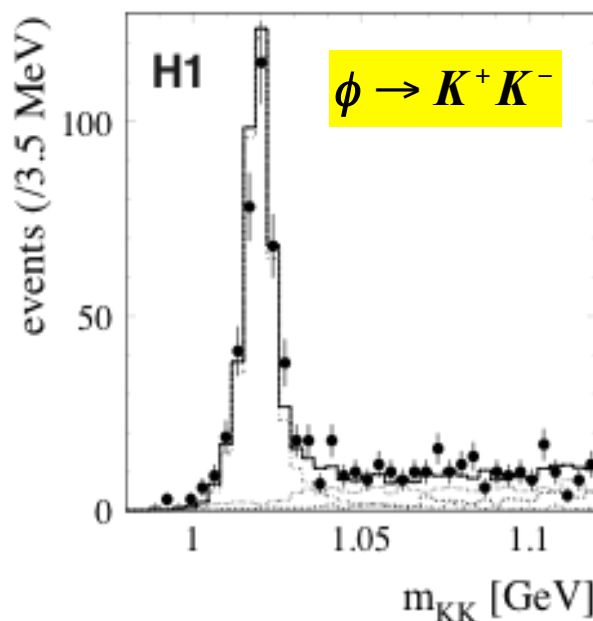
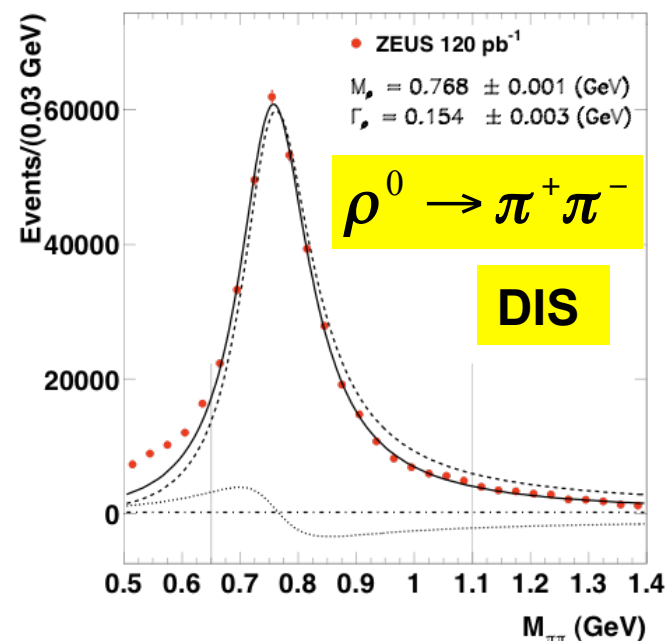
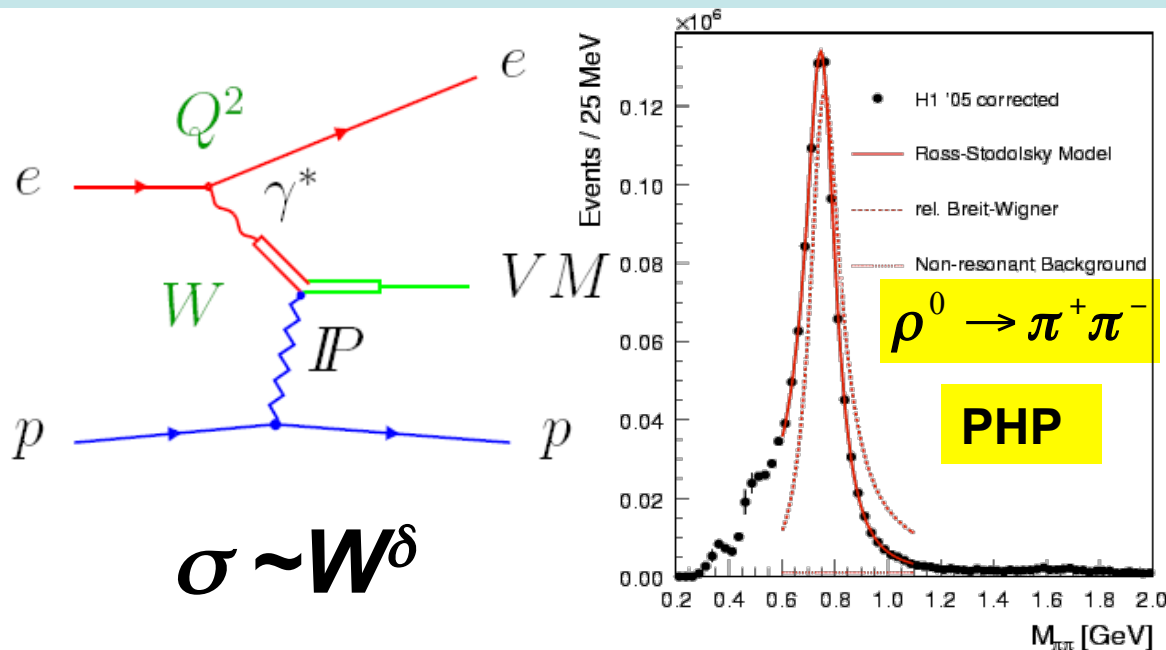
We have only singlet quarks, so DGLAP evolution equation for  $F_2^D$  ....

$$\frac{dF_2^D}{d\ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[ P_{qg} \otimes g + P_{qq} \otimes \Sigma \right]$$



At high  $\beta$ , relative error on derivative grows,  $q \rightarrow qg$  contribution to evolution becomes important ... sensitivity to gluon is lost

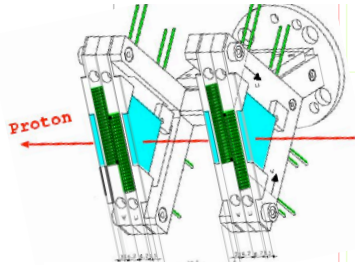
# Exclusive Diffraction: $ep \rightarrow ep \text{ VM}$



# Experimentally selecting

$$ep \rightarrow eXp$$

## I LPS/FPS



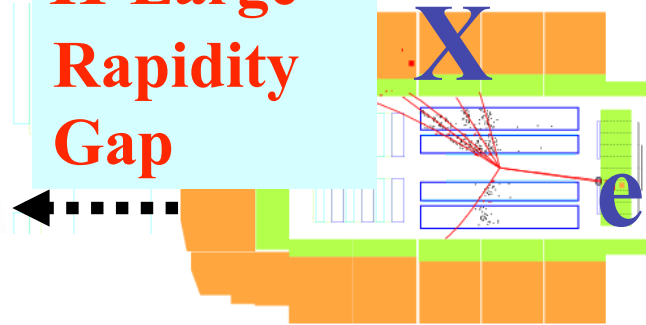
Measure Leading Proton (FPS/LPS)

No proton dissociation

Measure the  $t$  dependence

Low detector acceptance

## II Large Rapidity Gap

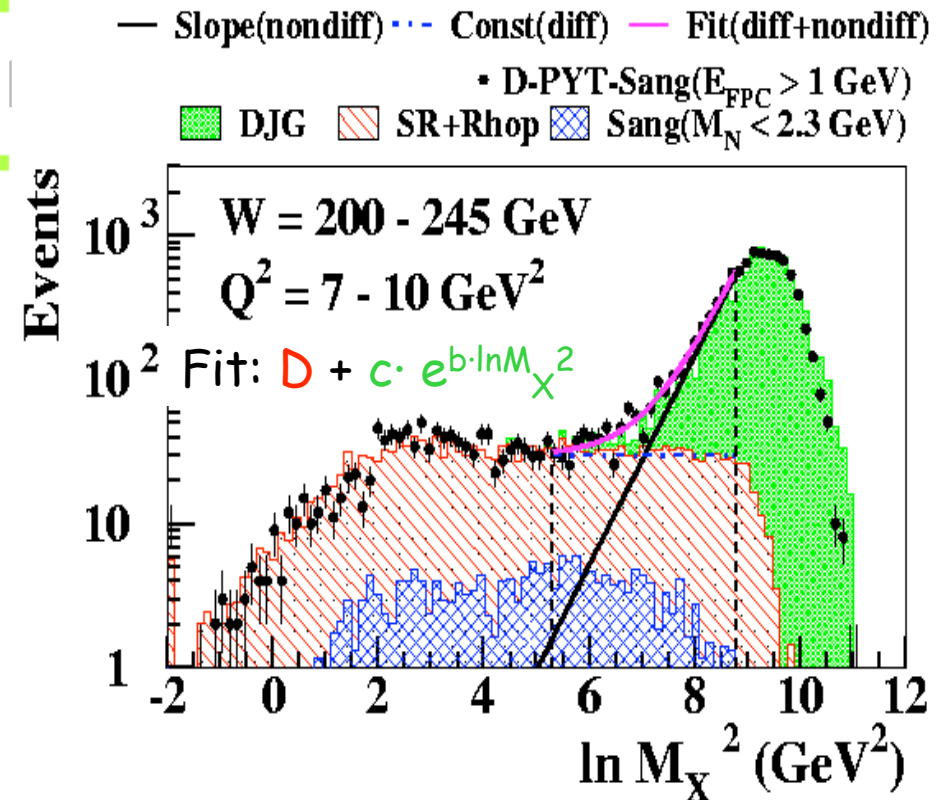


Require Large Rapidity Gap (LRG) spanning at least  $3.3 < \eta < \sim 7.5$

Kinematics measured from  $X$  system, integrate  $|t| < 1.0 \text{ GeV}^2$ ,  $M_Y < 1.6 \text{ GeV}$

High detector acceptance  $\rightarrow$  precision

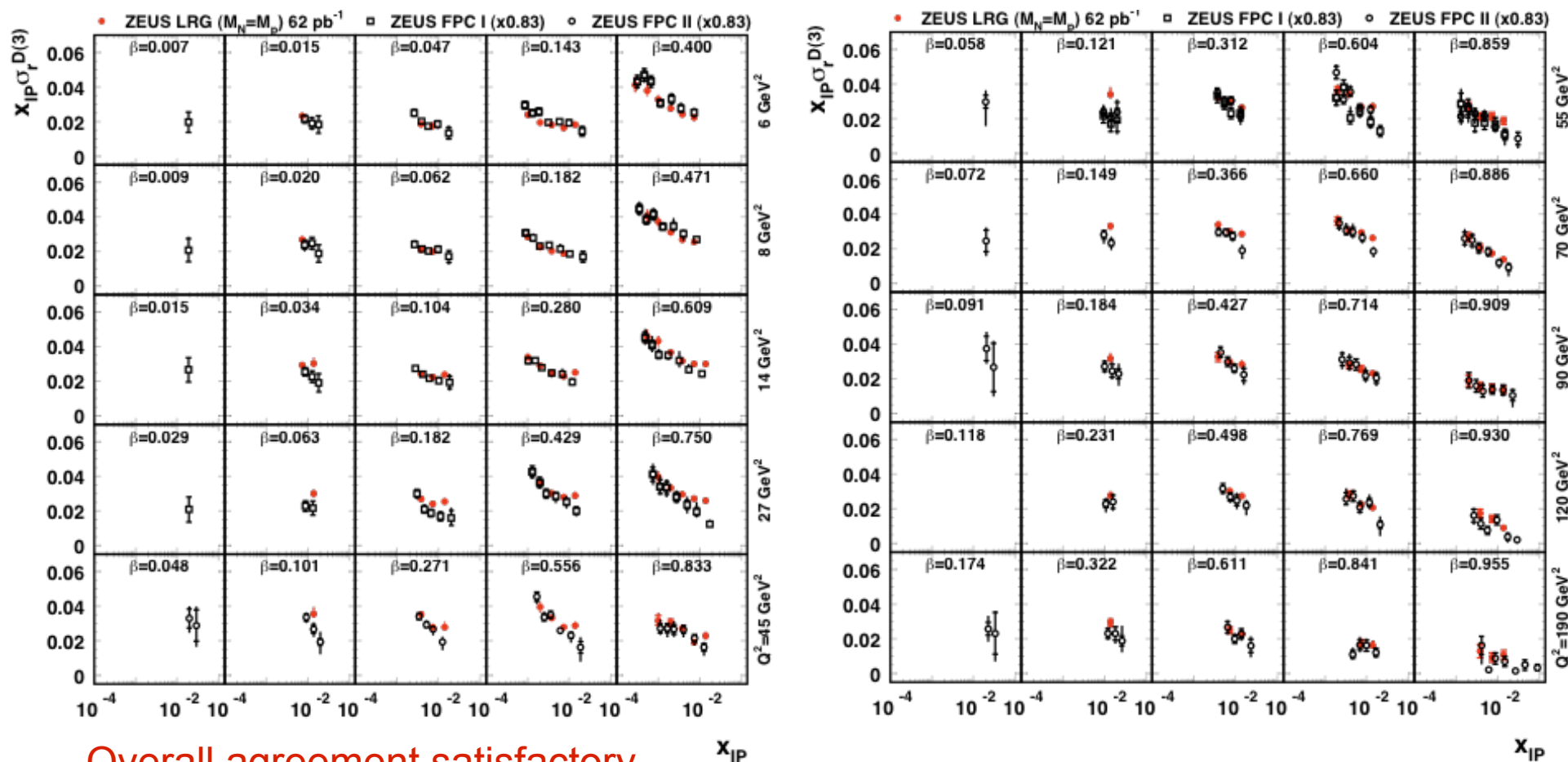
## III $M_X$ method



**How do the three experimental techniques compare?**

# ZEUS LRG vs ZEUS $M_x$

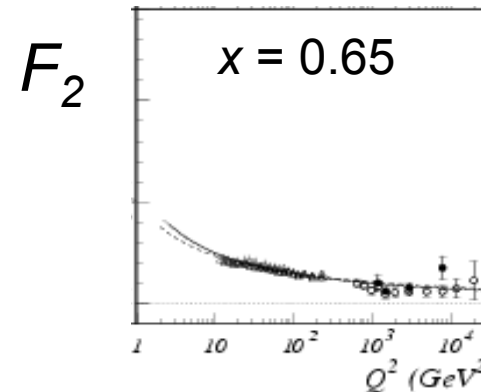
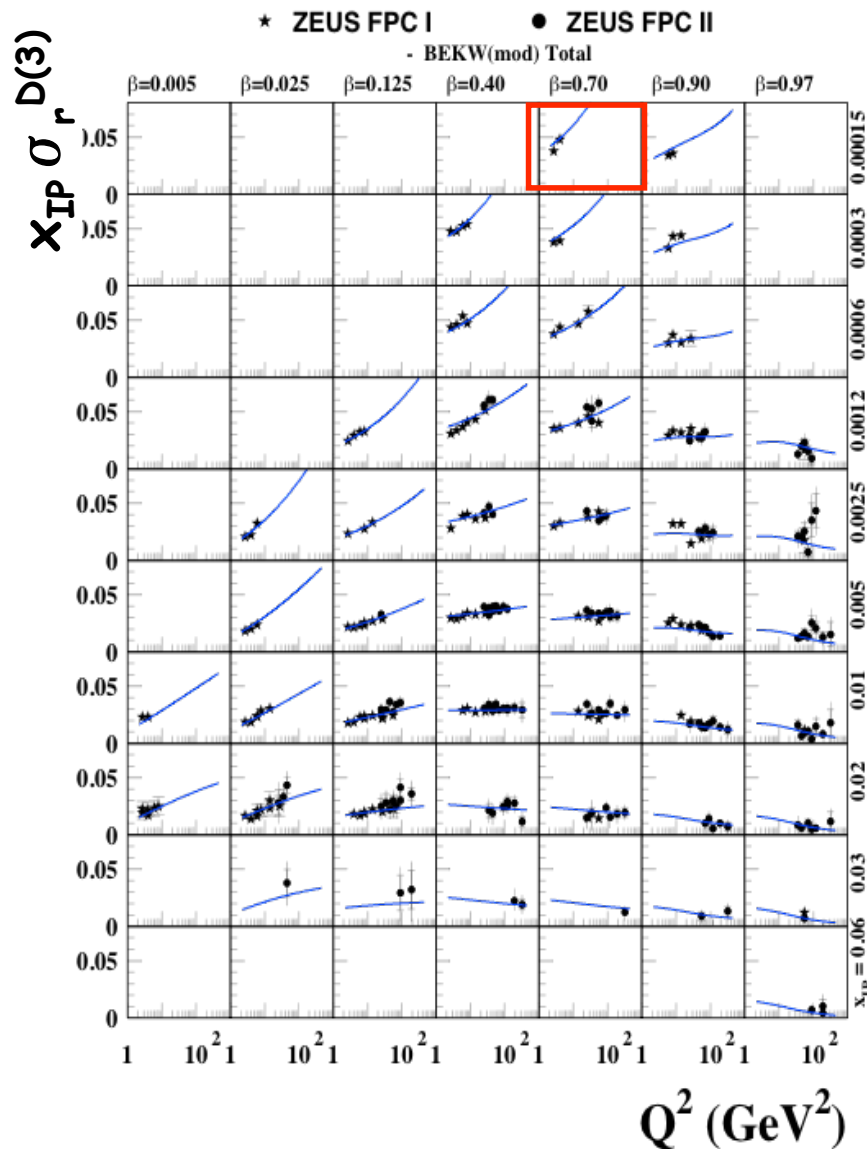
$M_x$  data ( $M_N < 2.3$  GeV) normalised to LRG ( $M_N = m_p$ ): factor  $0.83 \pm 0.04$   
(determined via a global fit) **estimates residual p-diss. background in  $M_x$  sample**



Overall agreement satisfactory

Different  $x_{IP}$  dependence ascribed to IR suppressed in  $M_x$  data

# $Q^2$ dependence of $\sigma_r^{D(3)}$ ZEUS $M_x$ data

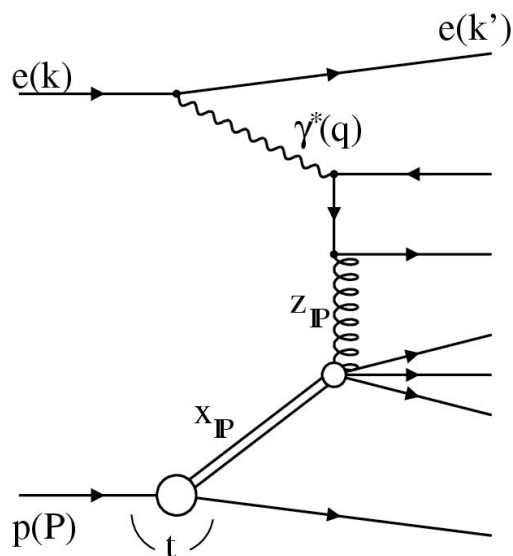


Large positive scaling violations up to high- $\beta$  values implies that the diffractive exchange is gluon-dominated

At fixed  $\beta$  the reduced cross section depends on  $x_{IP}$  - these data seem to contradict Regge factorisation

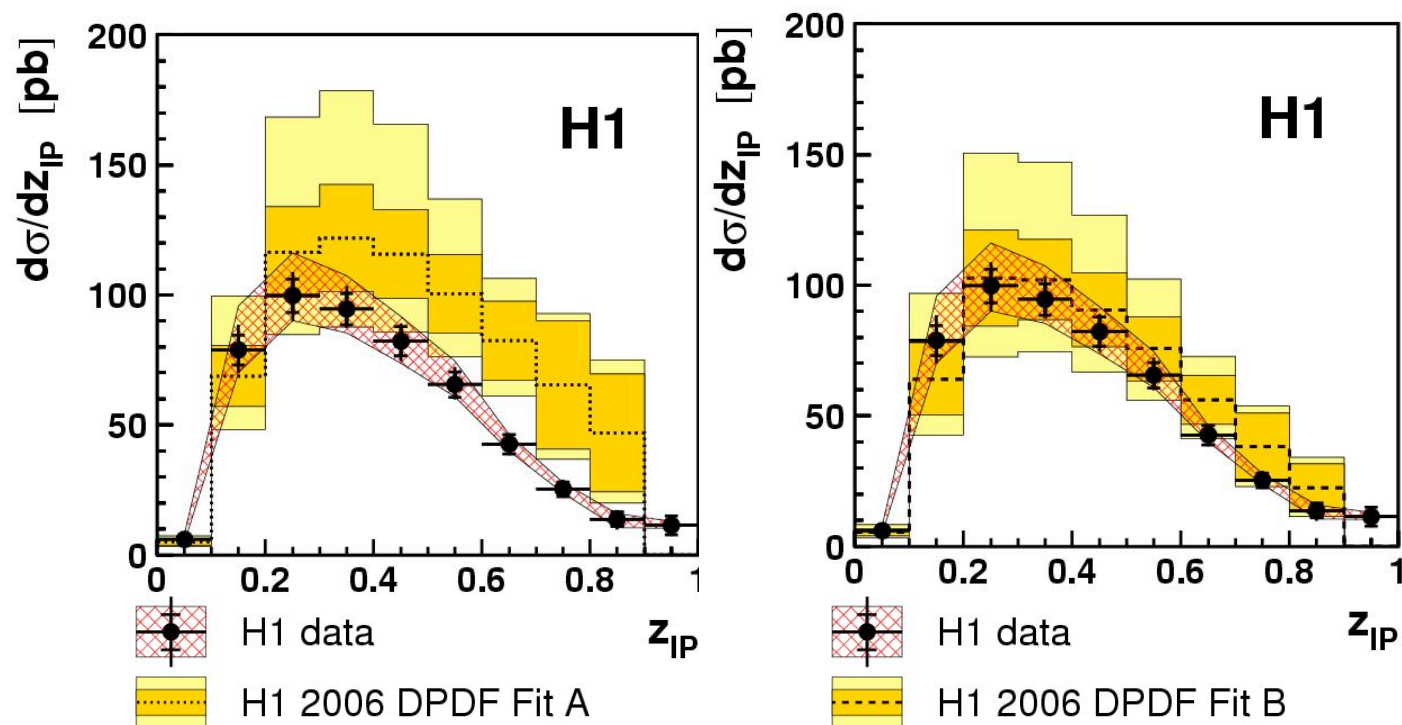
*Regge factorisation is only a useful approximation but fits made thus far are insensitive to this mild breaking*





$$z_{IP} = \frac{M_{12}^2 + Q^2}{M_X^2 + Q^2}$$

# Factorisation holds in DIS



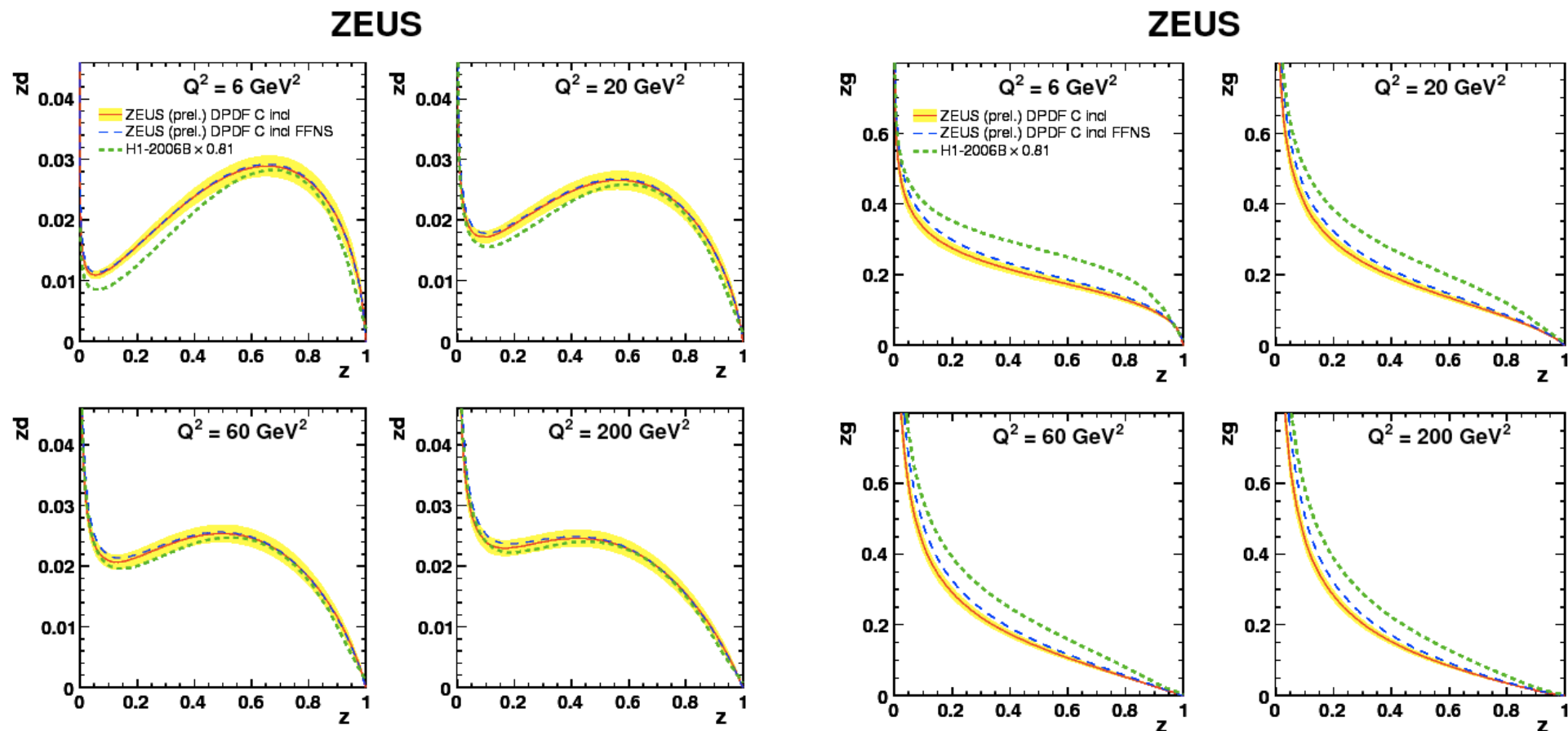
At low  $z_{IP}$  ( $< 0.4$ ) Fit A and Fit B are similar

*The data are in good agreement with the predictions, consistent with factorisation*

At high  $z_{IP}$  the data clearly prefer Fit B

**Include the diffractive dijet in a combined fit with the inclusive H1 LRG data**

# DPDFs from H1 and ZEUS



*The ZEUS data are the Preliminary version, this comparison will be updated with the published data very soon*